- 1 Enhancing thermophilic dark fermentative hydrogen production at
- 2 high glucose concentrations via bioaugmentation with *Thermotoga*

3 neapolitana

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18 ABSTRACT

19 The aim of the present study was to investigate the effect of gradually increasing 20 glucose concentrations (from 5.6 to 111 mmol L⁻¹) on the fermentative H₂ production 21 with and without bioaugmentation. A stirred tank reactor was operated at 70 °C and 22 inoculated with a hyperthermophilic mixed culture or a hyperthermophilic mixed culture 23 bioaugmented with *Thermotoga neapolitana*. With both the unaugmented (control) 24 and augmented cultures, the H₂ production rate was improved when the initial glucose 25 concentration was increased. In contrast, the highest H_2 yield (1.68 mol H_2 mol⁻¹ 26 glucose consumed) was obtained with the augmented culture at the lowest glucose 27 concentration of 5.6 mmol L⁻¹ and was 37.5% higher than that obtained with the 28 unaugmented culture at the same feed glucose concentration. Overall, H₂ production 29 rates and yields were higher in the bioaugmented cultures than in the unaugmented 30 cultures whatever the glucose concentration. Quantitative polymerase chain reaction 31 targeting *T. neapolitana hydA* gene and MiSeq sequencing proved that *Thermotoga* was not only present in the augmented cultures but also the most abundant at thehighest glucose concentrations.

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Keywords: Biohydrogen, Dark fermentation, Metabolic pathways, Microbial dynamics.

37 INTRODUCTION

38 Recent developments in dark fermentation by anaerobic bacteria have consistently 39 shown this process to be promising for the transformation of carbohydrate-rich 40 substrates to hydrogen. The theoretical stoichiometric yield for fermentative hydrogen 41 production is 4 mol H₂ mol⁻¹ glucose consumed. However, this is exclusively possible 42 when acetate is the sole volatile fermentative product [1,2]. Invariably, the hydrogen 43 vield is lowered by the production of more reduced molecules. Fermentative hydrogen 44 (H₂) production is possible with both pure and mixed microbial cultures originated from 45 natural or engineered environments [3–5]. Typically, the use of mixed cultures is the 46 only option for H₂ generation from non-sterile organic waste and biomass residues 47 unless selective conditions, such as extremely high temperatures, are used during the bioprocess [6–11]. In addition to the microbial culture, the H₂ production performance 48 49 depends on the operating conditions such as temperature, pH, substrate type and 50 concentration, as well as hydraulic retention time [3,12–15].

51 Substrate concentration can have a significant effect on the rate, yield and stability 52 of H₂ production. Increasing substrate concentrations have been shown to result in 53 higher production rates but lower H₂ yields with, for example, a mesophilic or 54 thermophilic H₂-producing mixed cultures [16,17]. Increasing the substrate 55 concentration and, thus, the organic load of the system allows to save the energy 56 required for heating the H₂-producing bioreactors, as high substrate concentrations 57 lead to increased microbial activity and heat generation by microbial metabolism [18].
58 However, the use of extremely high substrate concentrations can cause substrate
59 and/or product inhibition and result in sub-optimal pH for the H₂-producers due to
60 volatile fatty acid accumulation [19,20]. In addition, the low H₂ yields observed at
61 increasing organic loads can be due to a shift in metabolic flux towards
62 solventogenesis (e.g. formation of butanol, acetone and ethanol) and other reduced
63 end-products, the generation of which is not accompanied by H₂ production [21].

64 Bioaugmentation has been proposed in several studies as a potential strategy for 65 enhancing dark fermentation under stress conditions [16,22,23]. Bioaugmentation can 66 be defined as the addition of pre-grown highly specialized microorganisms or 67 populations of several microorganisms to improve the capacity of a treatment or 68 production system [24–26]. Bioaugmentation is an emerging strategy for industrial 69 wastewater treatment [27] and has been used to shorten the lag phase and improve 70 the chemical oxygen demand (COD) removal during dark fermentation of the organic 71 fraction of municipal solid waste [28]. It has also been used to enhance thermophilic 72 H₂ production from corn stover hydrolysate [29] and beverage wastewater [30]. 73 Okonkwo et al. [29] applied bioaugmentation with a synthetic co-culture to enhance 74 the H₂ production during or after temporal temperature fluctuation. Given the success 75 of bioaugmentation strategy in several previous studies, bioaugmentation might be a 76 useful tool for enhancing H₂ production also at high substrate concentrations [31]. 77 However, one of the most difficult issues in bioaugmentation is to ensure the survival 78 of the microorganisms introduced in the established mixed culture as the number of 79 exogenous microorganisms has been reported to shortly decrease after inoculation 80 either as a result of abiotic or biotic influence [32]. Some studies used strategies such 81 as repeated bioaugmentation to promote the persistence of the added bacterium in

the system [33,34]. This strategy might be effective for a transient system recovery but might not ensure long-term process enhancement, if the added bacterium or bacteria are not able to compete with the existing microbial consortium. Furthermore, sudden process disturbances such as increased operation temperature can lead to reduced microbial diversity in the mixed culture and lead to a lower process efficiency, requiring bioaugmentation with bacteria that can stably coexist with the existing microbial consortium.

89 The aim of this study was to study the dynamics of *Thermotoga neapolitana* in a 90 mixed microbial consortium after a period of pre-adaptation as a strategy to make T. 91 neapolitana a stable member of the native microbial community. This study further 92 examined the effects of different feed glucose concentrations on H₂ production in a 93 thermophilic mixed culture with and without T. neapolitana, which is a 94 hyperthermophilic bacterium capable of utilizing a wide range of organic substrates as 95 carbon source and able to produce high hydrogen yields [35,36]. Previous reports 96 showed that Thermotoga neapolitana is capable of producing up to 3.8 mol H₂ mol⁻¹ 97 glucose, which is close to the theoretical limit of 4 mol H₂ mol⁻¹ glucose, and producing 98 acetate, lactate and CO₂ as other major metabolic end products [35,37–39]. This 99 makes T. neapolitana ideal for bioaugmentation purposes. To the best of our 100 knowledge, this is the first study to use pre-adaptation as a strategy for allowing T. 101 *neapolitana* to be a stable member of a native H₂-producing microbial community and 102 for enhancing H_2 production.

103 MATERIALS AND METHODS

104 Experimental Design

The medium used for the cultivation consisted of the following components (g L⁻¹):
NH₄Cl, 1.0; K₂HPO₄, 0.3; KH₂PO₄, 0.3; MgCl₂ x 6 H₂O, 0.2; CaCl₂ x 2H₂O, 0.1; NaCl,

5.0; KCl, 0.1; cysteine-HCl, 1.0; yeast extract, 2.0; 10.0 ml L⁻¹ of vitamin and trace 107 108 element solution (DSMZ 141, Germany). Nitrogen gas was used to sparge the 109 fermentation medium and create an anaerobic environment. Dark fermentation 110 experiments were carried out in batch mode in a double jacketed glass stirred tank 111 reactor (STR) with a working volume of 2 L (Figure 1). The reactor temperature was 112 kept constant at 70 °C using a heated water bath. The reactor was equipped with a 113 pH electrode and temperature probe connected to a programmable controller (Bluelab 114 pH Controller, New Zealand) to maintain the pH of the cultures at 6.5 by automatic 115 dosing of potassium hydroxide (2 molar). The fermentation broth inside the reactor 116 was mixed by a magnetic stirrer (Argolab, Italy) at 150 rpm.

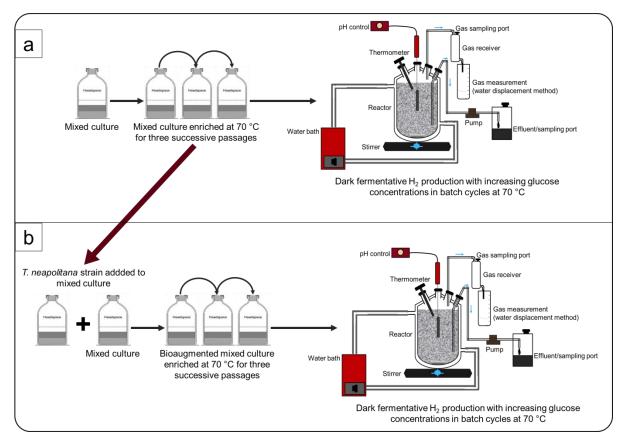




Figure 1. Experimental design to study the effects of bioaugmentation at various feed glucose concentrations during dark fermentation with a thermophilic mixed culture without augmentation (a) and augmented with *Thermotoga neapolitana* (b).

The seed source used in this study was a mixed culture obtained from a laboratory scale continuously stirred tank bioreactor producing H₂ from glucose and xylose at 55 °C [22]. *Thermotoga neapolitana* was purchased from DSMZ, Braunschweig, Germany.

The cultivation of the mixed culture was initiated at 70 °C in 250 mL batch bottles with a working volume of 200 mL at an initial pH of 6.5 with 27.8 mmol L⁻¹ glucose as substrate. Twenty milliliters of the inoculum (10% v/v) was transferred to 180 mL of the culture medium (mg L⁻¹). The cultivation was carried out in batch for three transfers prior to the start of the experiment to acclimatize the culture to the higher incubation temperature (Figure 1a).

131 To determine the influence of bioaugmentation at increasing substrate 132 concentrations, *T. neapolitana* DSM 4359 (DSMZ, Germany) was added to the mixed 133 culture in a 1:1 ratio (based on optical density measurements, OD₆₀₀). The 134 bioaugmented culture was then cultivated with glucose in batch mode in 250 mL 135 anaerobic serum bottles with a working volume of 200 mL for three successive 136 transfers at 70 °C (Figure 1b) to adapt *T. neapolitana* to growing alongside the native 137 microbial community. For each successive transfer, 20 mL of the inoculum (10% v/v) 138 was transferred to 180 mL of the culture medium (mg L⁻¹) to a final volume of 200 mL. 139 H₂ production with the unaugmented and the bioaugmented mixed culture was 140 separately investigated in batch mode in the STR described in section 2.1 and each 141 experiment lasted for a period of 48 h. The initial glucose concentration was stepwise 142 increased from 5.6 to 27.8, 55.5 and 111.0 mmol L⁻¹ in order to determine the impact 143 of increasing substrate concentration on H₂ production, biomass concentration and 144 metabolic patterns.

145 Analytical methods and calculation procedures

The gas produced in the STR was quantified using a water displacement method with 500 mL glass containers. The H₂ containing gas produced was sampled from the gas sampling port using a gas-tight syringe (Hamilton, USA) and the H₂ concentration of the biogas was measured using a 3400 gas chromatograph (GC) (Varian, USA) equipped with a thermal conductivity detector (TCD) and a Restek packed column using argon as the carrier gas. The total volume of the produced H₂ at each time point was calculated using Equation 1 [40]:

153
$$V_{H_2,t} = V_{H_2,t-1} + C_{H_2,t}(V_{G,t} - V_{G,t-1}) + V_H(C_{H_2,t} - C_{H_2,t-1})$$
 (1)

where $V_{H_2,t}$ is the cumulative H₂ produced at time t, $V_{H_2,t-1}$ is the cumulative H₂ produced at time t-1, $V_{G,t}$ is the total gas volume at time t, $V_{G,t-1}$ is the total gas volume at time t-1, $C_{H_2,t}$ is the H₂ fraction in the headspace at time t, $C_{H_2,t-1}$ is the H₂ fraction in the headspace at time t-1 and V_H is the total headspace volume in the bioreactor.

H₂ production was converted into moles on the basis that one mole of an ideal gas occupies a volume of 22.4 L at standard temperature and pressure according to the ideal gas law. Therefore, the volume of H₂ gas produced was divided by 22.4 L in order to obtain H₂ produced in moles. The H₂ yield and productivity were calculated using Equations 2 and 3, respectively.

163
$$H_2 \text{ yield} = \frac{\text{mol } H_2}{\text{mol glucose consumed}}$$
 (2)

164
$$H_2 \ productivity = \frac{mmol H_2}{reaction \ volume \times fermentation \ time \ (hour)}$$
 (3)

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166 Microbial analyses

Genomic DNA was extracted using the PowerSoil[™] DNA Isolation Kit (MoBio
Laboratories, Inc., Carlsbad, CA, USA) according to the manufacturer's instructions.

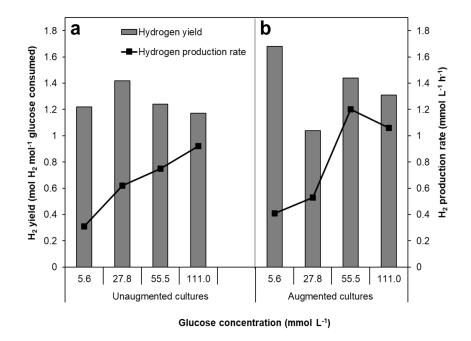
169 Primers 515_532U and 909_928U [41] including their respective linkers were used to 170 amplify the V4_V5 region of the 16S rRNA gene. The resulting products were purified 171 and loaded onto Illumina MiSeq cartridge for sequencing. Sequencing and library 172 preparation were performed at the Genotoul Lifescience Network Genome and 173 Transcriptome Core Facility in Toulouse, France (get.genotoul.fr). The sequence 174 analysis was done as described by [42]. Real-time guantitative polymerase chain 175 reaction (qPCR) monitoring of *T. neapolitana* was carried out using *HydA* primers 176 following the method described by [43]. The 16S rRNA sequences used to support the 177 findings of this study have been deposited in the NCBI Sequence Read Archive under 178 project file SUB6057042: MN203737 - MN203763.

179 RESULTS AND DISCUSSION

180 H₂ production rates and yields at increasing glucose concentrations

181 In the unaugmented cultures, the highest H₂ yield was 1.42 mol H₂ mol⁻¹ of glucose 182 consumed at an initial concentration of 27.8 mmol L⁻¹ of glucose. The H₂ yield dropped to 1.17 mol H₂ mol⁻¹ of glucose consumed at 111 mmol L⁻¹ of feed glucose 183 184 concentration (Figure 2a). The H₂ yield obtained in the augmented cultures was higher than that obtained in the unaugmented cultures. Nonetheless, similar to the 185 186 unaugmented cultures, the H₂ yield decreased by increasing the substrate 187 concentration. With bioaugmentation, H₂ yield increased by 37, 16 and 12% at 5.6, 188 55.5 and 111 mmol L⁻¹ of feed glucose, respectively, compared to the unaugmented 189 cultures. The highest H₂ yield (1.68 mol H₂ per mol of consumed glucose) was 190 obtained at the feed glucose concentration of 5.6 mmol L⁻¹. Qiu et al. [44] studied the 191 effect of xylose concentrations (ranging from 16.7 to 100.0 mmol L⁻¹) on dark 192 fermentative H₂ production by an extreme thermophilic culture, and reported that the 193 fermentation reached the highest H₂ yield of 1.29 mol H₂ mol⁻¹ xylose consumed at initial pH 7.0 and 50.0 mmol L⁻¹ of feed xylose. However, based on other literature
reports, it seems that the optimal initial substrate concentration depends on the
inoculum, substrate type, reactor configuration, temperature and pH range [45,46].

197 In this study, the H₂ production rate increased with increased feed glucose 198 concentration and reached the highest value of 0.92 mmol-L⁻¹h⁻¹ at 111 mmol L⁻¹ of 199 feed glucose in the unaugmented culture. In the augmented culture, the H₂ production 200 rate increased from 0.41 mmol L⁻¹ h⁻¹ at feed glucose concentration of 5.6 mmol L⁻¹ up to 1.44 mmol L⁻¹ h⁻¹ at 55.5 mmol L⁻¹ and then decreased to 1.13 mmol L⁻¹ h⁻¹ at 111 201 202 mmol L⁻¹ of feed glucose (Figure 2b). Higher H₂ production rates than observed in this 203 study have been observed with mixed cultures under different operating conditions 204 [47,48]. The obtained H₂ production rate and yield was generally higher in the culture 205 augmented with T. neapolitana than in the unaugmented culture at the various glucose 206 concentrations studied. This indicates that T. neapolitana was able to survive 207 alongside the native microbial communities.

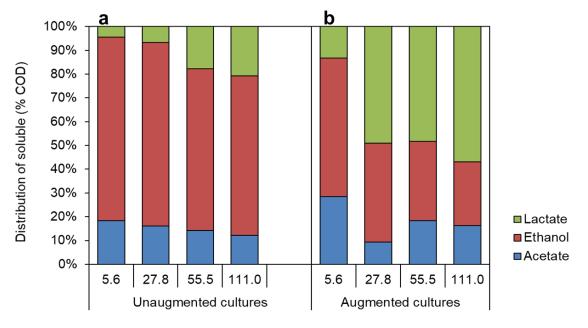


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Figure 2. H₂ yield and production rate obtained with the unaugmented (a) and
augmented (b) cultures at different initial glucose concentrations.

211 Effect of glucose concentration on the composition of soluble metabolites

212 The main soluble microbial products associated with glucose degradation were 213 ethanol, acetate and lactate (Figure 3). In the unaugmented cultures, ethanol was the 214 main soluble metabolite produced and corresponded to 67-77% of the total soluble 215 metabolites produced as COD equivalents. The share of acetate decreased with 216 increasing glucose concentration (from 18 to 12%), while that of lactate increased from 217 4.5 to 18% (Figure 3a). In the augmented cultures, the share of ethanol decreased with increasing glucose concentration (from 58% at 5.6 mmol L⁻¹ glucose to 27% at 218 219 111 mmol L⁻¹ glucose). Thus, the share of ethanol was lower in the augmented culture 220 compared to the unaugmented culture at all studied glucose concentrations. The 221 percentage of acetate decreased in the augmented culture from 29% at 5.6 mmol L⁻¹ of feed glucose to 16% at 111 mmol L⁻¹ of feed glucose, while the share of lactate 222 significantly increased from 13% at initial concentration of 5.6 mmol L⁻¹ glucose to 57% 223 224 at 111 mmol L⁻¹ of feed glucose (Figure 3b).



Substrate concentration (mmol L⁻¹)

225

Figure 3. The distribution of soluble metabolites as chemical oxygen demand (COD) equivalents at the endpoint of fermentation at the different initial glucose concentrations with the unaugmented (a) and augmented (b) cultures.

229

230 In many previous studies, dark fermentation of glucose has resulted in the 231 production of mainly butyrate and acetate as soluble metabolites under mesophilic, 232 thermophilic and hyperthermophilic conditions [49-53]. However, it seems that 233 ethanol-based fermentation was the major pathway leading to H₂ production in this 234 study due to the high ethanol yields obtained especially with the unaugmented 235 cultures. The ethanol-type fermentation (Equation 4) has a theoretical maximum of 2 236 mol of H₂ per mol of glucose and has been reported to occur under mesophilic 237 conditions [54,55] but not for mixed cultures at temperatures as high as 70 °C.

238 $C_6H_{12}O_6 + H_2O \rightarrow C_2H_5OH + CH_3COOH + 2CO_2 + 2H_2$ (4)

Previous studies have reported yields of 1.8 mol ethanol mol⁻¹ glucose with pure culture of *T. ethanolicus* [56] and 1.5 mol ethanol mol⁻¹ glucose from *T. hydrosulfuricus* [57] at 72 and 69 °C respectively. The highest ethanol yield obtained with the unaugmented culture in this study was 1.4 mol H₂ mol⁻¹ of glucose. Meanwhile, the highest ethanol yield in the augmented culture was 1.2 mol ethanol mol⁻¹ of glucose and was obtained at initial glucose concentration of 27.8 mmol L⁻¹.

In addition to the increased H₂ yield obtained by bioaugmentation, a shift in the metabolic networks was observed with the *T. neapolitana*-augmented culture when compared to the unaugmented culture. Indeed, the *T. neapolitana*-augmented culture produced a lower share of ethanol and a higher share of acetate and lactate to the fermentation broth (Figure 3). Thus, the bioaugmentation with *T. neapolitana* directed the metabolic pathway towards acetate and lactate production. Previous reports on 251 pure cultures of *T. neapolitana* have shown that acetate, lactate and alanine are the 252 major soluble metabolites produced by T. neapolitana [38,43,58,59]. The direction of 253 the metabolic pathway towards acetate production allows producing more H₂ and 254 seemed to be the case with the bioaugmented culture in this study. Nonetheless, as 255 *T. neapolitana* is also capable of producing high concentrations of lactate at increased 256 substrate concentrations [17], the increase in the share of lactate observed in the 257 augmented culture was at least partly attributed to presence of T. neapolitana. Lactate 258 as an electron sink takes a large amount of reducing power away from H_2 production 259 thereby reducing the H_2 yield [60,61].

260

261 Quantification of *Thermotoga neapolitana* within the mixed microbial 262 communities

263 The gPCR method applied in this study to quantify and confirm the presence of T. 264 neapolitana in the bioaugmented mixed cultures has previously been successfully 265 used in guantitation of T. neapolitana from pure and mixed cultures [43]. The 266 quantitative analysis of *T. neapolitana hydA* gene from the bioaugmented cultures 267 showed an increase of the *hydA* gene copies per mL of culture as the initial glucose 268 concentration was increased (Figure 4). Thus, the gPCR results indicated that after 269 bioaugmentation, T. neapolitana became an active member of the microbial 270 consortium and likely responsible for the shift in the soluble metabolites and 271 enhancement of H₂ production compared to the unaugmented culture. The qPCR 272 carried out on the unaugmented culture confirmed that *T. neapolitana* was not present 273 in the culture.

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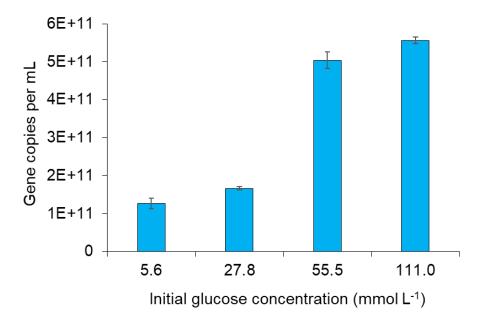


Figure 4. Real-time gPCR monitoring of hydA gene copy numbers of *T. neapolitana* in

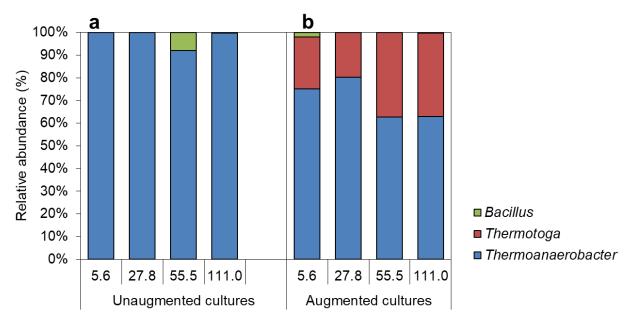
the augmented culture at different initial glucose concentrations.

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279 Microbial community profiles at different glucose concentrations

280 The MiSeq data obtained from the cultures assessed in this study covered over 33000 281 effective sequences with the lowest number of sequences being 24967. The number 282 of operational taxonomic units (OTUs) was relatively low, indicating that the microbial 283 communities in both the unaugmented and the augmented culture were rather simple 284 because high temperature environments are extremely selective [62]. The 285 unaugmented culture was dominated by *Thermoanaerobacter* spp. at all initial glucose 286 concentrations. The share of *Thermoanaerobacter* in the microbial community was 287 99.9% at all other glucose concentrations than 55.5 mol L⁻¹, when Bacillus was 288 detected at an abundance of 8%.



289

Initial glucose concentration (mmol L⁻¹)

Figure 5. Microbial community composition and relative abundance of genera identified at different feed glucose concentrations in the unaugmented (a) and augmented (b) culture.

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294 At the lowest initial glucose concentration of 5.6 mmol L⁻¹, the augmented cultures 295 had 75, 23 and 2% abundance of Thermoanaerobacter, Thermotoga and Bacillus 296 spp., respectively. However, at the higher initial glucose concentrations, *Bacillus* spp. 297 were not detected anymore from the microbial community and the shares of 298 Thermoanaerobacter and Thermotoga spp. were 62-80% and 20-37%, respectively 299 (Figure 5). The abundance of *Thermotoga* in the community was higher at the two 300 highest initial glucose concentrations, which is accordance with the qPCR results 301 (Figure 4).

The bioaugmentation of a *Thermoanaerobacter*-dominated mixed culture with *T. neapolitana* improved both the H₂ production yield and rate. *Thermoanaerobacter* species are well known thermophilic bacteria capable of producing H₂, ethanol and

acetate [63-65]. Thus, their presence explains also the high ethanol production 305 306 observed. Bacteria within this genus have also been reported to use the Embden-307 Meyerhof-Parnas pathway for sugar degradation and produce ethanol, acetate and 308 lactate as major volatile end products [66], which is in accordance with the metabolite 309 profiles observed in this study. In the bioaugmented culture, the presence of T. 310 neapolitana resulted in lower ethanol production, while the shares of acetate and 311 lactate increased compared to the unugmented cultures. Thus, the differences 312 observed in the abundance of different soluble metabolites in the unaugmented and 313 augmented cultures can be explained with the observed differences in the microbial 314 community composition.

315 The pre-adaptation as a strategy to make *T. neapolitana* a stable member of the 316 native microbial community was successful based on the molecular monitoring 317 methods used this study, as both the T. neapolitana hydA gene copy numbers and 318 relative abundance of Thermotoga were shown to increase towards the end of the 319 study. The pre-adaptation of a bacteria to a mixed culture prior to its application to a 320 large scale process could thus be beneficial for enhancing microbial activity levels, 321 treating complex waste materials and driving the metabolic pathway towards the 322 desired products. Bioaugmentation also has the potential to improve the microbial 323 community structure and enhance resistance and resilience in case of unforeseen 324 disturbances [67]. However, pre-adaptation may not be feasible in the case of sudden 325 transient disturbances due to the fact that it is time consuming.

Based on the results obtained from chemical analysis and molecular data, it is evident that *T. neapolitana* contributed to the H₂ production in the mixed culture. Reports from this and previous studies [37,43,68] have shown that *T. neapolitana* is able to produce H₂, CO₂, acetate and lactate from mono and polysaccharides as the

major products of metabolism. However, its primary role in nature is to reduce sulfur 330 331 to hydrogen sulfide through the oxidation of organic molecules [69]. Nonetheless, no 332 extensive research exists on the interactions of this organism with other organisms up 333 to now. Except for the switch in the metabolic pathways and an enhanced H_2 334 production, it is not known what kind of interactions occurred between T. neapolitana 335 and the native microbial community. It would be useful to further investigate the 336 characteristics of *T. neapolitana* in the augmented culture at a functional level by 337 studying the protein expression to identify the mechanisms responsible for its 338 adaptation and survival within the native microbial community [70-72], as this could 339 enable a further process optimization.

340 CONCLUSIONS

341 The bioaugmentation of a Thermoanaerobacter-dominated mixed culture with 342 Thermotoga neapolitana improved both the H₂ production yield and rate. Thus, the 343 results of this study indicate that the addition of a single strain with required 344 characteristics can be enough for improving the performance of a biological process. 345 The H₂ production rate of the augmented cultures increased when the initial glucose 346 concentration was increased from 5.6 to 55.5 mmol L^{-1} , while the highest H₂ production 347 yield, 1.68 mol H₂ per mol of consumed glucose, was obtained at the lowest initial glucose concentration of 5.6 mmol L⁻¹. The pre-adaptation of *T. neapolitana* to the 348 349 mixed culture during three successive batch incubations prior to the reactor 350 experiments was demonstrated to be a successful strategy to ensure that T. 351 neapolitana was able to co-exist within the mixed microbial consortium. However, 352 further experiments utilizing continuously-fed bioreactor systems are recommended to 353 evaluate the long-term effects of the selected bioaugmentation strategy.

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