Associations between cooperation, reactive aggression, and social impairments among boys with autism spectrum disorder

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Abstract

Cooperation is a fundamental human ability that seems to be inversely related to aggressive behaviour among typically developing individuals. In this study, we aimed to discover whether a similar relationship holds for children with autism spectrum disorders (ASD). Twenty-seven boys with ASD and cognitive abilities in the normal range were matched pairwise to a typical comparison group in terms of gender, age and total IQ. We compared those groups in terms of within-sample associations between cooperation and reactive aggression. A modified version of the Prisoner’s Dilemma (PD) task was used to measure cooperative behaviour. The Pulkkinen Aggression Machine (PAM), measured their ability to inhibit reactive aggression. The severity of ASD traits in the clinical sample was assessed by parental interview. We found that the propensity of children with ASD to cooperate on the PD task was positively correlated with lower levels of reactive aggression on the PAM task. It was not significantly associated with the severity of ASD traits. Older boys tended to be more cooperative. We speculate that interventions aimed at enhancing cooperative and trusting behaviour in boys with ASD could enhance their ability to inhibit reactive aggression.
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Introduction

Autism spectrum disorders (ASD) are characterized by impairments in social interaction and communication, together with restricted, repetitive and stereotyped patterns of behaviour, interests and activities (DSM-5). Individuals with ASD have difficulties inferring the mental states and emotions of others; they have impaired ‘theory of mind (ToM) skills’ (Frith and Frith, 2012). In typical children, the acquisition of ToM skills facilitates the development of cooperative behaviour, and hence the child’s acceptance by social groups. The possession of such skills may reduce the likelihood of engagement in aggressive or disruptive behaviour. The process of social adjustment normally begins during the preschool period (Walker, 2005). Recent work has shown that same neural systems that play a role in the development of ToM skills are essential for the modulation of cooperation and inhibition of aggression (DeAngelo and McCannon, 2015; Haas et al, 2013). The design of our study was predicated on evidence that these systems are linked in typical children, in terms of both neurochemical and psychological processes.

Cooperative behaviour is a fundamental human ability. It is defined as a joint or shared cooperative activity in which participants share an aim to which they are all committed and in which they take reciprocal or complementary roles, to achieve an agreed goal (Moll and Tomasello, 2007). Cooperation implies the motivation and willingness to help another person accomplish a task, if needed. An important aspect of cooperation is mutual trust. That is, a positive expectation that one's willingness to cooperate with another person will not be exploited (de Cremer and Stouten, 2003). Trusting others in a group, feeling trusted by the other members, and feeling part of that group experience are elements that lead to enhanced cooperation (de Cremer and Stouten, 2003). In some circumstances, defection (acting in one’s self-interest, regardless of the needs of others) would provide a maximal short-term payoff. Nevertheless, the willingness of humans to cooperate with one another has been favored in evolution, and has influenced our social structures. Reputation-related reciprocal cooperation between members of a social group leads to increased personal fitness and enhances the chances of survival of that group through future generations (Moll and Tomasello, 2007; Nowak, 2006; Pennisi, 2009; Vogel, 2004; Warneken and Tomasello, 2006).

The emergence of cooperative behaviour can typically be observed very early in childhood; altruistic helping behaviour is seen at 18 months of age (Fantasia et al., 2014; Moll and Tomasello, 2007; Southgate et al., 2007; Tomasello and Carpenter, 2007). By the age of 24 months, infants can perform cooperative problem-solving tasks with peers. These skills emerge simultaneously with the child’s ability to understand that self and others are separable individuals (Brownell and Carriger, 1990; Warneken and Tomasello, 2006). During
later childhood, the development of increasingly complex forms of cooperative behaviour occurs, which is reflected by the integration of actions and problem solving skills. An individual’s decision whether to cooperate with another person increasingly considers issues such as the other’s reputation, based on evidence of their past behaviour. For instance, cooperation might be inhibited if a potential partner had previously acted selfishly when there were choices of resource allocation to be made (Ashley and Tomasello, 1998; Brownell and Carriger, 1990; Kenward and Dahl, 2011; Li et al., 2014; Olson and Spelke, 2008).

In human evolution, altruistic cooperative behaviour towards in-group members and defensive cooperation behaviour towards out-group members may have co-evolved, to enhance the reproduction and survival of in-group individuals (Bickham, 2008; Chevallier et al., 2014; Hayes and Sanford, 2014; Moll and Tomasello, 2007; Nowak, 2006; Pennisi, 2009; Rusch, 2014; Van Vugt et al., 2007; Vogel, 2004; Warneken and Tomasello, 2006). Cooperative and aggressive behaviours are regulated by similar neuromodulatory systems. For instance, the serotonergic system is engaged when we decide whether to cooperate, but serotonergic dysregulation can also lead to impulsive aggressive behaviour (Crockett et al., 2008; 2010; Gollan et al., 2005; Wood et al., 2006). The neuropeptide oxytocin may modulate the development of trust. Neural systems influenced by oxytocin can influence group cohesion and foster an in-group aggressive attitude to outsiders (de Dreu, 2012; Kosfeld 2005).

Studies of typically developing children during the preschool period have revealed that the tendency to cooperate with another child is reduced if that partner is known to have behaved aggressively in previous social interactions. Children are typically unwilling to cooperate with another child who has previously been destructive or hurtful toward a person or object; their reluctance to cooperate is reflected in their physical and verbal responses to the offender’s request (Bay-Hinitz et al., 1994; Kenward and Dahl, 2011). Adolescent males who identify themselves as having aggressive tendencies are more likely to act coercively (i.e. out of self-interest) in a structured measure of cooperation (Prisoner’s Dilemma- PD) (Gallup et al., 2010).

There have been inconsistent findings from studies designed to discover whether cooperative behaviour is reduced in ASD, which could reflect the use of diverse methodologies. Some studies have reported there is reduced cooperation among children with ASD if they have associated learning disabilities (Colombi et al., 2009; Liebal et al., 2008). Although children with ASD who lack generalized cognitive impairment may cooperate more readily, their decision-making appears to be less affected by considerations of fairness or morality than typical children (Downs and Smith, 2004; Li et al., 2014; Schmitz et al., 2015). Some have reported the inclination of children with ASD to cooperate is predicted by the degree to which their ability to imitate and to engage in joint attention are impaired, and by limitations in associated ToM abilities (Colombi et al., 2009; Downs and Smith, 2004; Hill and Sally, 2003).
Typical children who are inclined to cooperate with others are less likely to be aggressive. We do not know whether a similar association holds for children with ASD (Ambler et al., 2015; Kanne and Mazurek, 2011). Approximately 20-30% of children and adolescents with ASD engage in maladaptive aggressive behaviour (Ambler et al., 2015; Dominick et al., 2007; Green et al., 2000; Hartley et al., 2008; Hill et al., 2014; Kanne and Mazurek, 2011; Ming et al., 2008). When maladaptive aggressive behaviour is present, children and adolescents with ASD, especially boys, tend to engage in relatively high levels of physical aggression of minor intensity, e.g. pinching, biting and scratching, than typically developing children (Farmer and Aman, 2011; Kaartinen et al., 2014). Boys with ASD are less likely to respond to gender-related inhibitory cues, as they seem to react with higher levels of aggression towards girl assailants when compared to typically developing boys (Kaartinen et al., 2014). We do not know whether children with normal-range IQ and more severe autistic traits are particularly likely to engage in aggressive behaviour, but we are aware the strength of association could change with age (Dominick et al., 2007; Hartley et al., 2008; Hill et al., 2014; Kanne and Mazurek, 2011; Mazurek et al., 2013). On the other hand, children with ASD who have better adaptive functioning in specific social domains such as language, show less aggressive behaviour (Connor et al., 2004; Dominick et al., 2007; Hartley et al., 2008; Ming et al., 2008).

**Aims of the study:**

Our first aim was to investigate cooperative behaviour among boys with ASD and in typically developing children that were matched for age, gender and total IQ. We hypothesized that boys with ASD would not differ from typical children in terms of their cooperative behaviour, in line with previous research findings (Downs and Smith, 2004; Li et al., 2014; Schmitz et al., 2015). A second aim was to test the hypothesis that children with ASD who had a greater tendency to engage in cooperative behaviour would possess fewer impairments in social skills. We predicted they would have better social communication and reciprocity, and that they would be less likely to engage in reactive aggression.

The sample excluded girls, as our previous work (Kaartinen et al., 2014) had shown that there is a gender difference in aggressive behaviour within the ASD population (Kopp and Gillberg, 1992). That gender difference is also observed in typically developing adolescents (Gallup et al., 2010).

**Methods**

*Participants and procedure*

The participants comprised 27 boys with ASD and 27 typically developing children. They were pairwise matched for gender, age and intelligence. Besides gender, the matching criteria for pairs included age +/- six months and total IQ of both members of the pair within the range of 80 to 120 or, if one pair member scored
total IQ between 70-79 or over 120, the total IQ of the matched pair had to be within 30 units (but total IQ always over 70). There was one pair where the age difference between the children was 25 months. As the results were not changed after exclusion of that pair, it was included in the analysis. Characteristics of both groups are shown in Table 1.

The sample of children with ASD (7-17 years) was recruited from the Department of Child Psychiatry, Tampere University Hospital, Finland, where they had been diagnosed by experienced child psychiatrists using standard clinical procedures. The ICD-10 (International Classification of Diseases, Tenth Revision) diagnostic criteria were used. Five children had a diagnosis of autism (F84.0), four had atypical autism (F84.1) and 18 had Asperger syndrome (F84.5). Exclusion criteria included the presence of depression, evidence of an anxiety disorder, and a full-scale IQ less than 70.

Typically developing children in the comparison group were recruited from local schools and were screened for a history of mental or neurological disorder or learning disability. The children in the ASD group and in the comparison group were all tested with the WISC-III to ascertain their IQ.

The Prisoner's Dilemma task (PD) measured cooperation, and reactive aggression was measured by the Pulkkinen Aggression Machine (PAM); results were successfully obtained from all participants (27 matched pairs). The detailed clinical analysis of autistic traits provided by the Developmental, Dimensional and Diagnostic Interview (3di) (Skuse et al., 2004) was missing from one child with ASD and two children without ASD as their parents did not participate in the interview.

All participating children and their parents received information concerning the methods and aims of the study before they were interviewed and tested. They subsequently received a movie ticket as a compensation for their participation. The study was approved by the Ethics Committee of the Pirkanmaa Hospital District, and all participants and their parents gave their written informed consent.

**Measuring cooperation with the Prisoner's Dilemma task**

The Prisoner's Dilemma (PD) task was adapted from Herrmann et al. (2010) (see also Herrmann and Orzen, 2008). Part One of the experimental design consisted of two conditional decisions (using a strategy method). The child being tested had to decide whether he wanted to cooperate or defect, with prior knowledge a partner had either cooperated or defected. Part Two of the experimental design consisted of an unconditional decision in which a child with ASD was partnered with a typically developing child. A child had to make his decision without prior knowledge of the partner’s decision. The compensation won by the child depended...
only on the unconditional decision, and was calculated by combining the unconditional decision of the ASD child with that of his matched comparison child.

Before starting the actual game, the experimenter provided verbal and visual instructions to each child. The participants were told that another child of the same age and gender would be playing the same PD task, but at a different time. The subjects were informed that the PD task is played with imaginary tokens. Every participant would be given one token at the beginning of each of the three phases of the game. They were instructed that, when they played the game for real, they would need to decide whether they would like to give their token to an unknown child or to keep it for themselves. The participants were informed that only in the unconditional phase would they be playing an actual game with another child and that the number of tokens they received would depend on their joint decision. The children were also informed that tokens would be exchanged for small prizes (the movie tickets) afterwards. The tokens were worth approximately five euros each. In the PD game, mutual cooperation results in the greatest winnings for both parties.

There was an introductory phase in which the PD game was practiced by each participant. The experimenter verbally and visually presented all four possible outcomes of the game (keep-keep, give-keep, keep-give, give-give) in random order and asked the participants to say how much they would win from each possible outcome. If the child gave an incorrect answer, the same question was repeated. If the child again gave an incorrect answer, the experimenter explained how the decision he made would affect his winnings, in terms both of verbal and visual cues.

The game was played for real when the experimenter was sure that the child understood the rules and purpose of the game. After the practice sessions, there was a reiteration of possible choices and their outcomes before a child gave their answer in each phase of the experiment. There were customized visual instruction cards for each of the three conditions showing how outcomes are divided between the participants according to their choices (Figure 1). These cards were available to the participants whilst they were deciding how to respond in the respective conditions.

All participants were tested individually, without a partner being physically present. This was done to avoid the risk of immediate or delayed punishment behaviour towards the other child, arising from a feeling of broken trust. Playing against an imaginary opponent has been found to correlate strongly with decision-making when an opponent is present (Knight and Kagan, 1977).

The experiments were conducted either in the Tampere University Hospital or at the home or the school of the participant. During the tasks the children were seated in front of a laptop computer in a silent room, with
only the experimenter present, who conspicuously could not see the responses chosen by the child. That was done to reduce the risk that the presence of an adult would influence the child’s decision-making.

The winnings from the PD task were calculated by combining the unconditional decisions of each matched pair. Possible outcomes, i.e. number of tokens gained, are shown in Figure 1.

**Measuring retaliation to aggression with the Pulkkinen Aggression Machine (PAM).**

The PAM is a computerized assessment that is designed to measure a child’s propensity to engage in reactive aggression and inhibit aggressive responses in the absence and presence of situational cues (gender, size and social status of an assailant) (Juujärvi et al., 2001; Kaartinen et al., 2014). The task comprises three tasks that are administered in a fixed sequence: arbitrary responses, impulsive aggression and controlled aggression. In each of these three tasks the child is presented with two parallel columns of icons on a computer screen; the column on the left side of the screen comprises eight stimulus icons and the one on the right side of the screen comprises nine response icons. A rectangle appears around one of the stimulus icons and this is a signal to the participant to select a response icon. (Figure 2.)

The presentation of stimuli is self-paced; generation of a response triggers the next stimulus at a constant interval of three seconds after the last response. Responses are given by clicking with the mouse over one of the response icons.

(I) The *arbitrary condition* serves as a training condition, and no aggressive content is assigned either to the stimulus or to the response icons. In this condition, the icons contain neutral black dots. Each stimulus icon is delivered once in the following predetermined order: 3-5-4-2-7-0-1-6.

The rows of stimulus and response icons represent degrees of aggression, and of potential responses to that aggression, of varying intensity levels. These levels are scaled as follows: row 0 = a harmless interaction, row 1 = you are slightly pushed/you slightly push, row 2 = you are pinched/you pinch, row 3 = you are slapped/you slap, row 4 = you are knocked to the ground/you knock the assailant to the ground, row 5 = your hair is being pulled/you pull the assailant's hair, row 6 = you are hit with a stick/you hit with a stick, and row 7 = you are punched in the face/you punch the assailant in the face. Each stimulus icon is delivered twice in a predetermined order: 3-5-4-2-7-0-1-6-2-5-7-3-1-4-6-0. The stimulus and response icons parallel each other with one exception: one icon is added on to the extreme end of the response scale to deal with possible ceiling effects (i.e., row 8 = the assailant is kicked while lying on the ground).

In the *impulsive aggression* condition participants are instructed to choose a response by which to defend themselves against aggressive attacks from an imaginary assailant. Before play commences, they are given
the following instruction: “You are having a quarrel with somebody. A black rectangle around one of the icons on the left shows what the other person does to you. You may do to him or to her what you wish by touching one of the icons on the right and you do not need to worry about the consequences of your choice.”

The controlled aggression condition is like the impulsive aggression condition with the exception that it specifies some characteristics of the assailant. In the center of the computer screen, the identity of the assailant is presented as a picture. Participants are instructed to imagine how they would behave in a real confrontation with this assailant. There are eight categories of assailant—a boy of the same size, a girl of the same size, a smaller boy, a smaller girl, a bigger boy, a bigger girl, a father and a mother. The assailant pictures appear in random order. In addition to showing them the pictures of assailants, in this condition participants are instructed verbally during the condition about the size and the gender of their assailants. For each assailant, all 8 stimuli representing aggression of varying intensity levels are presented twice in the same fixed order as in (II).

=Figure 2 somewhere here=

**The Developmental, Dimensional and Diagnostic Interview (3di)**

The severity of autistic traits, in terms of social communication skills, social reciprocity and repetitive and stereotyped behaviours was measured by a standardized computerized parental interview, which had been subject to the appropriate translation. The Developmental, Dimensional and Diagnostic Interview (3di) generates ADI-algorithm scores (Skuse et al., 2004). The 3di’s concurrent validity (agreement with independent clinician formulation) is very good, criterion validity (a comparison with the Autism Diagnostic Interview) is excellent; its sensitivity is 1.0 and specificity > 0.97. The Finnish version of the interview was created by one of the authors (Kaija Puura) together with the original developer of the instrument (David Skuse). For the study, five subscales from the interview’s PDD (Pervasive Developmental Disorder) Scale were used to measure the degree of impairment in terms of subscale scores that are equivalent to those of the Autism Diagnostic Interview (Revised): Reciprocal Social Interaction, Language and Other Social Communication Skills, Gesture and Non-verbal Play, Social Expressiveness, Repetitive and Stereotyped Behaviour. The interview was used with both parents of children with ASD and parents of typically developing children.

**Statistical methods**

In the Prisoner’s Dilemma task, the responses of the child were coded "cooperate" if the child decided to give the token to his partner, or "defect" if the child decided to keep the token. Three different outcome scores representing degrees of cooperation were generated; one for the condition where there was prior
knowledge that the “other keeps”, a second for the condition in which there was prior knowledge that the “other gives” and a third for the unconditional condition (in which the first mover had no prior knowledge about the decision of the partner). Accordingly, each child who had participated in this experiment would acquire three scores that indexed their strategy to maximize their winnings, depending on their cooperative/non-cooperative decisions in the three phases of the PD task.

In the PAM-task, the increasing intensities of the stimuli were coded in the range 0-7 and responses in the range 0-8. Three different variables were then generated; one variable for impulsive aggression, another for controlled aggression and a third for reactive aggression. For each stimulus-response pair a difference score was calculated by subtracting the stimulus intensity score from the respective response intensity score. Because the experimental design used paired samples, the arithmetic mean of the differences of the two presentations of each pair was used as the representative of the respective stimulus-response pair in further calculations. The variable for impulsive aggression was then calculated as an arithmetic mean of the difference scores across all the eight stimulus levels (i.e., from 0 to 7). The variable for controlled aggression was calculated similarly across both all the eight stimuli and eight assailants. The variable for reactive aggression was calculated as the mean of the scores for impulsive and controlled aggression.

To study low and high levels of attack intensity separately the stimulus variables were categorized in terms, first, of minor attacks (i.e. stimulus levels 0 = no provocation, 1 = a slight push, 2 = pinching, and 3 = slapping) and second, in terms of major attacks (i.e. levels 4 = knock to the ground, 5 = pulling hair, 6 = hitting with a stick, and 7 = punching in the face).

The purpose of the analysis was not to compare the mean scores of the groups, as such, but to compare the carefully matched pairs in terms of calculated reactive aggression with regard to the way in which children with ASD differ from their comparison child. We considered it would be inappropriate to conduct a restricted analysis of the relationship between cooperation in the PD task and aggressive tendencies on the PAM tasks with the comparison sample; they had been chosen specifically to match on a case by case basis our ASD participants, and could not be considered to be representative of typically developing individuals for that reason.

To compare the severity of reactive aggression in terms of difference scores between the paired individual participants, appropriate statistical tests were used, according to the non-normality of the probability distribution of the measured variables and relatedness of samples (Wilcoxon matched pairs).

In the PD task, the groups were compared in terms of their cooperative choices (based on the decision the child made given prior knowledge of the partner’s first move, and how they chose in the ‘unknown’ condition, when they did not know what decision their partner had made). These comparisons of proportion
(e.g. knowing the partner had defected, what proportion of the ASD group chose ‘defect’ too) were measured using a McNemar test. Based on this analysis, children in both groups could be categorized as ‘cooperators’ or ‘non-cooperators’ for each phase, depending on their responses in the three conditions.

A further analysis tested the hypothesis that within the ASD sample, those who had been categorized as ‘cooperators’ or ‘non-cooperators’ would differ in terms of their propensity to engage in reactive aggression (as measured by the PAM test). Binary logistic regression analyses in two steps were carried out, with non-cooperative behaviour as outcome variable. First, only reactive aggression was entered as an explanatory variable. Secondly, other explanatory variables that independently showed statistically significant association with cooperative behaviour were added into the model. Separate models for each of the three reactive aggression variables (total, impulsive and controlled reactive aggression) were fitted. The limit for statistical significance was set equal to 0.05.

**Results:**

**Cooperation**

In the Prisoner’s Dilemma Paradigm, we did not find any evidence that boys with ASD cooperated less frequently than their gender, age and total IQ matched pairs, in any of the three phases of the experiment. Most boys with ASD (n = 22/27) and most boys without ASD (n = 23/27) choose to cooperate when they had prior knowledge that the other child was a co-operator, i.e. they made a choice that produces maximal mutual payoff for the pair at the expense of individual gain \((p = 1.000)\).

None of the boys with ASD, and only four of the boys without ASD, cooperated with a partner who, prior knowledge had revealed, was a non-co-operator; i.e. they could make a strategic decision that was rational, instead of self-sacrificing. Most of the boys with ASD (n = 18/27) and less than a half those without ASD (11/27) chose to defect (i.e. to avoid the risk their partner would not cooperate) in the third phase of the experiment, when they lacked prior knowledge of what their partner would choose to do \((p = 0.118)\). For further details about the decision-making of the matched pairs in the three conditions, see Table 2.

= Table 2 somewhere here =

**Associations between cooperation and aggression**

In the first phase of the PD experiment, none of the boys with ASD chose to cooperate with a known non-cooperator, therefore it was not possible to investigate the association between cooperation and aggression in that situation.
Dividing the ASD sample into those who chose to cooperate with a known co-operator (22/27), and those who did not (5/27), we found no statistically significant difference between the groups in terms of their overall aggressive reactions ($U = 30.; p = 0.129$) nor in terms of their controlled aggression ($U = 42.; p = 0.447$) (Figure 3). However, the (5) ASD non-cooperators had a higher level of impulsive aggression than the (22) ASD cooperators ($U = 22.; p = 0.039$), and they responded with relatively greater aggression than cooperative boys with ASD to attacks of minor intensity ($U = 17.; p = 0.015$), although not to higher intensity attacks ($U = 28.; p = 0.096$).

In the third phase of the PD task, the ‘unknown’ condition, ASD children who acted out of self-interest (18/27) showed more reactive aggression than those who responded as cooperators (9/27) ($U = 31.; p = 0.009$) (Figure 3). These 18 non-cooperators also responded with greater aggression towards minor attacks in both the impulsive and controlled conditions of the PAM ($U = 29.; p = 0.006$, and $U = 36.; p = 0.020$, respectively), but they differed from the 9 cooperators in their response to major attacks only in the impulsive condition ($U = 23.5; p = 0.002$).

**Associations between cooperation and autism related social impairments**

Boys in the ASD sample who were categorized as cooperators (22) or non-cooperators (5) in the first two phases of the PD task did not differ statistically significantly in terms of any ADI-algorithm subscale scores (Reciprocal social interaction $U = 36.; p = 0.308$; Use of language and other social communication skills $U = 31.; p = 0.178$; Use of gesture and non-verbal play $U = 28.; p = 0.121$; Repetitive and stereotyped behaviour $U = 40.; p = 0.437$; Social expressiveness $U = 52.; p = 0.988$). When categorized by their responses in the third phase of the PD task, a similar result was found (Reciprocal social interaction $U = 64.; p = 0.683$; Use of language and other social communication skills $U = 59.; p = 0.495$; Use of gesture and non-verbal play $U = 58.; p = 0.461$; Repetitive and stereotyped behaviour $U = 53.; p = 0.304$; Social expressiveness $U = 64.; p = 0.673$). The severity of their autistic traits did not predict their decision-making in this task.

**Associations between cooperation and age**

Among the boys with ASD, the decision to cooperate or not when they had prior knowledge that their partner would be cooperative (the conditional decision), was unaffected by age ($U = 40.; p = 0.372$). However, in the third phase of the PD, younger participants with ASD were less likely to assume that their partner would make a cooperative decision (and thus were less likely to make a decision that would maximize the pay-off
to both players). The median age of non-cooperative ASD boys in the unconditional decision was 11.8 years while that of cooperative ASD boys was 14.5 years ($U = 9.; p <0.001$).

**Associations between cooperation and intelligence**

Among the boys with ASD, neither the level of total, verbal or performance intelligence correlated with the decision to cooperate, when the partner was known to be cooperative (the conditional decision; $U = 47.; p = 0.639;$ and $U = 46.; p = 0.573;$ and $U = 49.; p = 0.729$, respectively). Nor did these variables predict the decision they made in the third phase of the PD task when their partner’s decision was unknown ($U = 78.; p = 0.890;$ and $U = 75.; p = 0.771;$ and $U = 75.; p = 0.772$, respectively).

**Simultaneous effects of predictors of non-cooperativeness**

Next, a combined analysis of the sample of ASD boys was conducted, with a view to testing the hypothesis that a combined measure of their aggression and age would predict membership of the categories ‘cooperative’ and ‘non-cooperative’. Binary logistic regression analyses were conducted, with the level of impulsive/controlled/reactive aggression as the only explanatory variable and non-cooperativeness as the reference category. The degree of impulsive aggression significantly predicted non-cooperativeness in the third (unknown) phase of the PD task ($OR = 2.1, 95\% CI =1.1-4.1, p = 0.032$). In contrast, the degree of controlled and of reactive aggression did not predict non-cooperativeness in this phase ($OR = 2.2$ and $1.8, CI = 1.0 – 4.8$ and $0.8 – 3.7, p = 0.054$ and $0.128$, respectively). Age, added as an explanatory variable, was a significant predictor of non-cooperativeness in all three phases of the PD task (Table 3).

= Table 3 somewhere here =

**Discussion**

Among boys with ASD tested by this study, there was no significant difference from carefully matched comparisons in terms of their willingness to assume the trustworthiness of another individual, and to engage in reciprocation and cooperation during the PD task. Those who chose to cooperate with a partner whose decision making was unknown (33%), were less likely to engage in reactive aggression as measured by the PAM. We had hypothesized that the characteristics of ASD as measured by the severity of impairment in social communication and reciprocal social interaction skills would be associated with cooperative choices, but that was not the case. Older age (in the range 7-17 years) predicted a greater probability of being a cooperator in the third phase of the PD task, where the partner’s decision was unknown.
Boys with ASD acted rationally, for the most part, to maximize mutual gain (rather than selfishly pursuing the highest individual payoff) to same degree as boys in the comparison group. Furthermore, they did not make choices that were self-sacrificing. This conclusion is in line with Downs and Smith’s (2004)’s study of 5-9 year-olds, who played a Prisoner’s Dilemma game against an imaginary opponent; those with ASD did not differ in their cooperative responses from typically developing children or those with ADHD/ODD. Li et al. (2014) and Schmitz et al. (2015) have shown that school-age children with ASD are equally likely to cooperate in the Prisoner’s Dilemma task and in a Social Orientation Choice Cards task as their typical peers, although their cooperative choices were less affected by considerations of fairness and morality.

Even though the evidence from the present and previous studies show that children with ASD make rational cooperative choices, they might nevertheless tend to make more self-interest choices than typically developing children when that choice does not carry a risk of harm to self or to another (Downs and Smith, 2004; Li et al., 2014; Schmitz et al., 2015). We could not test in this experiment how their decision-making is influenced by another’s previous behaviour (reputation). Previous research suggests they are not influenced to the same degree as typical children by prior knowledge (e.g. a known nice versus a naughty child or a random stranger), even though they are able to make moral judgements about what constitute nice and naughty acts (Li et al., 2014). Nor are they influenced by facial appearance of trustworthiness to the same extent as typical children (Ewing et al., 2015; Adolphs et al, 2001). Children with ASD might be vulnerable to exploitation, because of undue trustworthiness, although the sample of boys in this study showed a remarkable lack of willingness to engage in self-sacrifice in the PD task, nor did their decisions in the ‘unknown’ phase of the task imply undue trust.

Strategies exploited in the choices of cooperation were not determined in the present study. There were three possible outcomes of the PD task, including reciprocation/trustworthiness (cooperation with a known cooperator), self-sacrifice (cooperation with a known non-cooperator) and trust (cooperation with an unknown cooperator), as suggested by Gallup et al. (2010). On the other hand, the decision to cooperate might also be based upon empathy induced altruism, mental reasoning, rational decision making or even random selection (Batson and Ahmad, 2001; Pantelis and Kennedy, 2017; Tayama et al., 2012).

Previous research has suggested that decision-making during the PD task could differ in children with ASD and with typical development. For instance, cooperation is driven by a wish to maximize winnings in typically developing children, but children with ASD might instead make their cooperative choices according to idiosyncratic rules (Tayama et al., 2012). There is a possibility that in this study, boys ASD based their cooperative decisions more on rational reasoning and less on intuitive processes than the comparison children (Brosnan et al., 2016).
We found some association between a propensity to be non-cooperative and the tendency to engage in reactive aggression among boys with ASD. We do not know to what extent our findings are likely to be generalizable to other children with ASD. It will be necessary for others to replicate our finding of a negative correlation between a tendency to cooperate (including the willingness to trust another individual), and reactive aggression. We speculate that by encouraging cooperation among children with ASD, we may reduce aggressive behaviour, and increase prosocial behaviour (Bay-Hinitz et al., 1994).

Associations between our tendency to cooperate and our willingness to engage in violent aggressive behaviour are complex. For instance, cooperative behaviour that engages in-group members is often associated with violent confrontations with out-group members (Rusch, 2014; Van Vugt et al., 2007). Nasally administered oxytocin increases sociality and cooperativeness towards familiar people, but it also increases withdrawal and defensive aggressive behaviour towards strangers (De Dreu and Kret, 2016).

We did not find any association between dimensional measures of ASD severity and a tendency to cooperate during the PD task. Hill and Sally (2003) claimed cooperativeness, as measured by PD decision-making, was associated with mentalizing ability. This could be because cooperativeness as measured by that test is correlated with specific rather than general social skills. Liebal and colleagues (2008) conducted a naturalistic study of children between 24-60 months and found that, although those with ASD could perform some cooperative tasks, their approach to cooperation differed from typical children. They showed less partner-oriented behaviour and fewer attempts to re-engage a cooperative partner. They expressed poorly coordinated gaze and vocal expression or pointing. The degree to which children with ASD are able to engage in joint attention and imitation might explain unique variance in cooperative joint actions at this stage in development (Colombi et al., 2009).

Studies that attempt to understand the emergence of cooperation through evolution have proposed that it was the merging of joint attention and imitation skills that increased human cooperative communication, which in turn provided benefits in terms of group survival over generations (Chevallier et al., 2014; Hayes and Sanford, 2014; Moll and Tomasello, 2007; Nowak, 2006; Pennisi, 2009; Vogel, 2004; Warneken and Tomasello, 2006). Our tendency as a species to cooperate may be influenced by neuropeptides such as oxytocin, that can enhance emotion recognition, empathy/theory of mind, social communication and social reward seeking (De Dreu et al., 2012; De Dreu and Kret, 2016, Haas et al., 2013; Kosfeld et al., 2005). Interestingly, the administration of nasal oxytocin to people with ASD can improve eye contact, emotion recognition, and processing of socially relevant cues in tests of cooperation. But it does not induce such remarkable improvements in social behaviour more generally (Andari et al., 2010; Auyeung et al., 2015; Dadds et al., 2014; De Dreu and Kret, 2016; Guastella et al., 2010; Preti et al., 2014; Watanabe et al., 2015; Yamasue, 2016; Yatawara et al., 2016).
Limitations of the study:

Our study sample was of moderate size, and the generalizability of our findings cannot be assured, although it was heterogeneous in terms of age and intelligence. The decision we made to match ASD participants pairwise to typically developing children in terms of both age and total IQ to minimize bias that could have independently influenced variance in cooperation and aggression (Calkins and Fox, 2002; Hill and Sally, 2003). We only tested boys, and the results of the present study apply only to those who are within normal range of intelligence. As the boys in the comparison group were individually matched to the age and total IQ of the boys in the ASD group, they could not be regarded as a representative sample of the normal population. Our study design does not permit us to discover the relationship between cooperation and reactive aggression in typically developing children.

We lack information about the degree to which our participants show aggressive behaviour in naturalistic settings. On the other hand, there is evidence from a previous work with typically developing school-aged children that responses to minor attacks as measured by the PAM task are ecologically valid, and reflect maladaptive aggressive behaviour in daily life (Juujärvi et al., 2001).

Currently, we have limited evidence of the relationship between aggressive behaviour and cooperation; we do not know how these variables correlate in ASD girls or in children with learning disabilities. Future studies are also needed to investigate how these two behaviour patterns manifest and progress under conditions of typical development.

Summary:

This is the first study to examine the relationship between objective measures of cooperative behaviour in children with ASD and their propensity to react with aggressive responses to others. Measures of both sets of variables were indicators of how the child responded during the experiment, and may not reflect actual behaviour in real-world situations. We hypothesized that cooperative behaviour would be inversely related to the severity of autistic traits, but found no supportive evidence of such an association. Nor was cooperation influenced by IQ, but there was a trend for older boys (in the age range 7-17) to be more cooperative. Although some children with ASD tend to be excessively trusting of others in everyday situations, in this study we found a similar degree of trust was expressed by boys with ASD and by typically developing boys. The association between an inclination to cooperate with another boy to achieve a goal (to maximize winnings in the Prisoner’s Dilemma task) and reactive aggression was only measured in the sample of boys with ASD; there was a subtle relationship. There was a trend for those who were least cooperative during the PD task to express greater aggressive tendencies. Conversely, boys who expressed the greatest degree of impulsive aggression were more likely to act out of self-interest in a situation where the chance of their
partner cooperating with them was unknown. These findings suggest that boys with ASD can cooperate with others where the benefits of doing so are clear to them. Whether an intervention to promote cooperative behaviour would reduce a child with ASD’s likelihood of reacting with aggression if threatened or upset by other people’s behaviour is currently unknown, but warrants further investigation.

References:


Table and Figure captions:

Table 1. Characteristics of the boys with autism spectrum disorder (ASD) and their gender-, total IQ- and age-matched pairs.
Table 2. Distribution (number of cases) of cooperative choices of the boys with ASD and their gender-, total IQ- and age-matched pairs.
Table 3. Results of logistic regression analyses examining the simultaneous impact of selected explanatory variables on the non-cooperative choice in the unconditional decision of the Prisoner's Dilemma task among the boys with autism spectrum disorder.
Figure 1. Picture cards presented for the participants to show the payoffs of each possible combination of choices in each of the experimental phases in the Prisoner’s Dilemma task: A for conditional phase 1 (whether or not to cooperate with a known cooperator), B for conditional phase 2 (whether or not to cooperate with a known non-cooperator), A and B for the unconditional phase (decision of cooperation had to be done without knowing the other child’s decision).
Figure 2. A screenshot of the controlled aggression condition in the Pulkkinen Aggression Machine (PAM).
Figure 3. Associations between cooperative choices in the Prisoner’s Dilemma task and aggressive responses in the Pulkkinen Aggression Machine among the boys with autism spectrum disorder (ASD).
Table 1. Characteristics of the boys with autism spectrum disorder (ASD) and their gender-, total IQ- and age-matched pairs.

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Boys with ASD (n=27)</th>
<th>Boys without ASD (n=27)</th>
<th>p</th>
<th>Z-score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>Mean 12.9 SD 2.2</td>
<td>Mean 12.9 SD 2.3</td>
<td>0.486</td>
<td>-0.697</td>
</tr>
<tr>
<td></td>
<td>Range 8.1–16.9</td>
<td>Range 7.7–16.6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IQ</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>Mean 102 SD 15</td>
<td>Mean 105 SD 15</td>
<td>0.303</td>
<td>-1.029</td>
</tr>
<tr>
<td></td>
<td>Range 77–138</td>
<td>Range 75–127</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Verbal</td>
<td>Mean 105 SD 14</td>
<td>Mean 110 SD 20</td>
<td>0.082</td>
<td>-1.741</td>
</tr>
<tr>
<td></td>
<td>Range 83–140</td>
<td>Range 71–150</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Performance</td>
<td>Mean 100 SD 19</td>
<td>Mean 100 SD 13</td>
<td>0.869</td>
<td>-0.165</td>
</tr>
<tr>
<td></td>
<td>Range 65–141</td>
<td>Range 66–122</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Impairments in social skills</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reciprocal Social Interaction</td>
<td>Mean 12.5 SD 5.1</td>
<td>Mean 4.0 SD 1.4</td>
<td>&lt; 0.001</td>
<td>-4.200</td>
</tr>
<tr>
<td></td>
<td>Range 4.2–22.9</td>
<td>Range 1.6–7.7</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Communication Skills</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Use of Language and Other Social</td>
<td>Mean 12.1 SD 5.0</td>
<td>Mean 2.4 SD 2.1</td>
<td>&lt; 0.001</td>
<td>-4.257</td>
</tr>
<tr>
<td></td>
<td>Range 3.4–19.3</td>
<td>Range 0.0–9.4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repetitive and Stereotyped Behaviour</td>
<td>Mean 3.0 SD 2.1</td>
<td>Mean 0.3 SD 0.4</td>
<td>&lt; 0.001</td>
<td>-4.073</td>
</tr>
<tr>
<td></td>
<td>Range 0.0–6.7</td>
<td>Range 0.0–1.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Social Expressiveness</td>
<td>Mean 1.8 SD 1.0</td>
<td>Mean 1.3 SD 0.5</td>
<td>0.006</td>
<td>-2.739</td>
</tr>
<tr>
<td></td>
<td>Range 0.0–3.25</td>
<td>Range 0.6–2.8</td>
<td></td>
<td></td>
</tr>
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</table>
Table 2. Distribution (number of cases) of cooperative choices of the boys with ASD and their gender-, total IQ- and age-matched pairs.

<table>
<thead>
<tr>
<th>PD setting</th>
<th>ASD cooperator</th>
<th></th>
<th>ASD non-cooperator</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Matched pair</td>
<td>Matched pair</td>
<td>cooperation</td>
<td>non-cooperator</td>
</tr>
<tr>
<td></td>
<td>cooperator</td>
<td>non-cooperator</td>
<td>cooperation</td>
<td>non-cooperator</td>
</tr>
<tr>
<td>Conditional, with a known cooperator</td>
<td>19</td>
<td>3</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Conditional, with a known non-cooperator</td>
<td>0</td>
<td>0</td>
<td>4</td>
<td>23</td>
</tr>
<tr>
<td>Unconditional</td>
<td>5</td>
<td>4</td>
<td>11</td>
<td>7</td>
</tr>
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</table>
Table 3. Results of logistic regression analyses examining the simultaneous impact of selected explanatory variables on the non-cooperative choice in the unconditional decision of the Prisoner's Dilemma task among the boys with autism spectrum disorder.

<table>
<thead>
<tr>
<th>Explanatory variable</th>
<th>OR</th>
<th>95% CI</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model 1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Impulsive aggression</td>
<td>2.3</td>
<td>0.9 − 6.0</td>
<td>0.078</td>
</tr>
<tr>
<td>Age</td>
<td>0.2</td>
<td>0.1 − 1.0</td>
<td>0.035</td>
</tr>
<tr>
<td>Model 2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total aggression</td>
<td>1.9</td>
<td>0.7 − 4.9</td>
<td>0.175</td>
</tr>
<tr>
<td>Age</td>
<td>0.3</td>
<td>0.1 − 0.9</td>
<td>0.035</td>
</tr>
<tr>
<td>Model 3</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Controlled aggression</td>
<td>1.4</td>
<td>0.6 − 3.1</td>
<td>0.482</td>
</tr>
<tr>
<td>Age</td>
<td>0.3</td>
<td>0.1 − 0.9</td>
<td>0.035</td>
</tr>
</tbody>
</table>

1 confidence interval
2 the level of reactive aggression in the impulsive aggression condition of the Pulkkinen Aggression Machine (PAM)
3 the total level of reactive aggression in the Pulkkinen Aggression Machine (PAM)
4 the level of reactive aggression in the controlled aggression condition of the Pulkkinen Aggression Machine (PAM)
A  You keep
   3
   0
   Other child gives

You give
   2
   2
   Other child gives

B  You keep
   1
   1
   Other child keeps

You give
   0
   3
   Other child keeps
Cooperators (n = 22 in "cooperator opponent", n = 9 in "not known opponent")
Non-cooperators (n = 5 in "cooperator opponent", n = 18 in "not known opponent")

Type of aggression

Controlled (p = 0.002)
Impulsive (p = 0.009)
Total reactive (p = 0.129)

Type of PD opponent

Cooperator

Not known

Total reactive (p = 0.009)
Impulsive (p = 0.002)
Controlled (p = 0.085)

ASD - Control difference

Less aggressive

More aggressive