

1 Biohydrogen production from xylose by fresh and
2 digested activated sludge at 37, 55 and 70°C

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24 **Abstract**

25

26 Two heat-treated inocula, fresh and digested activated sludge from the same municipal wastewater
27 treatment plant, were compared for their H₂ production via dark fermentation at mesophilic (37°C),
28 thermophilic (55°C) and hyperthermophilic (70°C) conditions using xylose as the substrate. At both
29 37 and 55°C, the fresh activated sludge yielded more H₂ than the digested sludge, whereas at 70°C,
30 neither of the inocula produced H₂ effectively. A maximum yield of 1.85 mol H₂ per mol of xylose
31 consumed was obtained at 55°C. H₂ production was linked to acetate and butyrate production, and
32 there was a linear correlation ($R^2 = 0.96$) between the butyrate and H₂ yield for the fresh activated
33 sludge inoculum at 55°C. Approximately 2.4 mol H₂ per mol of butyrate produced were obtained
34 against a theoretical maximum of 2.0, suggesting that H₂ was produced via the acetate pathway
35 prior to switching to the butyrate pathway due to the increased H₂ partial pressure. *Clostridia* sp.
36 were the prevalent species at both 37 and 55°C, irrespectively of the inoculum type. Although the
37 two inocula originated from the same plant, different thermophilic microorganisms were detected at
38 55°C. *Thermoanaerobacter* sp., detected only in the fresh activated sludge cultures, may have
39 contributed to the high H₂ yield obtained with such an inoculum.

40

41 **Keywords**

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43 Biohydrogen, inocula, temperature, xylose, butyrate, dark fermentation

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49 **1. Introduction**

50

51 The intensive use of fossil fuels results in their rapid depletion and increased emission of
52 greenhouse gases, in particular CO₂. Therefore, energy production is expected to shift towards
53 renewable and more eco-friendly alternatives in the coming decades. Energy recovery from
54 wastewaters can be a good strategy to pursue the double objective of sustainability and emission
55 reduction. Many industries, such as the pulp and paper industry, produce wastewaters rich in
56 organic compounds, which must be treated prior to discharge, but yet have a high potential for
57 energy recovery (Rajeshwari et al., 2000). Traditional aerobic treatment is expensive, due to the
58 huge amount of oxygen required to oxidize the organic compounds. In contrast, anaerobic processes
59 allow coupling of wastewater treatment and energy production in the form of biogas (Kamali and
60 Khodaparast, 2015).

61

62 Methane production from organic compounds is a well-developed technology, but hydrogen (H₂)
63 production is a promising alternative as well because its heating value per gram is the highest
64 among fuels, and because it does not release CO₂ to the atmosphere upon combustion (Dincer and
65 Acar, 2015). Studies on biological H₂ production have focused on bio-photolysis of water, water
66 gas-shift reaction, photo-fermentation and dark fermentation of organic compounds (Bundhoo and
67 Mohee, 2016). The main advantages of dark fermentation over the other technologies are its high
68 H₂ production rate, the simple operation (the reactor configurations are the same of the already
69 well-established anaerobic digestion), and lower energy requirement (Show et al., 2012). Its main
70 drawbacks are the relative low H₂ yield (mol H₂ per mol of substrate) and the formation of by-
71 products, such as CO₂, volatile fatty acids and alcohols (Rittmann and Herwig, 2012).

72

73 Dark fermentation is a biological process in which fermentative bacteria produce H₂ to dispose of
74 excessive electrons generated in the oxidation of organic compounds through a hydrogenase
75 enzyme and electron carriers such as nicotinamide adenine dinucleotide (NADH) or reduced
76 ferredoxin (Lee et al., 2011). The maximum H₂ yield by dark fermentation is reached if acetate is
77 the only by-product of the oxidative process. The overall H₂ production is strongly affected by the
78 inoculum and the operating conditions, such as temperature, pH, substrate concentration and H₂
79 partial pressure (Li and Fang, 2007). Depending on the operating conditions, part of the electrons
80 can be directed to producing compounds more reduced than acetate, such as butyrate or ethanol,
81 resulting in a lower H₂ yield (Li and Fang, 2007).

82

83 Temperature is a crucial parameter for most biotechnological processes, because different
84 temperatures can reshape the microbial communities involved in the bioprocess (Karadag and
85 Puhakka, 2010). Furthermore, increasing temperature positively affects both the kinetics and
86 thermodynamics of the process (Verhaart et al., 2010). Thermophilic microorganisms are generally
87 characterized by faster growth and reaction rates than mesophilic species. A direct conversion of
88 sugars to acetate, which yields the maximum amount of H₂, is thermodynamically not favorable at
89 low temperature, but becomes more favorable as the temperature increases, thus making proton
90 reduction to H₂ coupled to NADH oxidation exergonic (Verhaart et al., 2010). Another advantage
91 of high temperature processes is the reduced contamination by pathogens and H₂ consuming
92 bacteria (Van Groenestijn et al., 2002). Industries produce wastewaters at various temperatures, and
93 treating them at their original temperature, without heating or cooling, seems a cost-effective
94 approach. For example, pulp and paper industries typically produce wastewaters with elevated
95 temperatures (50–70°C), which are often cooled down to 30–40°C prior to biological treatment
96 (Suvilampi et al., 2001).

97

98 Selection of the inoculum is also a key for a successful biohydrogen production process. From the
99 industrial point of view, dark fermentation with mixed cultures is preferable over pure cultures
100 because of easier operation and control, not requiring sterilization, and possibility to use a wide
101 range of feedstocks, as several different microorganisms are often required to degrade completely
102 complex substrates (Wang and Wan, 2009). However, mixed cultures may contain species that
103 degrade organic compounds by other pathways than H₂ production. Hydrogenotrophic
104 methanogens, propionate–producers, homoacetogens, and even sulfate and nitrate reducing bacteria
105 consume H₂ as a part of their metabolism (Bundhoo and Mohee, 2016). Though most H₂ consuming
106 bacteria are non–sporulating and can be removed by pretreating the inoculum, their complete
107 elimination cannot be ensured. For example, the thermophilic homoacetogenic bacterium *Moorella*
108 *glycerini* is a spore–forming microorganism (Slobodkin et al., 1997) and may resist the
109 pretreatment.

110
111 Heat treatment is the most common pretreatment used to select spore–forming, hydrogen–producing
112 microorganisms (Bundhoo et al., 2015). Many heat–treated inocula have been tested in dark
113 fermentation, including sewage sludge (Baghchehsaraee et al., 2008; Hasyim et al., 2011; Lin et al.,
114 2008), aerobic and anaerobic sludge from different plants treating organic waste (Bakonyi et al.,
115 2014; Cavalcante de Amorim et al., 2009), landfill leachate (Wong et al., 2014), hot spring cultures
116 (Koskinen et al., 2008), and compost (Cao et al., 2014). Despite the abundance of data available in
117 the literature, both on H₂ production and the microorganisms involved, the studies often differ in
118 their operating conditions, making it difficult to evaluate and distinguish the effect of the inoculum
119 on the process (Table 1). Although the combined effect of inoculum and temperature on dark
120 fermentation is of both scientific and practical interest, to our knowledge, a direct comparison of the
121 potential of two inocula for H₂ production at mesophilic, thermophilic and hyperthermophilic
122 conditions, keeping the other initial conditions stable, has not yet been performed.

123

124 **Table 1.**

125

126 This study aimed to compare two heat-treated inocula, activated sludge and digester sludge from
127 the same municipal wastewater treatment plant, for biohydrogen production under mesophilic
128 (37°C), thermophilic (55°C) and hyperthermophilic (70°C) conditions. Xylose, a pentose sugar
129 commonly present in pulp and paper wastewater, was used as the substrate. The correlations
130 between H₂ and soluble compounds produced via dark fermentation of xylose by the activated
131 sludge inoculum were then determined in order to understand the metabolic pathways at 55°C, the
132 temperature at which the H₂ yield was the highest.

133

134 **2. Materials and methods**

135

136 *2.1 Source of biomass*

137 The two sludge types used as inoculum were collected in July 2015 from the Viinikanlahti
138 municipal wastewater treatment plant (Tampere, Finland). The first sludge type was fresh activated
139 sludge from the recirculation line between the outdoor aeration tank and the secondary settler. The
140 average outdoor temperature in Tampere usually ranges between -6.7°C in February and +17.4°C in
141 July, although winter temperatures below -20°C are also possible (Finnish Meteorological Institute,
142 see: en.ilmatieteenlaitos.fi/statistics-from-1961-onwards). The second type was digester sludge
143 from a mesophilic (35°C) anaerobic digester treating waste activated sludge. After settling and
144 removing the supernatant, both sludge samples were divided in 10 mL batches to thin 15 mL
145 anaerobic tubes, and heat treated at 90°C for 15 minutes (Maintinguer et al., 2011) by incubation in
146 a pre-heated water bath prior to use as inoculum for the H₂ production experiments.

147

148 2.2 Batch experimental set-up

149 Batch assays were conducted in 120 mL serum bottles with a total working volume of 50 mL. The
150 growth medium was DSMZ 144 (German Collection of Microorganisms and Cell Cultures, 2008)
151 with the following modifications: tryptone was not added, the concentration of yeast extract was
152 reduced to 0.3 g L⁻¹ (Nissilä et al., 2011) and xylose (7.50 g L⁻¹, 50 mM) was used as the substrate
153 instead of glucose. The pH of the growth medium was adjusted to 5.5 with 1 M HCl.

154

155 In the first culture, the bottles were inoculated with 11.4 mL activated sludge (8.8 ± 0.1 g VS L⁻¹) or
156 4.2 mL of digester sludge (24.0 ± 0.1 g VS L⁻¹), resulting in an inoculum concentration of about 2 g
157 VS L⁻¹, and medium was added up to 50 mL. The initial xylose concentration of the mixture
158 (medium and inoculum) was 50 mM. The following three batch cultures were inoculated by
159 transferring 5 mL of cultivation from the previous batch culture to 45 mL of fresh medium with
160 55.6 mM of xylose, in order to reach a final xylose concentration of 50 mM. To ensure anaerobic
161 conditions, the serum bottles were flushed with N₂ for 5–10 minutes before and after inoculation.
162 To avoid interference in the gas measurement due to the N₂ flushing, the pressure in the headspace
163 was equilibrated to atmospheric pressure by removing the excessive gas with a syringe before
164 starting the incubation. The bottles were incubated at 37, 55 and 70°C for 6–8 days. All the batch
165 cultures were conducted in triplicate. A control bottle without xylose for all the triplicates was also
166 prepared in all steps.

167

168 2.3 Microbial community analyses

169 Samples for microbial community analysis were collected at the end of the last batch culture and
170 stored at -20°C. DNA extraction and polymerase chain reaction–denaturing gradient gel
171 electrophoresis (PCR–DGGE) were performed according to Mäkinen et al. (2012). The forward
172 primer for PCR was GC–BacV3f, while the reverse primer was 907r resulting in a PCR product of

173 approximately 550 base pairs. All the analyses were done in duplicate. The visible bands were cut
174 using a surgical blade, eluted in sterile water and re-amplified by PCR (primers BacV3f and 907r)
175 as described by Koskinen et al. (2006). The product quality was checked by running the PCR
176 products on a 1% agarose gel before sending the samples to Macrogen (South Korea) for
177 sequencing. The nucleotide sequences obtained were analyzed by Bio-Edit software (version 7.2.5)
178 (Hall, 1999), in order to remove primer sequences, and compared with the sequences in the
179 GenBank nucleotide collection database using BLAST software (Altschul et al., 1990)
180 (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>).

181

182 *2.4 Analytical methods*

183 The overpressure of the bottles was measured using a syringe method, which consisted of collecting
184 the produced gas in a graduated syringe until the pressure inside the bottle reached atmospheric
185 pressure and subsequent reading the produced gas volume (Owen et al., 1979). Gas samples from
186 the headspace of the bottles (0.2 mL) were analyzed with a Shimadzu gas chromatograph GC-2014
187 equipped with a Porapak N column (80/100 mesh) and a thermal conductivity detector (TCD). The
188 temperature of the oven, injector and detector were at 80, 110 and 110°C, respectively. Nitrogen
189 was used as the carrier gas. The gas volume was corrected to standard temperature (0°C).
190 Cumulative H₂ and CO₂ production was calculated with the following equation (Logan et al., 2002):

191

$$192 \quad V_{H,i} = V_{H,i-1} + C_{H,i}(V_{G,i} - V_{G,i-1}) + V(C_{H,i} - C_{H,i-1}) \quad (1)$$

193

194 where V_G , V_H and C_H are the current (i) or previous (i-1) measurement of cumulative gas volume,
195 cumulative H₂ volume and fraction of H₂ in the headspace of serum bottles, respectively, and V is
196 the volume of the headspace.

197 Xylose in the liquid phase was determined by using a colorimetric phenol–sulphuric acid method
198 (DuBois et al., 1956) with a Shimadzu Ordior UV–1700 Pharmaspec UV–VIS spectrophotometer at
199 485 nm wavelength. Acetate, propionate, isobutyrate, butyrate, valerate, ethanol and butanol were
200 measured by a gas chromatograph equipped with flame ionization detector (GC–FID) according to
201 Kinnunen et al. (2015). Lactate and formate were measured with a Shimadzu high–performance
202 liquid chromatograph (HPLC) equipped with a Rezex RHM–monosaccharide column
203 (Phenomenex, USA) held at 40°C and a refractive index detector (Shimadzu, Japan). The mobile
204 phase was 5 mM H₂SO₄ and flow rate was 0.6 mL min⁻¹.

205

206 **3. Results**

207

208 *3.1 Dark fermentation of xylose by the activated and the digester sludge*

209 At 37 and 55°C, the H₂ yield with the activated sludge inoculum constantly increased during the
210 first three batch cultures (Figure 1a), reaching a maximum of 1.19 (± 0.08) and 1.26 (± 0.11) mol
211 H₂ per mol of xylose (added) at 37 and 55°C, respectively. At 37°C, the H₂ yield was similar at the
212 end of the third and fourth batch culture, but at 55°C, it decreased by approximately 13% at the end
213 of the fourth batch culture compared to the third one. The digester sludge started to produce H₂
214 effectively from the first batch culture at 37°C, reaching a maximum yield of 1.05 (± 0.04) mol H₂
215 per mol of xylose (added) after 84 hours (Figure 1b). In the third batch culture, the yield was similar
216 to the first one, but decreased by 50% and 90% in the second and fourth batch culture, respectively.
217 At 55°C, digester sludge started to produce H₂ effectively after 192 hours, reaching a maximum of
218 0.81 (± 0.15) mol H₂ per mol of xylose (added) at the end of the second batch culture. However, the
219 yield consistently decreased in the following two batch cultures, resulting in a 50% lower yield at
220 the end of the fourth batch culture compared to the second one. Clear consumption of H₂ was
221 observed (H₂ yield dropped) only in the first batch culture at 37°C (Figure 1a and 1b), regardless of

222 the inoculum. At 70°C, H₂ yield was lower compared to both 37 and 55°C, with a maximum of only
223 0.22 (± 0.07) mol H₂ per mol of xylose (added) in the first batch culture with digester sludge
224 inoculum (Figure 1a and 1b). Methane in batch cultures was always below the detection limit of the
225 GC–TCD, as well as H₂, CO₂, and methane in the control bottles without substrate.

226

227 **Figure 1.**

228

229 At 37°C, xylose was consumed (> 97%) in all four batch cultures with the activated sludge
230 inoculum, while at 55°C, its removal efficiency began to decrease from the third batch culture
231 onwards and was only 67% after the fourth batch culture (Figure 1c). At 70°C, xylose was
232 efficiently consumed (85%) during the first batch culture, but its removal efficiency decreased and
233 was only 15–20% at the end of the third and fourth batch culture (Figure 1c). Batch cultures with
234 the digester sludge inoculum followed the same trend at 55 and 70°C, with a decrease in xylose
235 removal efficiencies from approximately 93% and 71% at the end of the first batch culture to 28%
236 and 12% at the end of the fourth batch culture, respectively (Figure 1d). Unlike the batch cultures
237 with the fresh activated sludge, the xylose removal efficiency decreased drastically also at 37°C in
238 the batch cultures with the digester sludge, being > 97% at the end of the second batch culture and
239 only 20% at the end of the fourth batch culture.

240

241 In every batch culture of both inoculum types, the pH started to decrease as soon as the xylose
242 degradation started, and the pH was remarkably below the initial value of 5.5 after 36 h incubation
243 (Figure 1f and 1g). At both 37 and 55°C, during the incubations, the final pH decreased
244 consistently, being below 4.0 at the end of the fourth batch culture. At 70°C, pH was somewhat
245 higher (about 4.0) at the end of the fourth batch culture.

246

247 *3.2 Carbon distribution and metabolites concentration*

248 H₂ production from xylose at the different temperatures resulted in the production of soluble
249 carbon-based compounds in different proportions (Figure 2). Part of the carbon was removed from
250 the liquid phase mainly as CO₂, while some of it remained in the solution as xylose or was
251 converted to volatile fatty acids (mainly acetate, butyrate and lactate) or alcohols (mainly ethanol).
252 Generally, a higher percentage of xylose was consumed in the batch cultures with the activated
253 sludge inoculum compared to the batch cultures with the digester sludge. Acetate was produced by
254 both inocula at all the temperatures studied (Figure 2). Butyrate was produced by both inocula at 37
255 and 55°C, whereas it was not detected at 70°C but ethanol was produced instead. At 55°C, ethanol
256 production was high (about 37 mM) in the first batch culture with both inocula, but its
257 concentration decreased in the following batch cultures (Figure 2; Table S1 in supplementary
258 material). Lactate was also detected at 70°C with the activated sludge inoculum and at all the
259 studied temperatures in the batch cultures with the digester sludge inoculum (Figure 2). A small
260 concentration of acetate (< 1 mmol of carbon) was detected in the control bottles only in the first
261 batch cultures, regardless of the inoculum and temperature.

262

263 **Figure 2.**

264

265 *3.3 Microbial community analysis*

266 The microbial community composition shown by DGGE (number and location of the bands) after
267 four successive batch cultures was different with the different inocula and incubation temperatures
268 (Figure 3). At 37°C, the enriched microbial communities were dominated by bacteria having 91-
269 100% similarity to *Clostridia* sp., based on the partial 16S rRNA sequencing. More specifically,
270 sequencing of the selected bands indicated the presence of microorganisms having 98–100%
271 similarity to *Clostridium butyricum* and *Clostridium acetobutylicum* in the batch cultures with both

272 inocula (Table 2). At 37°C, genes possibly related to *Sporolactobacillus* sp. (92% similarity to
273 *Sporolactobacillus putidus*) were detected only with the digester sludge inoculum. At 55°C,
274 *Thermoanaerobacter thermosaccharoliticum* (98% similarity) and *Caloramator australicus* (97–
275 99% similarity) were present in the batch cultures with the fresh activated and digester sludge
276 inoculum, respectively. At 70°C, *Caloramator australicus* (97–99% similarity) was detected in the
277 batch cultures with both inocula, while genes related to *Thermoanaerobacter* sp. (100% similarity)
278 and *Caldanaerobius* sp. (99% similarity) were found in the batch cultures with the fresh activated
279 and the digester sludge, respectively (Table 2).

280

281 **Figure 3.**

282

283 **Table 2.**

284

285 *3.4 H₂ production pathways by the activated sludge inoculum at 37 and 55°C*

286 Although a similar H₂ production was obtained at both 37 and 55°C in the batch cultures with
287 activated sludge (Figure 1a), approximately 97% of the xylose was consumed at 37°C, whereas
288 only 67% in the fourth batch culture at 55°C (Figure 1c), indicating a higher H₂ yield per mol of
289 xylose consumed at 55°C (Figure 4a). Therefore, the microbial community at 55°C has the potential
290 to yield more H₂ compared to the community at 37°C, and this is probably related to a different
291 biodegradation pathway. At 37°C, the H₂ yield stabilized to 1.20 (± 0.10) mol H₂ per mol of xylose
292 consumed, while at 55°C, it constantly increased reaching a maximum of 1.85 (± 0.51) mol H₂ per
293 mol of xylose consumed after the first 84 h of the fourth batch culture, before decreasing to 1.64 (±
294 0.19) mol H₂ per mol of xylose consumed at the end of the experiment (Figure 4a). At 55°C, both
295 acetate and butyrate followed the same trend as the H₂ production (Figure 4b). The acetate and
296 butyrate yields constantly increased during the consecutive batch cultures reaching a maximum of

297 approximately 0.7 and 0.8 mol per mol of xylose consumed for acetate and butyrate, respectively,
298 84 h after initiating the fourth batch culture. Then, the yields decreased to 0.5 and 0.7 mol per mol
299 of xylose consumed, respectively, at the end of the experiment. Ethanol production was high in the
300 first batch culture (0.7 mol ethanol per mol of xylose consumed) and consistently decreased in the
301 following cultures, becoming negligible in the fourth culture (Figure 4b).

302

303 A linear correlation ($R^2 = 0.96$) was found between the H_2 and butyrate yield at 55°C (Figure 4c).
304 Based on the linear regression, approximately 2.4 mol H_2 per mol of butyrate were produced.
305 Conversely, the H_2 yield and ethanol yield seem to be inversely proportional (Figure 4b).

306

307 **Figure 4.**

308

309 **4. Discussion**

310

311 *4.1 Dark fermentation of xylose by the activated sludge and the digester sludge*

312 At both 37 and 55°C, the activated sludge inoculum yielded more H_2 than the digester sludge.
313 Although both inocula originated from the same wastewater treatment plant, different microbial
314 communities developed after four batch cultures at all three incubation temperatures. Except for the
315 first culture at 37°C, the H_2 produced was never consumed (Figure 1), which confirmed that the
316 heat treatment effectively eliminated most H_2 consuming microorganisms. In the first culture at
317 37°C, H_2 consumption was likely attributed to homoacetogenesis, as methane was not detected.
318 Few species of spore forming homoacetogenic bacteria may resist heat treatment (Slobodkin et al.,
319 1997), but their growth is hindered in the pH range (3.5–5.5) of this experiment (Figure 1e and 1f).
320 However, *Clostridium acetobutylicum*, present in the batch cultures at 37°C with both inocula
321 (Table 2) can switch its metabolism from acidogenesis (and H_2 production) to solventogenesis (and

322 H₂ consumption) in case of low pH (< 4.5) and high H₂ partial pressure (Kim and Zeikus, 1992).
323 Simultaneous production and consumption of H₂ can thus not be excluded, and the presented results
324 are the net H₂ production (difference between H₂ produced and consumed). Furthermore, only the
325 dominant microorganisms can be detected by PCR–DGGE and thus, the contribution of some
326 species which might had a role in either H₂ production or consumption could be missing.

327
328 For both inocula, and all the temperatures investigated, the pH profile (Figure 1e and 1f) does not
329 correlate well with the xylose concentration profiles (Figure 1c and 1d). This is especially evident in
330 the last two batch cultures of the digestate inoculum, in which the pH dropped to < 4 even when
331 xylose consumption was lower than in the previous batch cultures. One possible explanation is that,
332 from the first batch culture, bacteria accumulated undissociated volatile fatty acids, which then
333 dissociated inside the cell due to the neutral cytosolic pH, causing an intracellular overload of
334 protons which were subsequently forced out from the cytoplasm (Jönsson et al., 2013), causing the
335 pH drop observed in the last two batch cultures. This might also explain the decreased xylose
336 degradation rate in the last two batch cultures of both inocula. Excretion of the protons outside the
337 cells costs energy, e.g. in the form of adenosine triphosphate (ATP), thus limiting the energy
338 available for microbial growth (Bundhoo and Mohee, 2016). Also the carbon balances support this
339 hypothesis: in the first two batch cultures of both inocula, and for all temperatures investigated, up
340 to 30% of the carbon introduced as xylose was not detected as CO₂ or soluble metabolites (Figure
341 2). It is plausible that part of the carbon was retained inside the cells in the form of volatile fatty
342 acids, alcohols or storage products. Conversely, in the third and fourth batch culture, the sum of
343 carbon detected as CO₂ and soluble metabolites sometimes exceeded (by 10% at the most) the
344 amount of carbon provided as xylose. Accordingly, the accumulated volatile fatty acids inhibited
345 the H₂ producing bacteria (Van Ginkel and Logan, 2005), possibly inducing their death and cell
346 lysis, thus releasing the cell content and causing an overestimation of carbon detected in the
347 medium. Also acids in the dissociated form, which cannot penetrate the cell membrane, can cause

348 cell lysis by increasing the ionic strength of the medium (Van Niel et al., 2003). It should be noted
349 that the contribution of growth of microorganisms, dissolved CO₂, and yeast extract has not been
350 considered in the carbon balance, and further investigation is required to confirm their role in the
351 carbon balance.

352

353 4.2 Comparative H₂ production by the activated sludge and the digester sludge at 37°C

354 At 37°C, the microbial community was dominated by *Clostridia* species (Table 2). Due to the high
355 percentages of acetate and butyrate in the liquid phase, *Clostridium butyricum* and *Clostridium*
356 *acetobutylicum*, detected at 37°C with both inocula, were likely associated with H₂ production.
357 *Clostridium butyricum* produces H₂ by dark fermentation via the acetate and butyrate pathway, and
358 it is active at a pH as low as 4.4 (Seppälä et al., 2011). *Clostridium acetobutylicum* produces H₂,
359 acetate and butyrate via acidogenesis at a pH as low as 4.7, before switching the metabolic pathway
360 to solventogenesis (Grupe and Gottschalk, 1992). However, as evidenced by the low (< 2.2 mM)
361 ethanol concentration in the liquid phase of batch cultures at 37°C (Table S1 in supplementary
362 material), solventogenesis did not occur even at the lowest pH values achieved in the batch cultures.
363 This is likely due to the insufficient butyrate concentration in the medium, as a butyrate
364 concentration of 2 g L⁻¹ is required to trigger solventogenesis (Cheng et al, 2012). The highest
365 butyrate concentration detected in this study was about 30 mM (2.6 g L⁻¹) at the end of the first and
366 fourth batch culture with the activated sludge inoculum at 37°C (Table S1 in supplementary
367 material), but most of the xylose was already consumed at that point (Figure 1c).

368

369 The low pH likely gave good conditions for the growth of *Sporolactobacillus* sp., a lactic acid-
370 producing mesophilic bacterium growing in the pH range 3.5–5.5, with an optimum of pH 4.5
371 (Fujita et al., 2010), which was found only in the batch cultures at 37°C with the digester sludge
372 inoculum. At 37°C, lactate (about 2 mM) was found only in the fourth batch culture of the digester

373 sludge (Table S1 in supplementary material), when the low pH of 3.5 could have reduced the
374 substrate competition among the H₂ producing microorganisms. In the batch cultures with the
375 activated sludge inoculum, the absence of lactate may indicate a low concentration of
376 *Sporolactobacillus* sp. in the microbial community. This bacterium is likely one of the causes for
377 the low H₂ yield obtained in the fourth batch culture of the digester sludge at 37°C (Figure 1b), as
378 part of the electrons were directed to reduce pyruvate to lactate via NADH oxidation instead of
379 reducing protons to molecular H₂. Furthermore, lactic acid bacteria can excrete bacteriocins, which
380 are toxic to other bacteria, including *Clostridium* (Noike et al., 2002). However, a protein and
381 enzyme-level study is required to assess the inhibitory effect of bacteriocins on H₂ producing
382 bacteria, which is out of the scope of this paper.

383

384 At 37°C, in batch cultures with activated sludge, the H₂ yield per mol of xylose consumed was
385 lower than the one obtained at 55°C (Figure 4a). H₂ yields by mesophilic mixed cultures are
386 generally lower than by thermophilic cultures (Table 1), but yields of 2.25 and 2.64 mol H₂ per mol
387 of xylose have been obtained by Lin and Cheng (2006) and Chaganti et al. (2012) at 35 and 37°C,
388 respectively, using a similar inoculum to the ones used in this study. However, Lin and Cheng
389 (2006) worked at an initial pH of 6.5 and substrate concentration of 124.9 mM, whereas Chaganti et
390 al. (2012) used a statistical approach to optimize several chemical and physical parameters, such as
391 pH, oleic acid concentration and biomass concentration.

392

393 *4.3 Comparative H₂ production by the activated sludge and the digester sludge at 55°C*

394 *Clostridia* species were also detected at 55°C with both inocula (Table 2) and associated with H₂
395 production via the acetate and butyrate pathway. *Clostridium thermopalmarium*, found in batch
396 cultures with the digester inoculum at 55°C, mainly ferments sugars to butyrate, producing H₂, CO₂
397 and small amounts of acetate, lactate and ethanol (Lawson Anani Soh et al., 1991). At 55°C, the

398 different activity of *Clostridium* sp. with the activated and the digester sludge can be attributed to
399 the different pH. During the third and fourth batch culture of the digester sludge, as happened at
400 37°C, the pH dropped to as low as 3.5 (Figure 1f), resulting in low xylose degradation. Xylose
401 degradation was low also in the fourth batch culture of the activated sludge, in which the pH
402 dropped below 4.0 (Figure 1e). *Thermoanaerobacter thermosaccharoliticum*, found at 55°C with
403 the activated sludge inoculum, has been used to ferment a variety of monomeric sugars, including
404 33.3 mM xylose (Cao et al., 2014), resulting in the total degradation of the substrate and the
405 production of 1.7 mol H₂ per mol of xylose with acetate and butyrate as the main soluble end
406 products (Cao et al., 2014). However, the initial pH of their experiment was set to 7.0, whereas in
407 this study the initial pH was 5.5. *T. thermosaccharoliticum* effectively produces H₂ from xylose in a
408 pH range 5–7, whereas its H₂ yield dramatically decreases at lower pH values (Ren et al., 2008).

409
410 The highest H₂ yield of 1.85 mol H₂ per mol of xylose consumed was obtained in this study during
411 the fourth batch culture of activated sludge at 55°C (Figure 4a). This is in line with the results
412 obtained by Calli et al. (2008) who reported a maximum yield of 1.7 mol H₂ per mol xylose at 55°C
413 (Table 1). Interestingly, even if the compost used as inoculum by Calli et al. (2008) was not
414 pretreated, methane was not detected, confirming that thermophilic conditions reduce the risk of
415 contamination by methanogens. A similar H₂ yield (1.65 mol H₂ per mol xylose) was obtained at
416 65°C with a geothermal spring inoculum (Zeidan and Van Niel, 2009). A slightly higher H₂ yield of
417 2.07–2.19 mol H₂ per mol of xylose has been reported in thermophilic (60°C) batch incubations
418 (Table 1) by using a pure culture of *T. thermosaccharolyticum* (Khamtib and Reungsang, 2012; Ren
419 et al., 2008; Zhang et al., 2011). This bacterium may have a significant contribution to the H₂ yield
420 by activated sludge at 55°C.

421

422 *4.4 Comparative H₂ production by the activated sludge and the digester sludge at 70°C*

423 At 70°C, hyperthermophilic bacteria were found present even in the activated sludge, despite the
424 temperature in Finland seldom exceeds 25°C in summer. In the wastewater treatment plant where
425 the sludge was collected, the aeration basins are exposed to ambient temperatures. All the
426 hyperthermophilic species detected after four batch cultures with the activated and digester sludge,
427 including *Caldanaerobius* sp., *Caloramator australicus* and *Thermoanaerobacter* sp., generate H₂
428 from carbohydrates producing acetate and ethanol as the end product at a pH optimum of 7 or even
429 slightly higher (Lee et al., 2008; Ogg and Patel, 2009; Vipotnik et al., 2016). The low xylose
430 degradation and H₂ yield (Figure 1), the presence of ethanol and acetate in the medium and the
431 absence of butyrate (Figure 2) indicate that the bacteria were barely active at the beginning of the
432 batch cultures at 70°C, when the pH was > 5, before being completely inhibited after a further pH
433 decrease.

434

435 H₂ production at 70°C was achieved by Kongjan et al. (2009) and Zhao et al. (2010) with a
436 maximum yield of 1.62 and 1.84 mol H₂ per mol of xylose, respectively, but the experiments were
437 conducted at a higher initial pH and lower substrate concentration compared to this study (Table 1).
438 Furthermore, in both cases, the inoculum was previously enriched for H₂ production at 70°C.

439

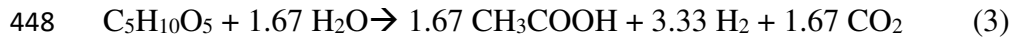
440 *4.5 H₂ production pathways in the fresh activated sludge inoculum at 55°C*

441

442 The linear regression between the H₂ and butyrate yield at 55°C with the fresh activated sludge
443 inoculum (Figure 4c) shows a production of approximately 2.4 mol H₂ per mol of butyrate.
444 However, only 2.0 mol H₂ per mol of butyrate is theoretically obtainable (2), suggesting that H₂
445 was produced also through the acetate pathway (3).

446





449

450 A direct conversion of xylose to acetate, despite being thermodynamically more favorable under
451 thermophilic than mesophilic conditions, is strongly affected by the H_2 partial pressure. At $55^\circ C$, H_2
452 production through the acetate pathway is thermodynamically feasible only at H_2 partial pressures
453 of far less than 1 kPa, and then the pathway shifts to butyrate production (Verhaart et al., 2010).
454 Based on our calculations done using the ideal gas law (Figure S1 in supplementary material), 1 kPa
455 was reached during the first 36 h in batch cultures of activated sludge at $55^\circ C$ (despite overpressure
456 removal during each sampling). It is, therefore, plausible that H_2 first evolved through the acetate
457 pathway, and then the metabolic pathway shifted to butyrate production due to the accumulation of
458 H_2 in the headspace. This would explain the higher total H_2 yield than the theoretical production
459 through the butyrate pathway. Furthermore, according to Valdez-Vazquez et al. (2006), a H_2 partial
460 pressure of 0.75 atm (74 kPa) or even lower is sufficient to inhibit thermophilic H_2 producing
461 microorganisms. In this study, the highest H_2 partial pressures reached are in the range of 60–85
462 kPa (Figure S1 in supplementary material), suggesting that the H_2 partial pressure, as well as low
463 pH, could have negatively affected the process at $55^\circ C$.

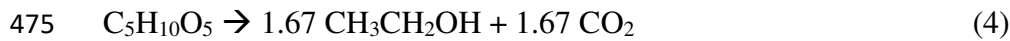
464

465 Although acetate production followed a similar trend to butyrate (Figure 4b), no correlation with H_2
466 yield was found, suggesting that acetate was produced also through other pathways with no H_2
467 production. The correlation between butyrate and H_2 yield was not at all found at $37^\circ C$ (data not
468 shown), probably due to a more diverse microbial community and thus, a wider variety of metabolic
469 pathways.

470

471 Ethanol was the main metabolite produced during the first batch culture at 55°C (Figure 4b). In the
472 subsequent cultures, its yield decreased while the butyrate and H₂ yield increased. This suggests that
473 butyrate (2) and ethanol (4) production were competitive pathways.

474



476

477 The shift from ethanol to butyrate fermentation can be attributed to either a change in microbial
478 community or a shift in the metabolic pathway of the active microbial species during the four
479 successive batch cultures. The metabolic shift is confirmed by the fact that, in the first batch culture
480 with the activated sludge at 55°C, gas composition was approximately 65% CO₂ and only 35% H₂
481 (Figure S2c in supplementary material), but the share of H₂ constantly increased in the subsequent
482 batch cultures being about 57% of the total gas at the end of third and fourth batch culture.

483

484 This study demonstrated that activated sludge can be used as inoculum for thermophilic H₂
485 production from xylose containing wastewaters. However, a further study with a continuously fed
486 bioreactor is required to evaluate the potential and stability of this process for full-scale
487 applications.

488

489 **Conclusions**

490

- 491 • Using heat treated activated sludge as the inoculum, xylose containing wastewaters can be
492 treated at 55°C obtaining higher H₂ yields than at 37°C
- 493 • The highest H₂ yield of 1.85 mol H₂ per mol of xylose consumed was obtained with
494 activated sludge during the fourth batch culture at 55°C. At the beginning of every culture,

495 H₂ production was likely associated with the acetate pathway and then shifted towards the
496 butyrate pathway due to the increased H₂ partial pressure

- 497 • At 55°C, ethanol was produced in the first batch culture. In the following cultures, ethanol
498 production steadily decreased while butyrate and H₂ production steadily increased,
499 indicating a clear shift in the xylose degradation pathway towards dark fermentation. This
500 suggests that for non-adapted inocula, a start-up period may be required prior to obtaining
501 high H₂ yields.
- 502 • H₂ production at 70°C was negligible, possibly because the pH was below the optimum for
503 the detected hyperthermophiles present in the inoculum.

504

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506

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517

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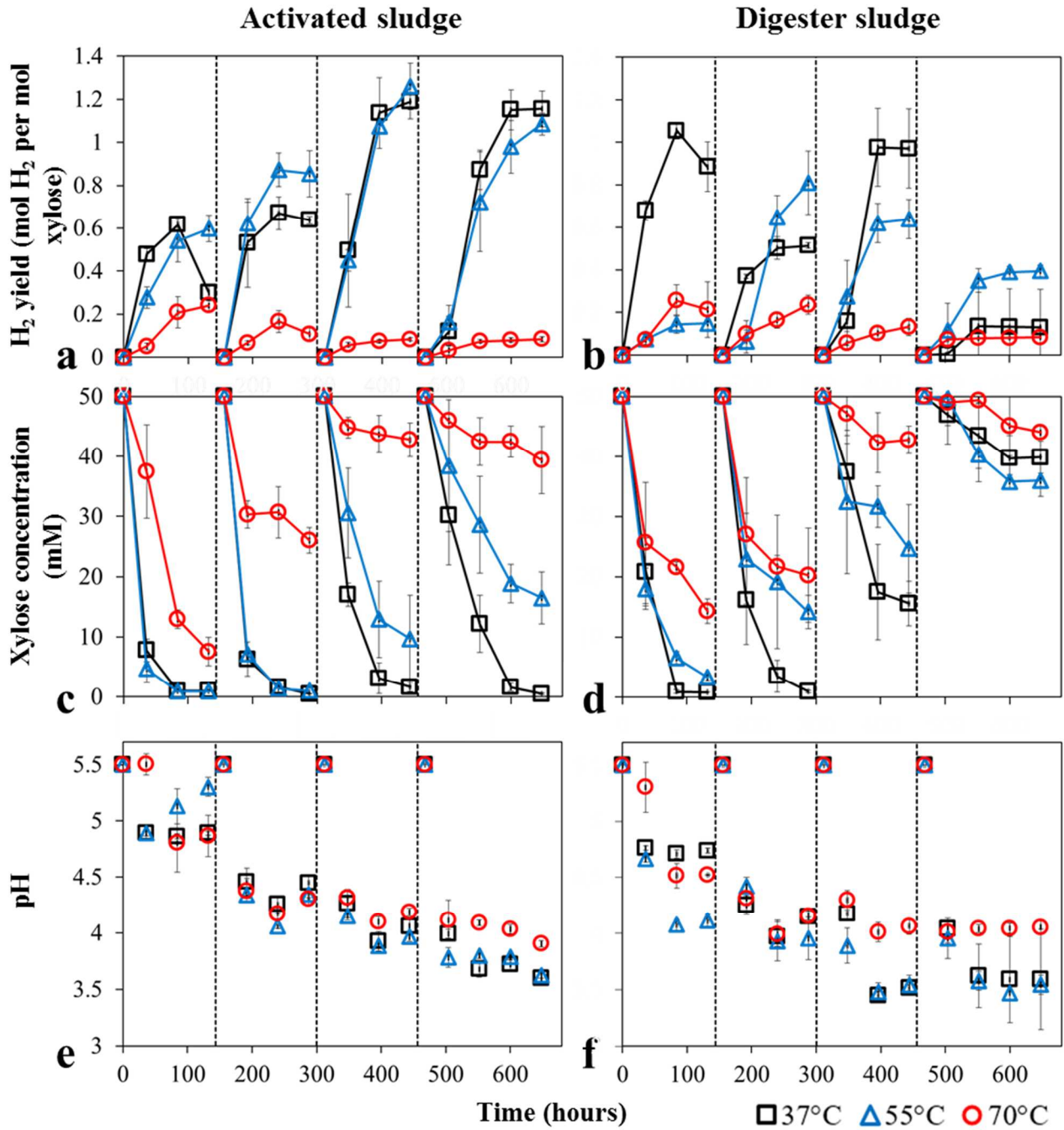


Figure 1. H₂ yield (mol H₂ per mol of xylose added), residual xylose and pH trend with the activated and the digester sludge at 37, 55 and 70°C. Every point shown in the graphs is calculated as the average of three independent batch cultures, error bars indicate the standard deviation of the triplicates. The dotted lines refer to the end of every batch culture and start of a new one.

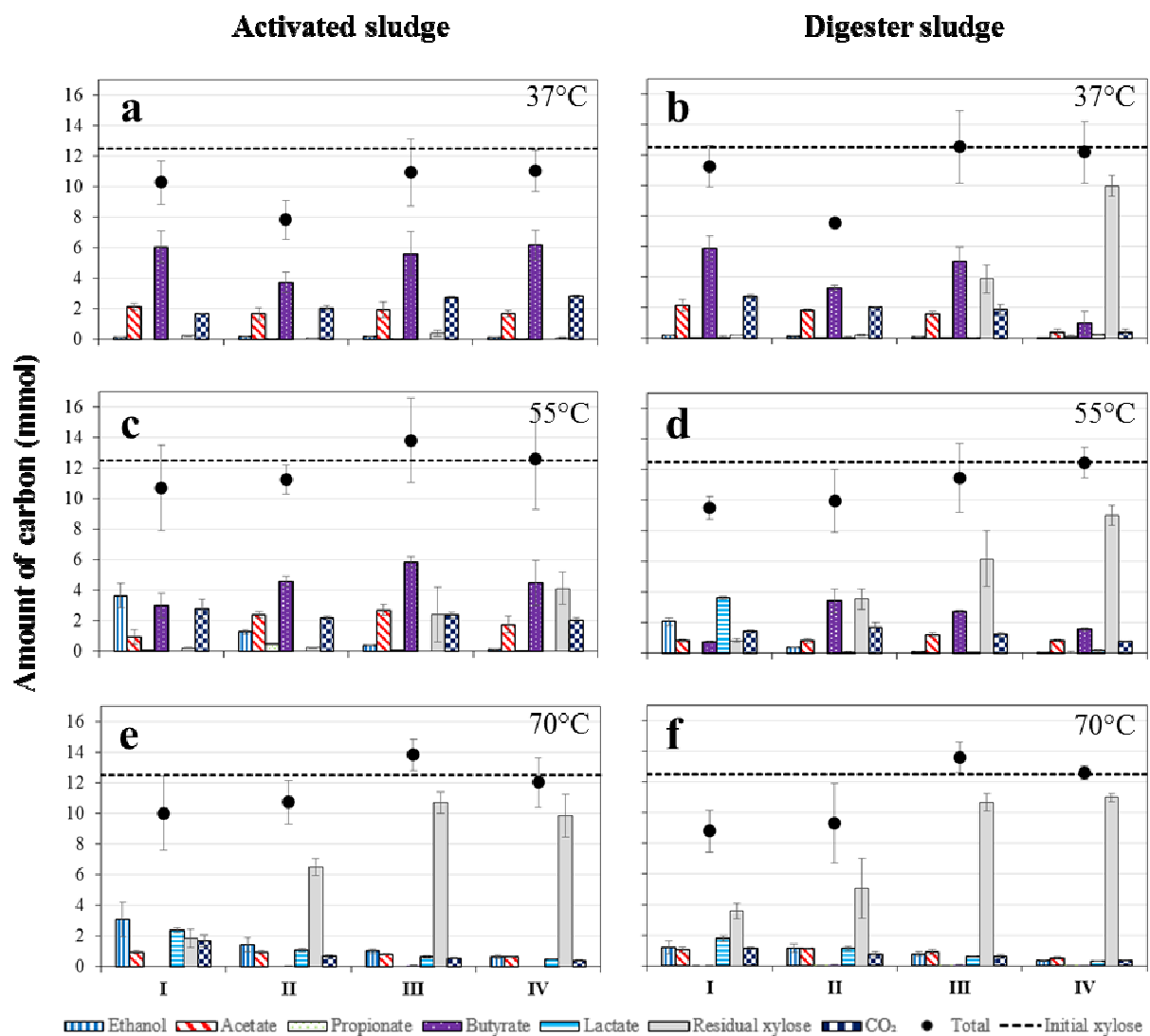


Figure 2. Carbon distribution at the end of each batch culture. The columns refer to the mmol of carbon found in the different metabolites at the end of every batch cultures and the black dots represent their sum. The dotted line refer to the 12.5 mmol of carbon introduced as xylose at the beginning of each incubation. Every column or point shown in the graphs is calculated as the average of three independent batch cultures, error bars indicate the standard deviation of the triplicates.

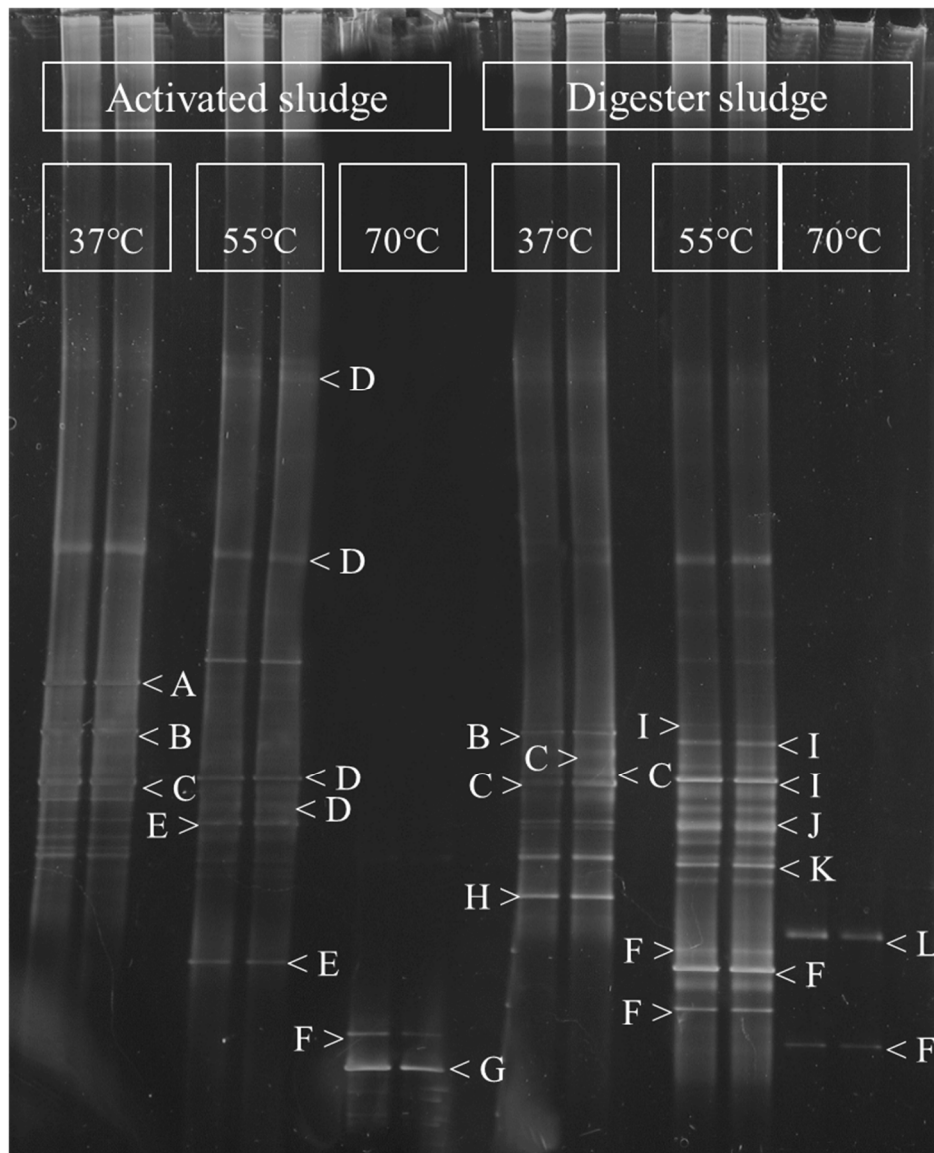


Figure 3. Bacterial community composition analyzed by PCR–DGGE from the batch cultures with the fresh activated and digester sludge inocula after the four batch cultures at 37, 55 and 70°C. The band labels refer to Table 3.

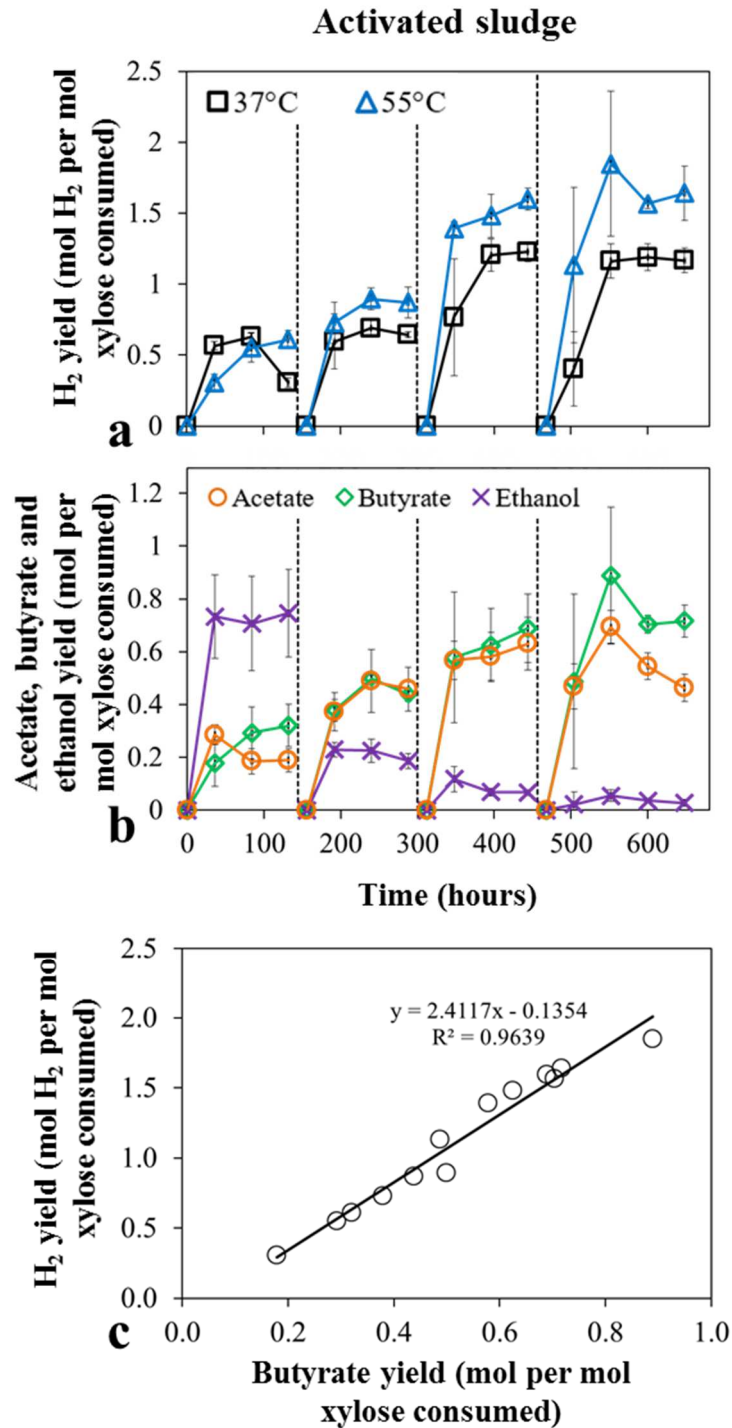


Figure 4. H₂ yield (mol H₂ per mol of xylose consumed) obtained with the activated sludge at 37 and 55°C (a) and the acetate, butyrate and ethanol yields obtained with the activated sludge inoculum at 55°C (b) with respect to time. H₂ yield was shown to be directly proportional to butyrate (c) when activated sludge was used as inoculum at 55°C. Every point shown in the graphs is calculated as the average of three independent batch cultures, error bars show the standard deviation of the triplicates.

Table 1. H₂ yields obtained in various batch studies conducted at different temperatures and using different initial pH and xylose concentrations. The reported H₂ yield refer to the highest one obtained in the cited studies.

Inoculum	Pre-treatment	T (°C)	Initial pH	Initial xylose (mM)	H ₂ yield ^a (mol per mol xylose)	Reference
Activated sludge	Heat treatment	35	6.5	124.9	1.30	Lin et al. (2006)
Activated sludge	Heat treatment	35	5.5	66.6	1.88	De Sá et al. (2013)
Activated sludge	Heat treatment	35	6.5	124.9	2.25	Lin and Cheng (2006)
<i>Clostridium butyricum</i>	- ^b	37	7.5	124.9	0.73	Lo et al. (2008)
Granulated sludge	Heat treatment	37	5.5	23.9	0.80	Maintinguer et al. (2011)
Digested activated sludge	-	37	6.7	33.3	2.64	Chaganti et al. (2012)
<i>Clostridium beijerinckii</i>	-	40	8	66.6	2.31	An et al. (2014)
Activated sludge	Heat treatment	40	7.1	124.9	1.30	Lin et al. (2008)
Mixed culture compost	-	55	5	13.3	1.70	Calli et al. (2008)
<i>Thermoanaerobacter thermosaccharolyticum</i>	-	60	6.7	33.3	2.07	Zhang et al. (2011)
<i>Thermoanaerobacter thermosaccharolyticum</i>	-	60	6.5	66.6	2.09	Khamtib and Reungsang (2012)
<i>Thermoanaerobacter thermosaccharolyticum</i>	-	60	6.5	66.6	2.19	Ren et al. (2008)
<i>Thermoanaerobacter thermosaccharolyticum</i>	-	60	7.0	33.3	1.72	Cao et al. (2014)
Geothermal spring	-	60	7.9	66.6	1.65	Zeidan and Van Niel (2009)
Biomass from H ₂ producing reactor	-	70	7.0–8.0	3.3	1.62	Kongjan et al. (2009)
Biomass from H ₂ producing reactor	-	70	7.0	13.3	1.84	Zhao et al. (2010)

^a Highest H₂ yield obtained in the experiment

^b Not applied

Table 2. Identification of the DGGE bands obtained after four successive batch cultures at 37, 55 and 70°C based on the comparison of their 16S rRNA gene sequences to those collected in the GenBank and their presence (+) or absence (-) in the different batch cultures.

BM ^a	Microorganism ^b	Access number	Matching sequence length ^c	Similarity (%) ^d	Activated sludge			Digester sludge		
					37	55	70	37	55	70
A	<i>Clostridium</i> sp.	FJ361757	477	99	+	-	-	-	-	-
B	<i>Clostridium</i>	KP410577	457-515	99	+	-	-	+	-	-
	<i>acetobutilycum</i>	KP410579								
C	<i>Clostridium butyricum</i>	CP013352	418-492	98-100	+	-	-	+	-	-
		KT072767								
D	<i>Clostridium</i> sp.	KR052807	381-490	92-100	-	+	-	-	-	-
E	<i>Thermoanaerobacter</i>	KT274717	426	98	-	+	-	-	-	-
	<i>thermosaccharoliticum</i>									
F	<i>Caloramator australicus</i>	HM228391	385-449	97-99	-	-	+	-	+	+
G	<i>Thermoanaerobacter</i> sp.	KR007668	452	100	-	-	+	-	-	-
H	<i>Sporolactobacillus</i>	NR_112774	486	92	-	-	-	+	-	-
	<i>putidus</i>									
I	<i>Clostridium</i> sp.	AB504378	433-451	91-98	-	-	-	-	+	-
		AB537983								
J	<i>Clostridium</i>	KM036191	428	98	-	-	-	-	+	-
	<i>thermopalmarium</i>									
K	<i>Clostridium isatidis</i>	NR_026347	425	93	-	-	-	-	+	-
L	<i>Caldanaerobius</i> sp.	JX984966	429	99	-	-	-	-	-	+

^a Band mark in Figure 3

^b Closest species in GenBank

^c Number of nucleotide pairs used in the sequence comparison

^d Percentage of identical nucleotide pairs between the 16S rRNA gene sequence and the closest species in GenBank

Table 3. Identification of the DGGE bands obtained from batch cultures at 37, 55 and 70°C after four successive batch cultures based on their 16S rRNA gene sequences to those collected in the GenBank and their presence (+) or absence (-) in the different batch cultures.

BM ^a	Microorganism ^b	Access number	Sequence lenght ^c	Similitude (%) ^d	Activated sludge			Digester sludge		
					37	55	70	37	55	70
A	<i>Clostridium</i> sp.	FJ361757	477	99	+	-	-	-	-	-
B	<i>Clostridium</i>	KP410577	457-515	99	+	-	-	+	-	-
	<i>acetobutilycum</i>	KP410579								
C	<i>Clostridium butyricum</i>	CP013352	418-492	98-100	+	-	-	+	-	-
		KT072767								
D	<i>Clostridium</i> sp.	KR052807	381-490	92-100	-	+	-	-	-	-
E	<i>Thermoanaerobacter</i>	KT274717	426	98	-	+	-	-	-	-
	<i>thermosaccharoliticum</i>									
F	<i>Caloramator australicus</i>	HM228391	385-449	97-99	-	-	+	-	+	+
G	<i>Thermoanaerobacter</i> sp.	KR007668	452	100	-	-	+	-	-	-
H	<i>Sporolactobacillus</i>	NR_112774	486	92	-	-	-	+	-	-
	<i>putidus</i>									
I	<i>Clostridium</i> sp.	AB504378	433-451	91-98	-	-	-	-	+	-
		AB537983								
J	<i>Clostridium</i>	KM036191	428	98	-	-	-	-	+	-
	<i>thermopalmarium</i>									
K	<i>Clostridium isatidis</i>	NR_026347	425	93	-	-	-	-	+	-
L	<i>Caldanaerobius</i> sp.	JX984966	429	99	-	-	-	-	-	+

^a Band mark in Figure 3

^b Closest species in GenBank

^c Number of nucleotide pairs used in the sequence comparison

^d Percentage of identical nucleotide pairs between the 16S rRNA gene sequence and the closest species in GenBank

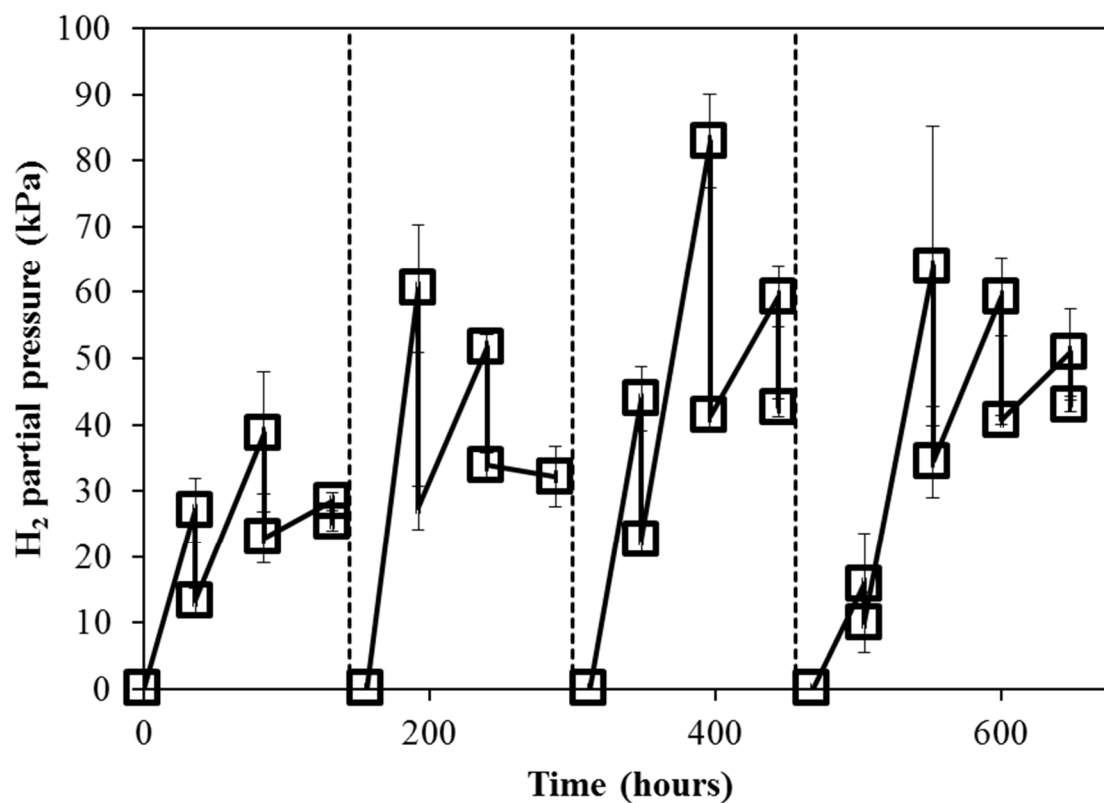


Figure S1. Evolution of H₂ partial pressure with time, assuming equilibrium conditions between the liquid and gas phase. The two points represented for every sampling time refer to the H₂ partial pressure before (the highest) and after (the lower) removing the overpressure. Every point shown in the graphs is calculated as the average of three independent batch cultures, error bars show the standard deviation of the triplicates. The dotted lines refer to the end of a batch culture and start of a new batch culture.

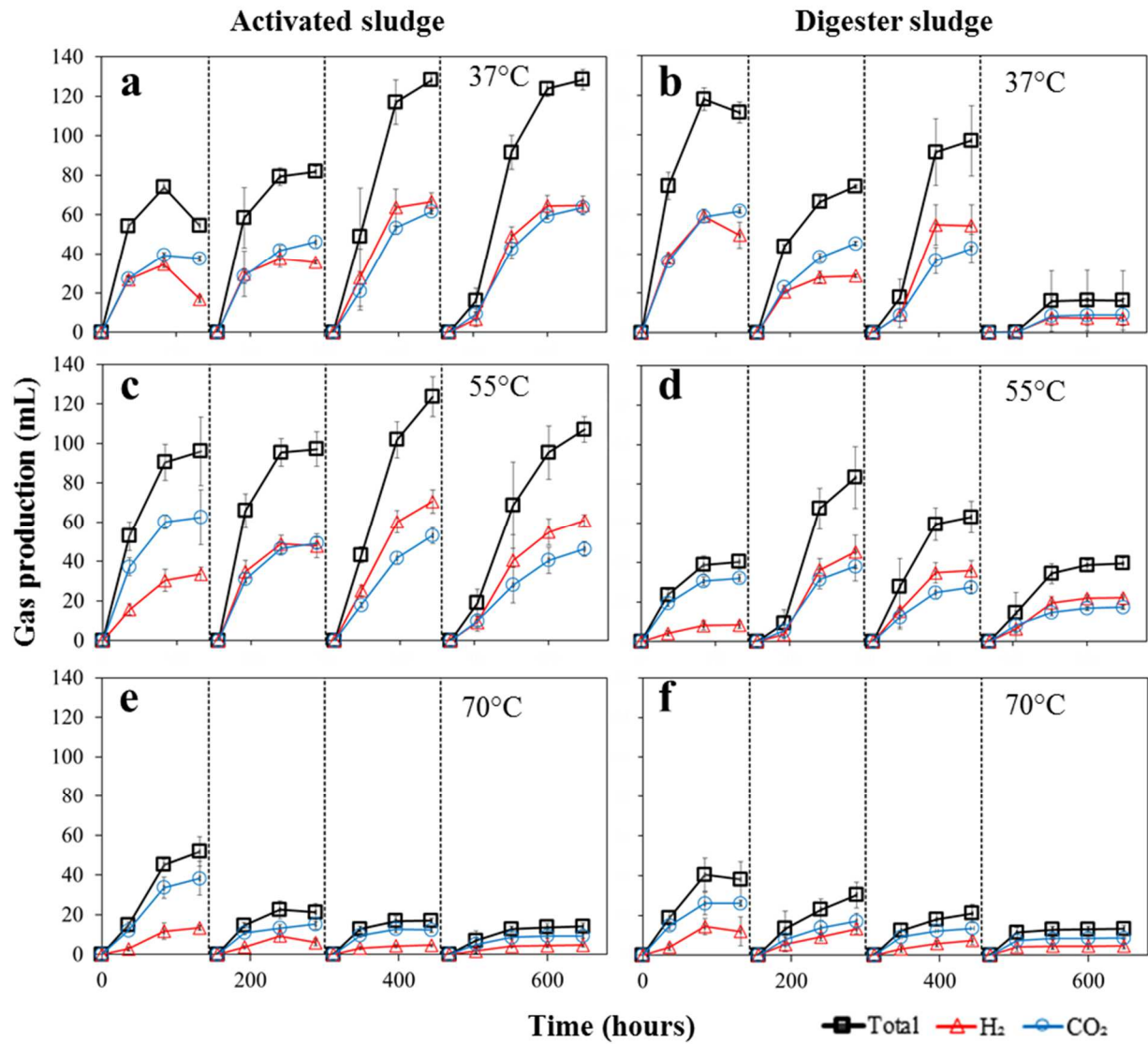


Figure S2. Cumulative gas production obtained with the fresh activated and the digester sludge inoculum. Every point shown in the graphs is calculated as the average of three independent batch cultures, error bars indicate the standard deviation of the triplicates. The dotted lines refer to the end of every batch culture and start of a new culture.

Table S1. Concentration of most abundant metabolites found at the end of the four consecutive batch cultures.

Inoculum	T (°C)	Batch culture	Concentration (mM)			
			Acetate	Butyrate	Ethanol	Lactate
Activated sludge	37	I	21.4 (± 2.2)	30.2 (± 5.2)	1.6 (± 0.3)	-
		II	16.8 (± 4.1)	18.6 (± 3.4)	2.0 (± 0.0)	-
		III	19.5 (± 5.0)	28.0 (± 7.2)	2.1 (± 0.1)	-
		IV	16.8 (± 2.1)	31.0 (± 4.9)	1.6 (± 0.0)	-
	55	I	9.3 (± 4.7)	15.0 (± 4.0)	36.6 (± 8.1)	-
		II	24.2 (± 1.8)	23.0 (± 1.6)	12.8 (± 1.4)	-
		III	27.0 (± 3.4)	29.2 (± 1.9)	3.9 (± 0.3)	-
		IV	17.6 (± 5.6)	22.5 (± 7.3)	1.3 (± 0.3)	-
	70	I	9.7 (± 0.9)	-	30.8 (± 11.3)	15.8 (± 1.2)
		II	9.5 (± 1.4)	-	14.5 (± 4.7)	7.3 (± 0.8)
		III	8.2 (± 0.4)	0.5 (± 0.2)	10.2 (± 1.3)	4.3 (± 0.4)
		IV	6.3 (± 0.1)	-	6.3 (± 1.2)	3.2 (± 0.0)
Digester sludge	37	I	21.6 (± 4.0)	29.5 (± 4.0)	2.2 (± 0.3)	-
		II	18.3 (± 0.8)	16.4 (± 0.9)	1.6 (± 0.1)	-
		III	15.8 (± 1.8)	25.3 (± 4.5)	0.9 (± 0.2)	-
		IV	4.2 (± 2.0)	5.1 (± 3.9)	-	1.7 (± 0.0)
	55	I	8.2 (± 0.8)	3.6 (± 0.3)	20.7 (± 2.5)	24.2 (± 0.7)
		II	8.2 (± 1.5)	17.1 (± 3.9)	3.9 (± 0.4)	-
		III	12.1 (± 1.7)	13.6 (± 0.6)	1.1 (± 0.1)	-
		IV	8.4 (± 0.6)	7.9 (± 0.6)	0.6 (± 0.1)	1.2 (± 0.7)
	70	I	10.7 (± 1.9)	-	12.0 (± 4.1)	11.9 (± 1.3)
		II	11.5 (± 0.6)	-	11.6 (± 2.6)	7.6 (± 0.9)
		III	9.1 (± 1.8)	-	7.8 (± 1.3)	4.1 (± 0.0)
		IV	5.3 (± 0.7)	-	3.4 (± 0.4)	2.1 (± 0.3)