

1       **Hydrogenic and methanogenic fermentation of birch and conifer pulps**

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12

## 13        **Abstract**

14

15        Conifer and birch pulp fermentation to hydrogen and methane was studied using dry and  
16        wet pulps with a compost enrichment culture at a pH range from 6 to 9. Hydrogen was  
17        produced at each pH, whilst methane was produced at all other pH values except pH 6  
18        with dry conifer pulp and pH 9. Hydrogen and methane yields were generally higher with  
19        birch than with conifer pulp and the overall energy yields were higher with wet than dry  
20        pulp. The highest hydrogen and methane yields were 560 mL H<sub>2</sub>/g TS with wet birch  
21        pulp at pH 6 and 4800 mL CH<sub>4</sub>/g TS with wet conifer pulp at pH 7, respectively.

22        Fermentation of dry pulps at pH 6 resulted in 160 mL H<sub>2</sub>/g TS. Hydrogenic bacteria  
23        belonging to phyla Bacteroidetes, Firmicutes and Proteobacteria were present in the  
24        cultures. Hydrogen was also produced from chemically hydrolyzed pulps. The highest  
25        hydrogen yield from dry conifer pulp hydrolysate was 63 mL H<sub>2</sub>/g TS. In summary,  
26        hydrogen and energy (calculated as H<sub>2</sub>) yields were higher with direct fermentation than  
27        from chemically hydrolyzed pulps. However, chemical hydrolysis followed by hydrogen  
28        production required less than 10 days compared to 28 days required for direct pulp  
29        fermentation to hydrogen.

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31        *Keywords:* Biohydrogen; methane; dark fermentation; bacterial hydrolysis; chemical  
32        hydrolysis.

33

## 34        **1. Introduction**

35

36        Lignocellulosic biomass is the most abundant raw material in nature [1] and has low cost  
37        [2]. Lignocellulose consists of lignin, cellulose and hemicellulose, from which cellulose  
38        and hemicellulose can be fermented to hydrogen and/or methane. Dark fermentative

39 hydrogen production has high hydrogen production rates, simple operation, and is  
40 independent of sunlight [3,4]. In nature, fermentation proceeds to methanogenesis.  
41 Renewable cellulosic materials, such as agricultural crops, pulp and paper industry  
42 wastewaters, food processing wastewaters, or algae, are amenable to hydrogenic and  
43 methanogenic fermentation (for a review, see [5]). Hydrogen fermentation of agricultural  
44 wastes and different waste materials have resulted in yield of 1-209 mL H<sub>2</sub>/g VS and  
45 0.7-389 mL H<sub>2</sub>/g VSS/h (reviewed by [6] and [7]), respectively. Methane yields from  
46 agricultural resources and energy crops have been in the range of 30-590 mL CH<sub>4</sub>/g VS  
47 and 290-420 mL CH<sub>4</sub>/g TS (for reviews, see [8],[9]), respectively. Fermentation is  
48 inexpensive, has low energy requirements, is easy to operate, and proceeds in mild  
49 environmental conditions [5]. However, the rate of polymer hydrolysis and sugar yields  
50 may be low and the enrichment of suitable microbial community may be difficult [5,10].

51  
52 Hydrogen gas has a high-energy content (122 MJ/kg) [11] and produces only water when  
53 combusted [12]. The enrichment procedure for hydrogen producing communities often  
54 involves pretreatment, such as heat, acid or base, to inhibit methanogens [13-16].

55 However, some pretreatments may also inhibit some cellulolytic and hydrogen producing  
56 bacteria [14,15,17]. Thus, with complex substrates pretreatments cannot always be used  
57 [18]. High hydrogen yields have also been reported with non-pretreated cultures [16,19].  
58 Fermentation of food waste (initial concentration 8 g VS/L/d) resulted in 90 % cellulose  
59 degradation and a hydrogen yield of 2.2 mol H<sub>2</sub>/mol hexose [18]. In our previous study,  
60 an enriched compost culture degraded 57 % of the cellulose (initial concentration 5 g/L)  
61 and produced hydrogen with a yield of 2.4 mol H<sub>2</sub>/mol hexose<sub>degraded</sub> [16].

62  
63 Temperature and pH are important factors in direct cellulose fermentation to  
64 hydrogen/methane. The pH affects cellulose hydrolysis, metabolic pathways as well as

65 hydrogen and methane production [19-22]. The pH influences microbial community  
66 composition and may thus be used to select for hydrogen producers and inhibit  
67 methanogens [21,22]. Hydrogen production from cellulosic substrates has been reported  
68 at pH values between 6-8.5 [19] and 4-10 [21]. Hu et al. [23] reported that pH below 6.0  
69 inhibited ruminal, cellulolytic bacteria. The optimal pH for methanogenic fermentation is  
70 between 6.8 and 7.2, and pH below 6.0 or above 8.5 inhibits methanogens [22].

71 Temperature affects enzymatic reaction rates, hydrogen and methane production,  
72 metabolite distribution, and hydrogen partial pressure that influences hydrogen  
73 production [22,24-26]. Majority of hydrogen and methane production studies have been  
74 done with mesophiles i.e. between 30 and 40°C (for reviews, see [9,27]).

75  
76 Chemical hydrolysis can be used for hydrolyzing cellulosic materials into sugars [28-30]  
77 prior to hydrogen fermentation. Chu et al. [31] hydrolyzed cotton cellulose with 55 %  
78 H<sub>2</sub>SO<sub>4</sub> with a sugar yield of 64-73 % (g reduced sugar/g cotton cellulose) and reported a  
79 0.99 mol H<sub>2</sub>/mol reduced sugar yield. Lo et al. [6] hydrolyzed sugarcane bagasse either  
80 with phosphoric acid (at 50°C for 30 min) or sodium hydroxide (autoclaved at 120°C for  
81 20 min). The resulting reduced sugar concentrations were 1.34 and 1.30 g/L for NaOH-  
82 and H<sub>3</sub>PO<sub>4</sub>-treated bagasse, respectively, with the corresponding hydrogen yields of 125  
83 and 147 mL H<sub>2</sub>/g reduced sugar.

84  
85 In this study, cellulosic substrates (dry and wet birch and conifer pulps obtained from a  
86 paper factory) were fermented for hydrogen and methane production. Microbial  
87 communities were enriched from a compost sample at 37°C, at four different pH values  
88 (6,7,8,9) to select for hydrogen producing cultures. In the second enrichment step,  
89 methanogens were inhibited with 2-bromoethanesulfonic acid (BESA) to determine the  
90 hydrogen production potential at pH 7. The microbial communities responsible for direct

91 pulp fermentation to hydrogen were characterized using polymerase chain reaction –  
92 denaturing gradient gel electrophoresis (PCR-DGGE). The effect of acid hydrolysis (55  
93 % H<sub>2</sub>SO<sub>4</sub> at 37°C) of pulp on hydrogen fermentation was determined.

94

## 95 **2. Materials and Methods**

96

### 97 *2.1 Direct pulp fermentation to hydrogen and methane*

98

99 The wet and dry pulp materials made from birch or conifer were obtained from a paper  
100 factory (UPM-Kymmene Oyj, Jämsänkoski, Finland). Dry pulps were delivered as paper  
101 sheets that were cut to pieces (1-2 cm), while wet pulp was delivered as wet mass and  
102 was added according to the total solid (TS) weight. Microbial communities were enriched  
103 from compost sample obtained from a Solid Waste Management Site (Tarastenjärvi,  
104 Tampere, Finland). The enrichment was done without pretreatment in 500 mL batch  
105 bottles with a working volume of 150 mL. One liter medium contained 10.7 g NaH<sub>2</sub>PO<sub>4</sub>,  
106 3.2 g Na<sub>2</sub>HPO<sub>4</sub>, 0.6 g NH<sub>4</sub>Cl, 0.125 g KH<sub>2</sub>PO<sub>4</sub>, 0.11 g CaCl<sub>2</sub>·2H<sub>2</sub>O, 0.1 g MgCl<sub>2</sub>·6H<sub>2</sub>O, 4  
107 g NaHCO<sub>3</sub>, 2.18 g FeCl<sub>2</sub>·4H<sub>2</sub>O, 50 µg H<sub>3</sub>BO<sub>3</sub>, 50 µg ZnCl<sub>2</sub>, 38 µg CuCl<sub>2</sub>·2H<sub>2</sub>O, 41 µg  
108 MnCl<sub>2</sub>·2H<sub>2</sub>O, 50 µg (NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>·4H<sub>2</sub>O, 50 µg AlCl<sub>3</sub>, 50 µg CoCl<sub>2</sub>·6H<sub>2</sub>O, 50 µg  
109 NiCl<sub>2</sub>·6H<sub>2</sub>O, 0.5 g EDTA, 2 g yeast extract, 0.013 g Na<sub>2</sub>S<sub>2</sub>O<sub>4</sub> and vitamin solution  
110 (DSMZ medium No141, German Collection of Microorganisms and Cell Cultures).  
111 Batch bottles were incubated at 37°C with a mechanical mixing of 150 rpm (dry pulps) or  
112 without mixing (wet pulps). The initial concentrations of dry pulps, wet birch and wet  
113 conifer pulps were 5, 1.1 and 1.2 g TS/L. Growth on each substrate was tested in  
114 triplicate at four different pH values (6, 7, 8 and 9). The fine compost material was  
115 separated from the non-degradable and larger pieces and 20 g/L of the fine compost  
116 sample was added to the batch bottles as inoculum. Gas and liquid samples were taken

117 two or three times a week and pH was adjusted ( $\pm 0.1$ ) at the same time.

118

119 The second enrichment step was done at pH 7 with substrate concentration of 5 g TS/L.

120 The total and working volumes of batch bottles were 500 and 250 mL and they were

121 inoculated in triplicate from the first enrichment cultures (10 % v/v) at pH 7.

122 Methanogens were inhibited by adding 20 mM BESA. Batch bottles were incubated at

123 37°C with mechanical mixing of 150 rpm. Sampling was done as in the first enrichment

124 step.

125

## 126 *2.2 Chemical hydrolysis*

127

128 The four different pulp materials were chemically hydrolyzed with 55 % H<sub>2</sub>SO<sub>4</sub> at 37°C

129 with mechanical mixing of 150 rpm. First, the optimal time (from 15 to 180 min) for

130 hydrolysis was determined with initial substrate concentrations of 50, 11 and 12 g/L for

131 dry pulps, wet birch pulp and wet conifer pulp, respectively. Sulfate ions were removed

132 from the solution by adding calcium oxide (41.35 g CaO/100 mL 55 % H<sub>2</sub>SO<sub>4</sub>) and

133 MQ-water (4:5 v/v) to the reaction mix, which also stopped acid hydrolysis due to

134 neutralization. The reaction mix was cooled down to room temperature and the solids

135 were removed by filtration (0.45  $\mu$ m, Whatmann GC/C-filters), after which the

136 concentrations of total sugars were analyzed.

137

## 138 *2.3 Hydrogen production from acid hydrolysate*

139

140 The sugars obtained from acid hydrolysis of dry pulps were tested for hydrogen

141 production in batch assays. Hydrogen production was studied with a hot spring culture

142 enriched for hydrogen production [26] and known to ferment many hexoses and pentoses

143 (results not published). Batch experiments were conducted in triplicate in 120 mL flasks  
144 with a working volume of 50 mL. The medium was the same used in direct fermentation  
145 experiment. In the beginning, 4 % inoculum (v/v) and 10 g total sugars/L were added to  
146 the medium and pH was adjusted to  $7.0 \pm 0.1$ . Hydrogen was produced at 37°C for 5 days  
147 with mechanical mixing of 150 rpm. The biogas and liquid samples were taken and  
148 analyzed every second day.

149  
150 The effect of initial pH (5, 6, 7, 8 and 9) and temperature (from 25 to 43°C) on hydrogen  
151 production from dry conifer pulp hydrolysate was determined. The pH experiment was  
152 done in triplicate at 37°C in 120 mL bottles with a working volume of 50 mL, substrate  
153 concentration of 10 g total sugars/L and 4 % (v/v) inoculum that was used from the  
154 previous experiment. The temperature experiment was performed in a  
155 temperature-gradient incubator (Test Tube Oscillator, Terratec) with duplicate samples.  
156 The cultures were grown in 25 mL anaerobic tubes with 10 mL working volume, 4 % (v/v)  
157 inoculum and initial concentration of 10 g total sugars/L. Mixing was kept at 60  
158 oscillations/min.

#### 159 160 *2.4 Chemical analysis*

161  
162 The amount of total sugars were analyzed with phenol-sulphuric acid method modified  
163 from Dubois et al. [32], where the volumes of sample, 5 % phenol and H<sub>2</sub>SO<sub>4</sub> were 1, 0.5  
164 and 2.5 mL, respectively. The total solids were analyzed according to the standard SFS  
165 3008 [33], where substrate was added to a weighed cup. The cup and substrate were  
166 heated at 105°C overnight and weighed after cooling.

167

168

169 The overpressure from the batch bottles and the gas composition (H<sub>2</sub>, CH<sub>4</sub> and CO<sub>2</sub>) were  
170 analyzed according to Nissilä et al. [16]. The concentrations of different sugars (glucose,  
171 xylose, L-arabinose, maltose, sucrose, lactose and cellobiose), volatile fatty acids (VFAs)  
172 and alcohols (lactate, formate, propionate, acetate, ethanol and butyrate) were analyzed  
173 with a Shimadzu High Performance Liquid Chromatography (HPLC) with a Rezex  
174 RHM-Monosaccharide column (Phenomenex) and a refraction index detector (Shimadzu).  
175 Mobile phase was 5 mM H<sub>2</sub>SO<sub>4</sub> with a flow rate of 0.5 mL/min. Samples were filtered  
176 (0.2 µm) and diluted with MQ-water before analysis. The hydrogen yield from  
177 hydrolysate was calculated according to Chu et al. [31]. The energy yields from hydrogen  
178 and methane were calculated based on their lower heating values, 120 MJ/kg and 50  
179 MJ/kg, respectively.

180

### 181 *2.5 Microbial community analysis*

182

183 Bacterial communities were analyzed with DNA extraction and PCR-DGGE of partial  
184 16S rRNA genes followed by their sequencing. Duplicate samples were taken from the  
185 end points of bacterial hydrolysis experiment and stored at -20°C. DNA was extracted  
186 with a PowerSoil™ DNA isolation kit (MoBio Laboratories, Inc.). PCR-DGGE analysis,  
187 the re-amplification of the bands as well as analysis of the sequence data was done as  
188 described by Nissilä et al. [16].

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### 195 **3. Results and Discussion**

196

#### 197 *3.1 Direct pulp fermentation to hydrogen and methane*

198

199 Direct pulp fermentation to hydrogen and methane was studied with four different pulp  
200 materials at 37°C and the effect of pH on hydrogen and methane production potentials  
201 was determined. The first enrichment step was without culture pretreatment, since  
202 pretreatments may inhibit cellulolytic or hydrogen producing bacteria [14,15,17] and are  
203 costly in large scale. Methane was produced in the first enrichment step and thus,  
204 methanogens were inhibited with BESA in the second enrichment step.

205

##### 206 *3.1.1 Enrichment of hydrogenic and methanogenic communities*

207

208 Compost sample was used for enriching cellulolytic, hydrogen and methane producing  
209 communities. Simultaneous cellulose hydrolysis and hydrogen production from pure  
210 cellulose with a high hydrogen yield of 2.4 mol H<sub>2</sub>/mol hexose<sub>degraded</sub> was reported earlier  
211 with a culture enriched from the same compost [16]. Hydrogen was produced at pH  
212 values from 6 to 9, while methane was produced in incubations expect at pH 6 from dry  
213 conifer pulp and at pH 9 with all substrates (Fig. 1). This result is in line with known  
214 optimum pH range of 6.5 to 8.2 [34] and inhibitory pH above 8.5 [22] for methanogens.  
215 Reports on hydrogen production at pH 9 are scarce in mixed cultures. However, hydrogen  
216 production at pH 9 was reported from cassava stillage with a yield of 39.0 ± 1.9 mL H<sub>2</sub>/g  
217 VS [35] and from starch with a yield of 49 mL H<sub>2</sub>/g starch [36]. Our results show that  
218 hydrogen production from dry conifer pulp would be feasible at pH 6, since rather high  
219 hydrogen yield of 160 ± 10 mL H<sub>2</sub>/g TS was obtained with no methane production. Shin  
220 and Youn [18] and Zhu et al. [37] reported hydrogen yields of 125 and 209 mL H<sub>2</sub>/g VS

221 from food waste and liquid swine manure, respectively.

222  
223 Fig. 1

224  
225 With dry pulps at pH 7 and 8, higher hydrogen yields were obtained from conifer pulp  
226 while methane yields were higher from birch pulp (Figure 1). Higher overall energy  
227 yields (calculated from hydrogen and methane yields) were obtained from dry birch than  
228 dry conifer pulp. The highest overall H<sub>2</sub> and CH<sub>4</sub> energy yields were obtained at pH 7 and  
229 8 and they were  $8.6 \pm 1.9$  and  $14.0 \pm 1.2$  kJ/g TS from dry conifer pulp and  $15.4 \pm 0.4$   
230 and  $14.7 \pm 1.4$  kJ/g TS from dry birch pulp, respectively. The methane yield from wet  
231 conifer pulp at pH 7 differed considerably from the other batch cultures resulting in the  
232 highest energy yield of  $163 \pm 11$  kJ/g TS. High methane yield was obtained as acetate and  
233 butyrate were effectively used for methane production (Fig. 2.B). Methane yields of 356  
234 and 369 mL CH<sub>4</sub>/g VS have been reported from cellulose [38] and from office paper [39],  
235 respectively. The energy yields at pH 6, 7 and 8 were  $30 \pm 6$ ,  $163 \pm 11$  and  $39 \pm 4$  kJ/g TS  
236 from wet conifer pulp and  $41 \pm 16$ ,  $35 \pm 5$  and  $42 \pm 6$  kJ/g TS from wet birch pulp,  
237 respectively. The hydrogen and methane yields (as mL/g TS) were considerably higher  
238 with wet than dry pulps (Fig. 1). This was likely due to lower initial total solid  
239 concentration and higher surface area of wet pulp material [40].

240  
241 Fig. 2

242  
243 The total sugars were monitored throughout the experiment and their amount remained  
244 between 0.06 and 0.48 g/L, and consisted mainly of glucose (results not shown). The low  
245 sugar concentrations show that sugars obtained from cellulose hydrolysis were effectively  
246 used for dark fermentation. The metabolite distribution of wet conifer pulp culture at pH

247 7 differed considerably from other assays and consisted mainly of propionate due to  
248 efficient use of acetate and butyrate for methane production. Methane production from  
249 propionate was reported to be more difficult than that from acetate or butyrate [41]. In  
250 other batch bottles, acetate was the main soluble metabolite and constituted 56-83 % of  
251 the total soluble metabolites (Figure 2). At pH 6, butyrate was the other main soluble  
252 metabolite, while at other pH values acetate production was followed by propionate  
253 production. The soluble metabolites distribution and concentrations at pH 9 were similar  
254 to pH values 7 and 8 despite the lower hydrogen production yields at pH 9.

255  
256 Methanogens were inhibited with BESA in the second enrichment step at pH 7 with all  
257 pulp materials (Fig. S1). The hydrogen yields with dry birch, dry conifer, wet birch and  
258 wet conifer were  $68 \pm 6$ ,  $76 \pm 4$ ,  $66 \pm 10$  and  $68 \pm 7$  mL H<sub>2</sub>/g TS, respectively. The  
259 hydrogen production yields were lower than in the first enrichment step, which may have  
260 been due to BESA inhibition of some Clostridia [17]. Acetate was the main soluble  
261 metabolite, while propionate concentration was lower than in the first enrichment culture.

### 262 263 *3.1.3 Bacterial communities responsible for direct fermentation of pulp to hydrogen*

264  
265 Bacterial communities were analyzed with PCR-DGGE followed by band sequencing  
266 (Table 1, Fig. 4). The samples were taken from batch assays at the end points of direct  
267 conifer or birch pulp fermentation to hydrogen at each pH. The bacterial communities  
268 belonged mainly to phyla Bacteroidetes, Firmicutes and Proteobacteria. Bacterium  
269 closely related to *Bacteroides* sp., a bacterium isolated from a landfill leachate bioreactor,  
270 was present in all samples. Bacteroidetes are obligately anaerobic and saccharolytic  
271 bacteria [42]. Furthermore, two Spirochaetes were detected at pH 6, 7 and 8 with both  
272 conifer and birch pulps. Spirochaetes are saccharolytic bacteria that ferment

273 carbohydrates to acetate, lactate, CO<sub>2</sub> and H<sub>2</sub> [43].

274

275 Fig. 4, Table 1

276

277 Bacteria detected with both substrates at pH 7 and 8 included bacteria closely related to  
278 *Ruminofilibacter xylanolyticum*, *Comamonas denitrificans*, a denitrifying bacterium  
279 isolated from activated sludge [44], and bacteria distantly related to *Clostridium populeti*  
280 (94.9 % similarity) and *Parabacteroides goldsteinii* (90.3-95.3 %). *Ruminofilibacter*  
281 *xylanolyticum* is a rumen bacterium that is involved in the digestion of xylan. It has  
282 previously been detected in biogas plant fed with maize silage, green rye and liquid  
283 manure [45] and during the production of biogas from grass silage [46]. *C. populeti* is a  
284 cellulolytic bacterium that grows optimally at pH 7 with metabolic products of acetate,  
285 butyrate, lactate, CO<sub>2</sub> and H<sub>2</sub> [47]. *P. goldsteinii* ferments e.g. cellobiose, glucose and  
286 xylose to various acids [48]. Furthermore, *Ruminobacillus xylanolyticum* was present at  
287 pH 8. *Ruminobacillus xylanolyticum* is a xylanolytic species isolated from rumen and has  
288 been earlier detected in a thermophilic anaerobic reactor co-treating organic fraction of  
289 municipal solid wastes and fat, oil and grease wastes [49].

290

291 Bacteria closely related to *Alcaligenes faecalis* and *Escherichia coli* were present at pH 6  
292 and 9 with both birch and conifer pulps. *E. coli* is a facultatively anaerobic bacterium that  
293 carries out mixed acid fermentation to hydrogen [50]. The hydrogen yields obtained with  
294 *E. coli* include 0.84 mol H<sub>2</sub>/mol glucose [51] and 0.54 mol H<sub>2</sub>/mol glucose [50]. However,  
295 *E. coli* has also two hydrogenases that can consume hydrogen [52]. *A. faecalis* reduces  
296 nitrite anaerobically and can utilize acetate or caprate as carbon sources [53].

297 Furthermore, at pH 9 bacteria closely related to *Pseudomonas pertucinogena* and

298 *Citrobacter sedlakii* as well as a bacterium distantly related to *Clostridium ultunense*

299 (95.4 %) were detected. *P. pertucinogena* grows on few organic acids and on L-alanine  
300 [54], while *C. sedlakii* grows on e.g. cellobiose, lactose and glycerol [55]. *C. ultunense*  
301 grows optimally at 37°C, between pH 5 and 10 and produces acetate, formate, H<sub>2</sub> and  
302 CO<sub>2</sub> as the metabolites from glucose [56]. *C. ultunense* has been found from thermophilic  
303 co-digester treating organic fraction of municipal solid wastes and fat, oil and grease  
304 wastes [49].

305

306 Our results indicate that bacteria responsible for cellulose fermentation were different at  
307 different pH values, e.g. *C. populati* likely hydrolyzed cellulose at pH 7 and 8. Three  
308 saccharolytic bacteria were present at pH values from 6 to 9. Hydrogenic bacteria, on the  
309 other hand, were also dependent on pH, and different bacteria likely produced hydrogen  
310 at pH 9, e.g. *C. ultunense*, than at other pH values.

311

### 312 *3.2 Chemical hydrolysis followed by H<sub>2</sub> production*

313

#### 314 *3.2.1 Optimizing chemical hydrolysis*

315

316 Acid hydrolysis was done with 55 % sulphuric acid at 37°C. The optimal time for  
317 sulphuric acid hydrolysis was determined with all four pulp materials from 15 to 180 min  
318 and the results were as shown in Fig. 4. The highest sugar yields with dry birch and  
319 conifer pulps were obtained at 180 min and were 84.3 and 69.6 %, respectively. The  
320 highest sugar yields with wet birch and conifer pulps were obtained at 90 min and were  
321 36.8 and 32.8 %, respectively. Dry conifer and dry birch pulps were selected for  
322 hydrogen production tests because higher sugar yields were obtained with them. Dry  
323 birch and conifer pulp hydrolysates consisted of 30 % cellobiose, 38 % glucose and 32 %  
324 xylose and of 37 % cellobiose, 44 % glucose and 19 % xylose, respectively.

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Fig. 4

Acid hydrolysis is often done at increased temperature and pressure. For example, acid hydrolysis with sulfuric, nitric or hydrochloric acid has been performed by autoclaving the samples at 150°C for one hour [30] and sulphuric acid hydrolysis by autoclaving the samples at 121°C and 1.5 kg/cm<sup>2</sup> pressure for 60 min [28]. However, using increased temperature and pressure is energy intensive. Acid hydrolysis at milder conditions would be more feasible. In addition to temperature and pressure, one of the main parameters for acid hydrolysis is the treatment time. If the acid hydrolysis time is too long, the sugars are further converted to other compounds, such as furfural, that are potential inhibitors of dark fermentation [57]. Short treatment time, on the other hand, results in low sugar yields.

### 3.2.2 *Hydrogen production from acid hydrolysates*

Hydrogen production was studied from dry birch and conifer hydrolysates with a hot spring culture [26,58] enriched for hydrogen production. This inoculum was used since it ferments various hexoses and pentoses present in hydrolysates (results not published). The microbial community of the hot spring culture has been characterized earlier at different temperatures [26] and pH values [58].  $84 \pm 1$  and  $81 \pm 2$  % of the initial sugars were degraded from dry conifer and birch hydrolysates, respectively. Hydrogen yields per total sugars added to the medium were  $0.40 \pm 0.05$  mol H<sub>2</sub>/mol glucose and  $46 \pm 5$  mL H<sub>2</sub>/g total sugar from dry conifer and  $0.40 \pm 0.31$  mol H<sub>2</sub>/mol glucose and  $45 \pm 34$  mL H<sub>2</sub>/g total sugar from dry birch hydrolysates, respectively. If the efficiency of hydrolysis is taken into account (sugar yields from dry birch and conifer pulps were 84 and 70 %,

351 respectively), the hydrogen yields were  $0.28 \pm 0.03$  mol H<sub>2</sub>/mol sugar and  $32 \pm 4$  mL  
352 H<sub>2</sub>/g total sugar from dry conifer and  $0.34 \pm 0.26$  mol H<sub>2</sub>/mol sugar and  $38 \pm 29$  mL H<sub>2</sub>/g  
353 total sugar from dry birch pulps, respectively. Dry conifer pulp was selected for further  
354 studies, since lower standard deviations and higher sugar degradations were obtained  
355 with it.

### 357 3.2.3 Optimizing hydrogen production from dry conifer pulp hydrolysate

358  
359 The effect of initial pH (from 5 to 9) on hydrogen production was studied with dry  
360 conifer pulp hydrolysate and the results were as shown in Table 2. At initial pH 5  
361 hydrogen production was negligible and the metabolism was directed towards lactate  
362 production. The highest hydrogen yield and total sugar utilization efficiency was obtained  
363 at initial pH 6 with lactate, acetate and ethanol as the main soluble metabolites. The  
364 hydrogen yield at initial pH 6 was  $91 \pm 1$  mL H<sub>2</sub>/g total sugar, which corresponds to  $63 \pm$   
365  $1$  mL H<sub>2</sub>/g total sugar and  $0.54 \pm 0.01$  mol H<sub>2</sub>/mol sugar when the efficiency of acid  
366 hydrolysis is taken into account. Further increases in the initial pH decreased the  
367 hydrogen yields and increased lactate production. The optimal pH for hydrogen  
368 production depends on the microbial community and the substrate used. Optimal initial  
369 pH for hydrogen production from cotton cellulose hydrolysate with a mixed culture was  
370 8.2 with maximum hydrogen yield of 0.95 mol H<sub>2</sub>/mol reduced sugar [31]. Pattra et al.  
371 [28] reported optimal initial pH of 5.5 for hydrogen production from sugarcane bagasse  
372 hydrolysate with *Clostridium butyricum* with a hydrogen yield of 1.73 mol H<sub>2</sub>/mol sugar.

373  
374 Table 2

375  
376 The effect of temperature (from 25 to 43°C) on hydrogen production from dry conifer

377 pulp hydrolysate was studied at the initial pH 6 and the results were as shown in Table 3.  
378 The hydrogen production yields were the highest at 28 and 34°C being 0.24 and 0.21 mol  
379 H<sub>2</sub>/mol sugar, respectively. The highest total sugar removal of 78.5 % was obtained at  
380 34°C. The soluble metabolite profiles were different at the two optimal temperatures. At  
381 28°C butyrate was the main metabolite followed by lactate, ethanol and acetate, while at  
382 34°C lactate production dominated and was followed by butyrate, ethanol and acetate  
383 production. The 28°C was considered as the optimal temperature, since lactate producing  
384 bacteria may excrete bacteriocins that can inhibit hydrogen production [59].

385

386 Table 3

387

388 *3.3 Comparison of bacterial and chemical hydrolysis*

389

390 Hydrogen was produced from dry conifer pulp by direct fermentation and after chemical  
391 hydrolysis. Concentrated sulphuric acid hydrolysis of dry conifer pulp at 37°C for 180  
392 min resulted in total sugar yield of 69.6 % (g total sugar/g TS). The highest hydrogen  
393 yield from the hydrolysate was 63 mL H<sub>2</sub>/g TS corresponding to energy yield of 0.6 kJ/g  
394 TS. Direct pulp fermentation to hydrogen and methane was done with a compost enriched  
395 culture. In the first enrichment step, the maximum hydrogen yield at pH 8 was 120 mL  
396 H<sub>2</sub>/g TS with simultaneous production of methane, 390 mL CH<sub>4</sub>/g TS, corresponding to  
397 energy yields of 1.2 and 12.8 kJ/g TS, respectively. In the second enrichment step  
398 methanogens were inhibited, which resulted in hydrogen yield of 76 mL H<sub>2</sub>/g TS  
399 corresponding to energy yield of 0.75 kJ/g TS.

400

401 These results show that higher hydrogen yields were obtained with direct bacterial  
402 fermentation than after chemical hydrolysis. However, direct pulp fermentation to



403 hydrogen and methane required 28 days, while chemical hydrolysis followed by  
404 hydrogen production required less than 10 days. Lower hydrogen yield from acid  
405 hydrolysate may be due to the presence of sulphate ions that were not totally removed  
406 after acid hydrolysis. Sulphate may increase the growth of sulphate-reducing bacteria  
407 [60]. In addition, formation of inhibitory compounds, such as furfural and  
408 5-hydroxymethyl-furfural, during acid hydrolysis may reduce hydrogen yields from acid  
409 hydrolysates [28,31,57].

410  
411 The hydrogen yield obtained with pulp fermentation to hydrogen was comparable to  
412 other studies with cellulosic materials. Hydrogen yields from fodder maize and wilted  
413 perennial ryegrass were  $62.4 \pm 6.1$  and  $75.6 \pm 8.8$  mL H<sub>2</sub>/g dry matter, respectively [61].  
414 The maximum hydrogen yield of 0.77 mol H<sub>2</sub>/mol sugar obtained from acid hydrolysate  
415 was also in the range obtained in other studies. The hydrogen yield from waste ground  
416 wheat hydrolysate (10 g total sugar/L) was 1.46 mol H<sub>2</sub>/mol glucose [62], while cotton  
417 cellulose hydrolysate (15 g reduced sugar/L) yielded 0.99 mol H<sub>2</sub>/mol reduced sugar [31].

418

#### 419 **4. Conclusions**

420

421 Conifer and birch pulps were amenable to direct fermentation to hydrogen and methane. The  
422 following conclusions can be drawn from this study:

- 423 1) Direct pulp fermentation resulted in the highest yields of 130 mL H<sub>2</sub>/g TS from dry birch  
424 pulp at pH 6 and 4800 mL CH<sub>4</sub>/g TS from wet conifer pulp at pH 7, respectively.
- 425 2) Bacteria responsible for direct pulp fermentation to hydrogen belonged mainly to phyla  
426 *Bacteroidetes*, *Firmicutes* and *Proteobacteria*.
- 427 3) Hydrogen was produced from chemically hydrolyzed pulps resulting in the highest sugar  
428 yields of 33 – 37 % and 70 – 84 % after 90 and 180 min treatment with wet and dry pulps,

429 respectively.

- 430 4) The highest hydrogen yield from dry conifer pulp hydrolysate was 63 mL H<sub>2</sub>/g TS  
431 corresponding to energy yield of 0.6 kJ/g TS.
- 432 5) Direct fermentation produced higher hydrogen yields than that of chemically hydrolyzed  
433 pulp. The direct pulp fermentation to hydrogen and methane required 28 days. Less than 10  
434 days was required for hydrogen fermentation of chemically hydrolyzed pulp.

### 435 436 **Acknowledgements**

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**Figure captions:**

Figure 1. Hydrogen, methane and carbon dioxide yields from dry (A) and wet (B) conifer and birch pulps during simultaneous cellulose hydrolysis and hydrogen production at different pH values (6,7,8,9) in the 1<sup>st</sup> enrichment step. Standard deviations are shown in the figures.

Figure 2. Production of soluble metabolites from dry (A) and wet (B) conifer and birch pulps at different pH values (6,7,8,9) during simultaneous bacterial hydrolysis and hydrogen production.

Figure 3. Bacterial community profiles determined with PCR-DGGE of partial 16S rRNA genes of cultures producing hydrogen from dry conifer and birch substrates at different pH values. See Table 1 for the labeled bands. Inoc. = inoculum.

Figure 4. Production of total sugars during acid hydrolysis of dry (A) and wet (B) conifer and birch pulps. Standard deviations are shown in the figures. The standard deviations from dry conifer hydrolysis are so small that they cannot be detected in the figure.

**Table captions:**

Table 1. Affiliations of DGGE fragments determined by their 16S rRNA sequence from cultures fermenting dry conifer and birch pulps at different pH values.

Table 2. Hydrogen production from dry conifer pulp hydrolysate at different pH values including cumulative hydrogen production (mL H<sub>2</sub>), hydrogen yield per utilized sugars (mol H<sub>2</sub>/mol sugar), utilization of total sugars (DE) and the amount of total (g/L) and individual (%) soluble metabolites. Standard deviations are shown in the table.

Table 3. Hydrogen production results from dry conifer pulp hydrolysate at different temperatures including cumulative hydrogen production (mL H<sub>2</sub>), hydrogen yield per utilized sugars (mol H<sub>2</sub>/mol sugar), utilization of total sugars (DE) and the amount of total and individual soluble metabolites. Standard deviations are shown in the table.

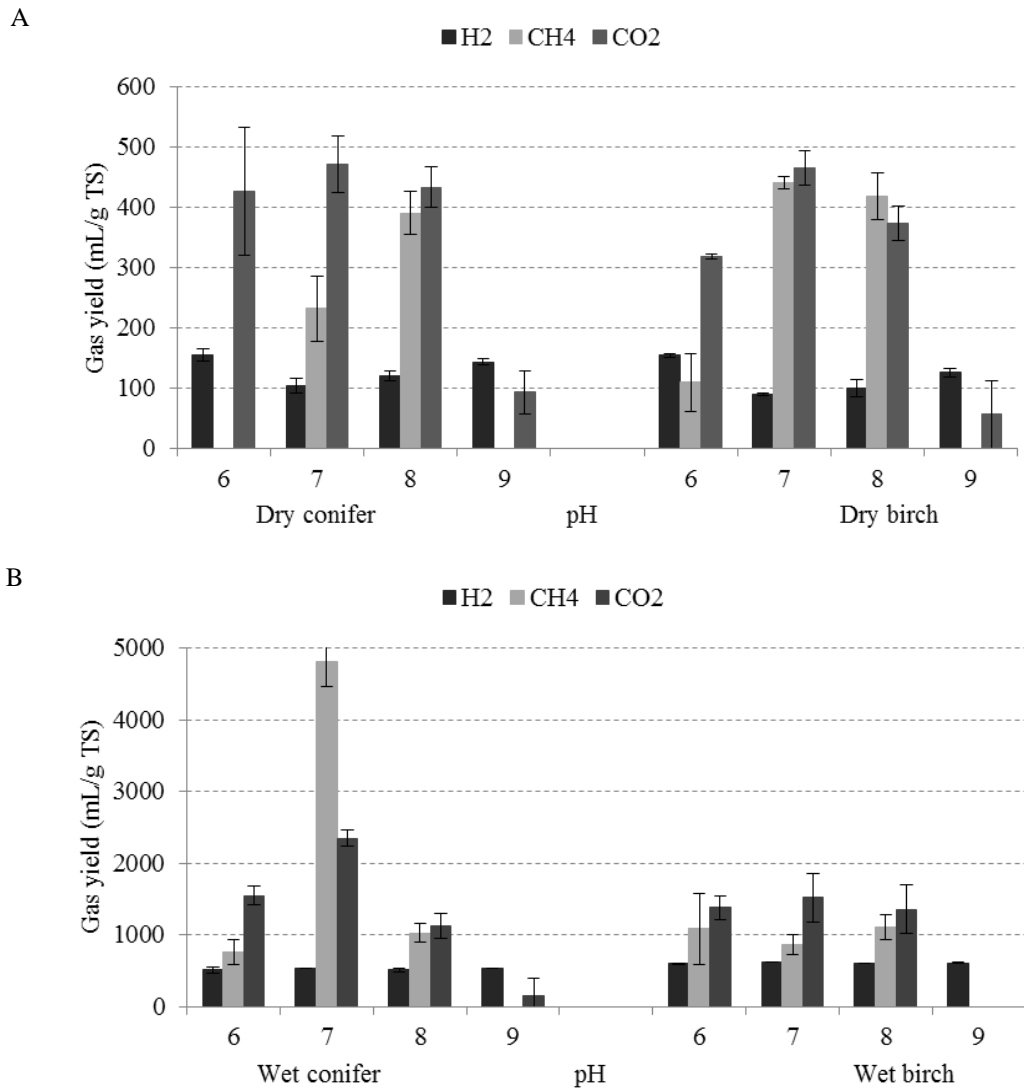


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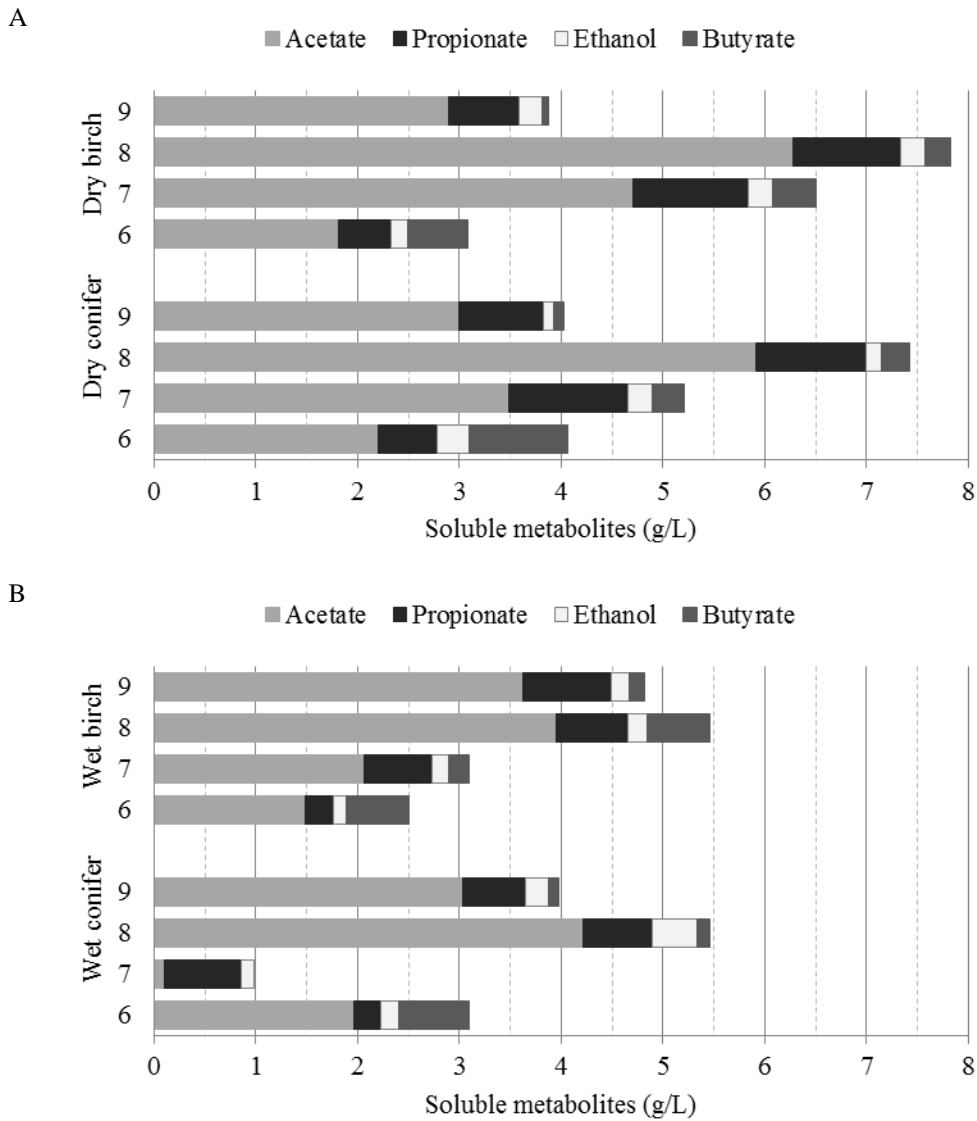


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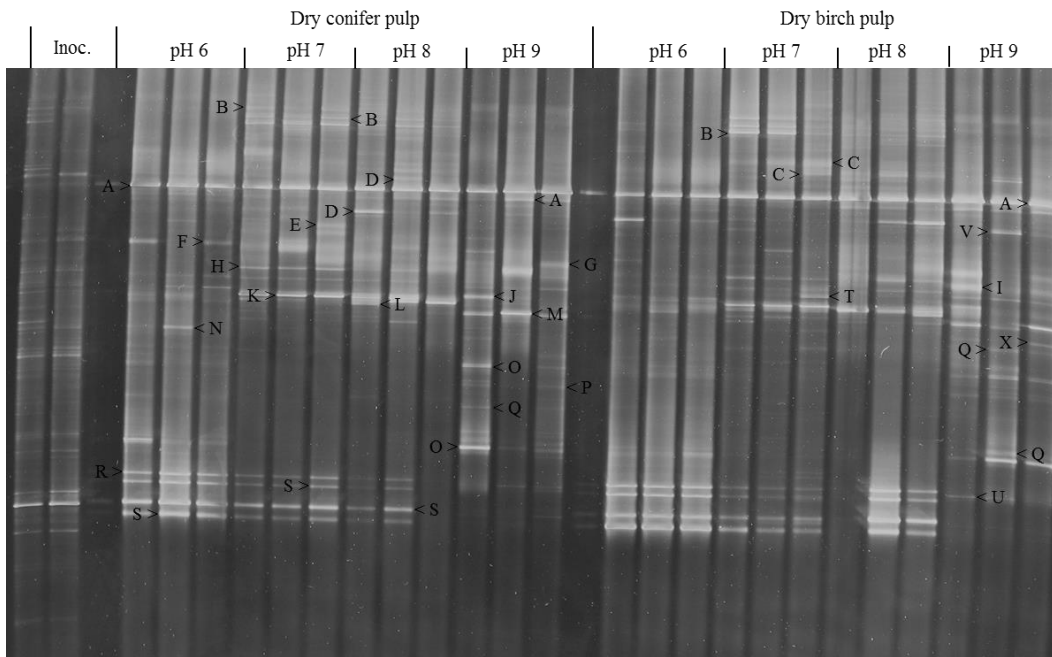


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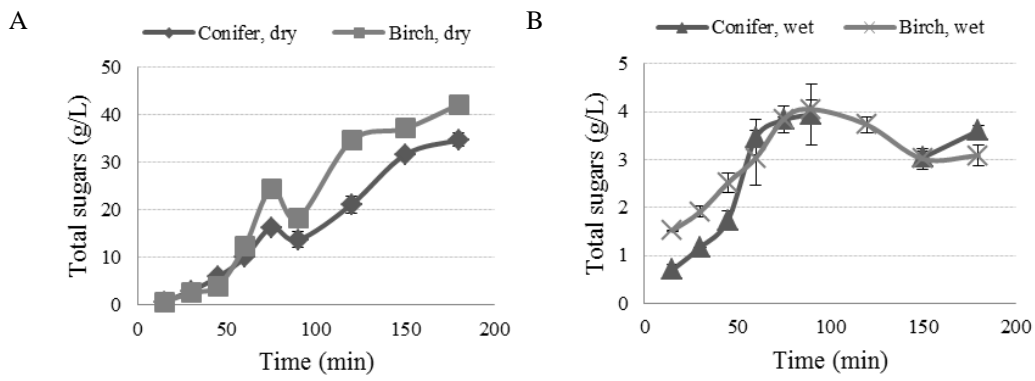


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Table 1. Affiliations of DGGE fragments determined by their 16S rRNA sequence from cultures fermenting dry conifer and birch pulps at different pH values.

Band <sup>a</sup>	Phylum <sup>b</sup>	Affiliation (acc) <sup>c</sup>	Sim (%) <sup>d</sup>	SL (bp) <sup>e</sup>
A		<i>Bacteroides</i> sp. (AY554420)	99.0-100	208-503
B	Bacteroidetes	<i>Ruminofilibacter xylanolyticum</i> (DQ141183)	97.5-99.4	451-506
C	Bacteroidetes	<i>Parabacteroides goldsteinii</i> (AB547650)	90.3-95.3	503-504
D		<i>Ruminobacillus xylanolyticum</i> (DQ178248)	96.0-96.3	502-507
E	Firmicutes	<i>Ruminococcus flavefaciens</i> (JN866826)	97.5	540
F	Firmicutes	Uncultured <i>Firmicutes</i> (AB646230)	100	451
G	Firmicutes	<i>Clostridium ultunense</i> (NR_026531)	95.4	473
H	Firmicutes	<i>Clostridium populeti</i> (NR_026103)	94.9	473
I	Proteobacteria	<i>Pseudomonas pertucinogena</i> (HM031486)	100	503
J	Proteobacteria	<i>Alcaligenes faecalis</i> (EF011115)	97.0	478
K	Proteobacteria	<i>Comamonas denitrificans</i> (DQ836252)	98.8	504
L		Uncultured bacterium (GQ134001)	100	484
M		Uncultured prokaryote (HQ154908)	100	488
N	Firmicutes	<i>Lysinibacillus fusiformis</i> (GU904698)	98.2	510
O	Proteobacteria	<i>Escherichia coli</i> (AY319394)	99.3-99.8	452-485
P	Proteobacteria	<i>Cronobacter sakazakii</i> (JQ312042)	97.9	189
Q	Proteobacteria	<i>Citrobacter sedlakii</i> (AB682286)	95.5-99.7	398-585
R	Spirochaetes	<i>Sphaerochaeta globus</i> (AF357916)	90.4	516
S	Spirochaetes	Uncultured <i>spirochete</i> (JF736651)	99.4-100	450-519
T	Firmicutes	<i>Clostridium straminisolvens</i> (NR_024829)	96.5	476
U	Actinobacteria	<i>Actinotalea fermentans</i> (AB639014)	96.0	426
V	Firmicutes	<i>Erysipelothrix inopinata</i> (NR_025594)	93.9	485
X	Firmicutes	Uncultured <i>Firmicutes</i> (GU958167)	95.9	412

<sup>a</sup> Band mark in Figure 5

<sup>d</sup> Similarity (%)

<sup>b</sup> Phylum according to Ribosomal Database Project II <sup>e</sup> Sequence length (base pairs)

<sup>c</sup> Closest species in GenBank with accession number

Table 2. Hydrogen production from dry conifer pulp hydrolysate at different pH values including cumulative hydrogen production (mL H<sub>2</sub>), hydrogen yield per utilized sugars (mol H<sub>2</sub>/mol sugar), utilization of total sugars (DE) and the amount of total (g/L) and individual (%) soluble metabolites.

Standard deviations are shown in the table.

<b>pH</b>	<b>mL H<sub>2</sub></b>	<b>mol H<sub>2</sub>/ mol sugar</b>	<b>DE (%)</b>	<b>VFA (g/L)</b>	<b>HLa (%)</b>	<b>HFo (%)</b>	<b>HAc (%)</b>	<b>HPr (%)</b>	<b>EtOH (%)</b>	<b>HBu (%)</b>
5	4.5 ± 1.6	0.09 ± 0.03	73.0 ± 3.6	4.5 ± 2.0	90.4	ND	1.7	ND	2.4	5.5
6	45.6 ± 0.5	0.77 ± 0.01	86.8 ± 2.2	2.2 ± 0.3	39.3	6.0	23.1	1.9	21.3	10.3
7	30.9 ± 2.0	0.55 ± 0.04	82.7 ± 1.0	4.6 ± 0.9	52.8	4.9	18.8	4.2	18.3	5.1
8	23.7 ± 2.5	0.42 ± 0.04	83.0 ± 0.8	5.8 ± 1.5	63.3	3.8	16.4	3.2	15.2	1.3
9	15.6 ± 1.0	0.28 ± 0.02	82.2 ± 0.4	6.1 ± 1.6	64.7	5.5	13.0	3.0	15.0	1.7

VFA: total amount of soluble metabolites; HLa: lactic acid; HFo: formic acid; HAc: acetic acid; HPr: propionic acid; EtOH: ethanol; HBu: butyric acid; ND: not detected.



Table 3. Hydrogen production results from dry conifer pulp hydrolysate at different temperatures including cumulative hydrogen production (mL H<sub>2</sub>), hydrogen yield per utilized sugars (mol H<sub>2</sub>/mol sugar), utilization of total sugars (DE) and the amount of total and individual soluble metabolites.

Standard deviations are shown in the table.

<b>T (°C)</b>	<b>mL H<sub>2</sub></b>	<b>mol H<sub>2</sub>/ mol sugar</b>	<b>DE (%)</b>	<b>VFA (g/L)</b>	<b>HLa (%)</b>	<b>HFo (%)</b>	<b>HAc (%)</b>	<b>HPr (%)</b>	<b>EtOH (%)</b>	<b>HBu (%)</b>
25	1.9 ± 0.2	0.20 ± 0.03	69.7 ± 4.8	3.9 ± 2.1	95.5	ND	1.8	ND	2.7	ND
28	2.3 <sup>a</sup>	0.24 <sup>a</sup>	71.5	3.2	16.9	1.9	15.4	2.0	15.4	48.3
34	2.2 ± 0.6	0.21 ± 0.06	78.5 ± 2.0	5.5 ± 0.7	37.4	4.8	15.2	3.4	15.7	23.7
37	1.8 ± 1.1	0.18 ± 0.11	73.5 ± 0.3	6.5 ± 1.4	56.9	1.8	16.6	3.1	13.2	8.4
40	1.2 ± 0.0	0.11 ± 0.00	75.1 ± 7.4	6.2 ± 1.3	55.6	4.8	13.3	3.0	14.2	9.1
44	0.4 ± 0.0	0.04 ± 0.00	71.5 ± 2.2	6.5 ± 1.5	60.7	5.9	12.0	2.8	13.9	4.7

<sup>a</sup> The other culture was omitted since it did not grow.

VFA: total amount of soluble metabolites; HLa: lactic acid; HFo: formic acid; HAc: acetic acid; HPr: propionic acid; EtOH: ethanol; HBu: butyric acid; ND: not detected.