

1 Link between disease status at 24 months and mortality in follicular  
2 lymphoma  
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46 Although the life expectancy of patients with follicular lymphoma (FL) has improved considerably  
47 over the last 20 years (1–4), approximately 20% of patients still experience disease progression  
48 (POD) within 24 months of first line therapy.(5,6) This early progression is an established  
49 independent risk factor for poor survival.(5–8) In addition, recent studies reported that complete  
50 remission at 24 months post diagnosis or 30 months after induction therapy predicts a similar life  
51 expectancy to that of the general population.(9,10) This study aimed to evaluate the links between  
52 disease status at 24 months, mortality, and causes of death in FL patients.

53  
54 This retrospective study included patients diagnosed with FL between 1997 and 2016, across nine  
55 institutions. After excluding patients with composite histology at diagnosis, FL subclassified as  
56 grade 3b, histological transformation before treatment, patients whose survival status or cause of  
57 death was unknown, and patients who were lost to follow-up, 990 patients were eligible. Causes of  
58 death were classified as either death following progressive lymphoma or other cause. Early  
59 progression of disease (POD24) was defined as progression within 24 months of the first-line  
60 therapy, while non-POD24 was defined as the absence of relapse, progression, or death due to any  
61 cause within 24 months of first-line therapy. Patients whose follow-up was < 24 months but who  
62 were event-free, or who died from causes other than progressive lymphoma before 24 months, were  
63 not included in either the POD24 or non-POD24-subgroups (Supporting Fig. S1).

64  
65 Competing risk models were used to estimate the cumulative incidence of death from progressive  
66 lymphoma or other causes. Net survival was considered as the ratio of the proportion of observed  
67 survivors in a cohort of cancer patients to the proportion of expected survivors in a comparable set  
68 of cancer-free individuals. Excess mortality was evaluated using corresponding control populations  
69 of similar age and sex; the excess mortality model and the penalized spline approach were used to  
70 compute excess mortality.(11,12) Survival rates were calculated using the same technique, which  
71 was based on multiple penalized splines. The mortality rates of patients with low-grade FL, from  
72 this dataset, were published previously.(13)

73  
74 The median age at diagnosis was 60 years (range: 17–100 years). Approximately two-thirds of the  
75 patients (65.7%) presented with advanced-stage disease, and 51.9% of the patients were women.  
76 The most common initial treatment approach was immunochemotherapy (73.3%). Within the 24  
77 months of treatment, 174 patients (17.6%) experienced progression (POD24-patients), while 630  
78 patients (63.6%) did not. Patient characteristics according to the disease status at 24 months are  
79 presented in Supporting Table 1.

80  
81 This cohort had a median follow-up of 67 months (range: 0–226 months) during which time there  
82 were 217 deaths, 50.2% of which were the result of lymphoma progression. The estimated 10-year  
83 OS, DSS, and net survival rates for this population were 69.8% (95% CI: 66.2–73.0%), 83.8%  
84 (95% CI: 80.7–86.4%), and 83.8% (95% CI: 79.8–87.0%), respectively.

85  
86 In the non-POD24-patient group, excess mortality was observed for at least 10 years, and it did not  
87 decrease with time (Fig. 1A). Furthermore, in the non-POD24-patient group, the estimated 10-year  
88 net survival was 90.4% (95% CI: 85.3–93.8%); the total and net survival rates are shown in Fig. 1B.

89  
90 The cumulative incidence of deaths due to progressive lymphoma and deaths due to other causes  
91 were similar across the entire study population (Fig. 2A). However, among the non-POD24-  
92 patients, the cumulative incidence of deaths due to progressive lymphoma was lower than that of  
93 other causes, with an estimated 10-year cumulative incidence of only 7.8% (95% CI: 5.1–10.9%)  
94 vs. 12.9% (95% CI: 9.8–16.5%), respectively (Fig. 2B). In contrast, among the POD24-patients, the  
95 cumulative incidence of deaths due to progressive lymphoma was higher than that of other causes,

96 with an estimated 10-year cumulative incidence of 47.3% (95% CI: 38.5%–55.4%) vs. 7.8% (95%  
97 CI, 3.8%–12.1%), respectively (Fig. 2C).

98  
99 In addition, first remission length had an impact on the later course of the disease, as non-POD24-  
100 patients had a better DSS than POD24-patients after second-line treatment (Supporting Fig. S2).

101  
102 In our unselected, real-life population of 990 FL patients, the probability of death due to progressive  
103 lymphoma was similar to that of other causes. Deaths from progressive lymphoma were  
104 predominant among POD24-patients, similar to findings from previous studies. However, we also  
105 observed excess mortality and deaths due to progressive lymphoma among non-POD24-patients.  
106 Magnano et al., reported that FL patients whose first remission lasted for at least 30 months had a  
107 similar life expectancy to that of the general population.(9) Maurer et al. also reported that there  
108 was no subsequent excess mortality in patients achieving event-free survival for 12 or 24  
109 months.(10) However, Sarkozy et al., reported contrary findings suggesting that subsequent  
110 cumulative incidences of lymphoma-related and non-lymphoma-related mortality were similar  
111 among patients who were event-free at 24 months.(14)

112  
113 Given the prolonged PFS and OS in FL, several attempts have been made to identify earlier  
114 surrogate endpoints that might reliably predict overall survival. Event-free survival at 24 months, as  
115 well as CR at 30 months, are both associated with good prognosis.(5,15) Although we found excess  
116 mortality due to FL among non-POD24-patients, there was a substantial difference in survival  
117 between POD24- and non-POD24-patients, and the difference remained even after second-line  
118 treatment. It is likely that a longer follow-up and inclusion of a larger fraction of relapsed non-  
119 POD24-patients would improve the survival of these patients. Considering this, we suggest that  
120 studies concerning relapsed FL should be divided into two categories, based on the disease status of  
121 the patients at 24 months. This would reduce bias originating from overrepresentation of patients  
122 with early relapse in studies with a short follow-up. Non-POD24-patients have a favourable  
123 prognosis; therefore, the priority in their treatment should be to minimize lifetime treatment burden  
124 and chemotherapy-associated toxicities, as excess mortality consists not only of deaths from  
125 progressive lymphoma but also of deaths related to treatment toxicity. In contrast, POD24-patients  
126 are at risk of early death due to progressive lymphoma, which justifies more aggressive therapies  
127 coupled with higher treatment related mortality and morbidity and increased societal cost.

128

129 **AUTHOR CONTRIBUTIONS**

130

131 A.R., K.S., and O.K. designed the research. R.P., M.K., E.J., S.M., M.S., and J-M.S., collected the  
132 data. A.R., M.H., R.S., O.K., and K.S. analyzed the results. A.R., K.S., and O.K. wrote the original  
133 draft. M.H. and A.R. made the figures. M.H., R.S., R.P., M.K., H.K., E.J., S.M., M.S., and J-M.S.,  
134 reviewed and edited the manuscript. O.K. and K.S. supervised the study. All the authors accepted  
135 the final version of the manuscript.

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138 **DATA AVAILABILITY STATEMENT**

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140 The datasets generated during the current study are available from the corresponding author for  
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150

151 *Ethical approval and patient consent:* The principles of the Declaration of Helsinki were followed  
152 throughout the study. The study was approved by the Regional Ethics Committee for the Northern  
153 Ostrobothnia Hospital District. Permission to use patient-related data was applied from the hospital  
154 authorities responsible for that data. No consent from patients was required for the study, as Finnish  
155 and EU legislation allows the use of register-based data for retrospective studies without the  
156 informed consent of the patients concerned.

157

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211

212 **FIGURE LEGENDS:**

213

214 **Figure 1:** Mortality and survival of FL patients with event-free survival  $\geq 24$  months after first-line  
215 therapy (non-POD24-patients), relative to the control populations. Time starts from 2 years after  
216 first-line therapy. A) The total and excess mortality rates of non-POD24-patients. B) The overall  
217 and net survival rates of non-POD24-patients.

218

219 **Figure 2:** Cumulative incidence of the competing risks of cause of death. In Figure 2A and 2C,  
220 time starts from diagnosis, and in Figure 2B, time starts from 2 years after first-line therapy. A)  
221 Cumulative incidence by cause of death across the whole study population. B) Cumulative  
222 incidence by cause of death for patients who were event-free at 24 months following first-line  
223 therapy. C) Cumulative incidence by cause of death for patients with disease progression within 24  
224 months of first-line therapy (POD24).

225

226 **Supporting Figure S1:** Flow chart explaining eligibility and inclusion for our study population.

227

228 **Supporting Figure S2:** Disease-specific survival following second-line treatment in patients  
229 categorised as early event progression (POD24) and event-free survival for 24 months after first-  
230 line therapy (non-POD24).

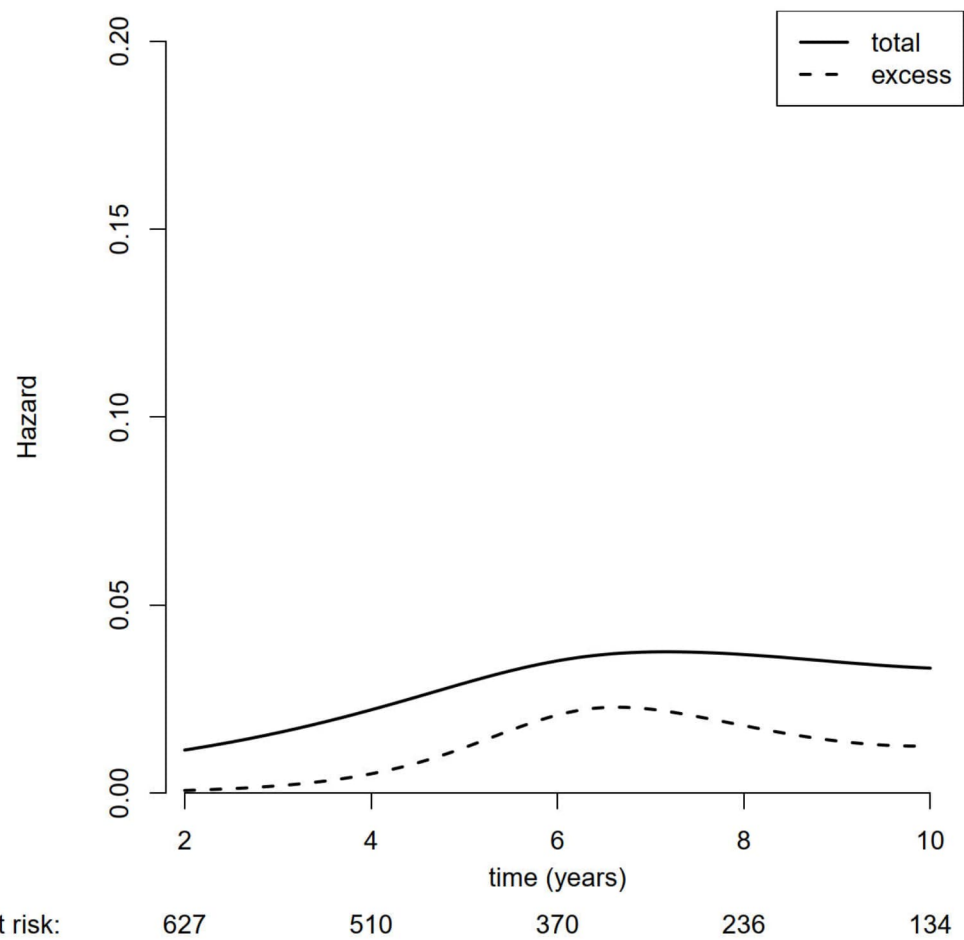
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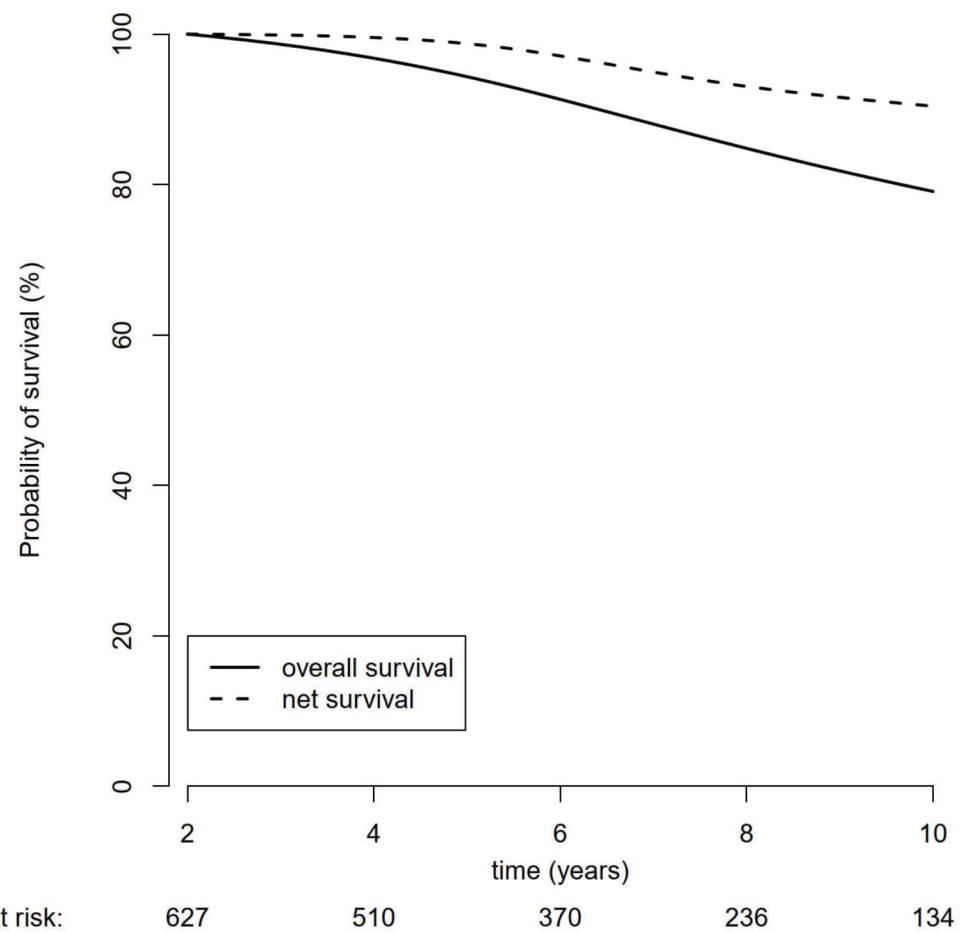
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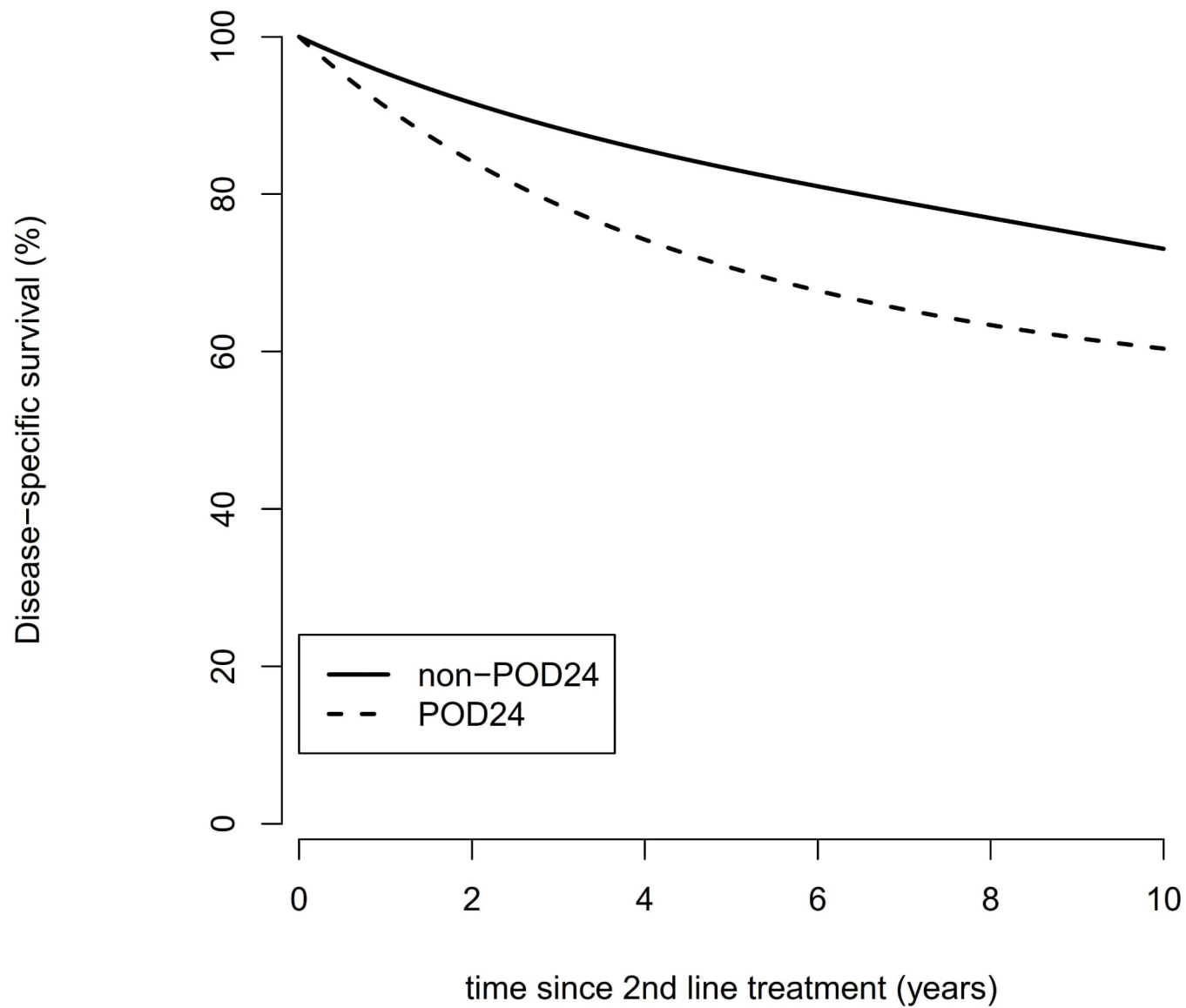


A)



B)





No. at risk non-POD24: 145

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No. at risk POD24: 94

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<b>Table 1</b>	<b>POD24-patients</b>	<b>non-POD24-patients</b>	<b>p-value*</b>
<b>Variable</b>	<b>N = 174</b>	<b>N = 630</b>	
<b>Follow-up duration, months</b>			<0.001
Median (range)	45 (0–212)	79 (24–224)	
<b>Age at diagnosis, years</b>			0.002
Median (range)	61 (30–87)	58 (17–100)	
<b>Sex</b>			0.093
Female	81 (46.6)	338 (53.7)	
Male	93 (53.4)	291 (46.3)	
NA	0	1	
<b>Grade</b>			0.346
1 or 2	117 (81.3)	490 (84.5)	
3	27 (18.8)	90 (15.5)	
NA	30	50	
<b>Stage</b>			<0.001
I-II	34 (21.0)	212 (35.3)	
III-IV	128 (79.0)	389 (64.7)	
NA	12	29	
<b>FLIPI<sup>b</sup></b>			<0.001
0-1	35 (23.3)	223 (39.3)	
2	34 (22.7)	169 (29.8)	
3-5	81 (54.0)	175 (30.9)	
NA	24	63	
<b>LDH<sup>c</sup> level</b>			<0.001
Normal	69 (56.1)	351 (72.8)	
Elevated	54 (43.9)	131 (27.2)	
NA	51	148	
<b>Hb (g/dL)</b>			0.001
< 12	36 (23.8)	77 (13.1)	
≥ 12	115 (76.2)	511 (86.9)	
NA	23	42	
<b>B-symptoms<sup>d</sup></b>			<0.001
Yes	45 (26.8)	95 (15.4)	
No	123 (73.2)	522 (84.6)	
NA	6	13	
<b>Initial treatment</b>			
Immunochemotherapy	118 (67.8)	482 (76.5)	0.020
Chemotherapy without rituximab	29 (16.7)	59 (9.4)	0.006
Rituximab monotherapy	2 (1.1)	19 (3.0)	0.172
Radiation therapy only	24 (13.8)	64 (10.2)	0.174
Surgery only	1 (0.6)	4 (0.6)	0.929
NA	0	2	
<b>Maintenance with rituximab after first line therapy</b>	27 (15.5)	193 (30.6)	<0.001
<b>Number of deaths during the follow-up</b>	83 (47.7)	92 (14.6)	
Cause of death			
Progressive lymphoma	70 (84.3)	34 (37.0)	
Other causes	13 (15.7)	58 (63.0)	

**Table 1:** Patient characteristics stratified based on the duration of first remission. POD24 was defined as clear progression of disease within 24 months of the first-line therapy. Non-POD24 was defined as the absence of relapse, progression, or death due to any cause within 24 months of first-line therapy.

a: NA = Not available

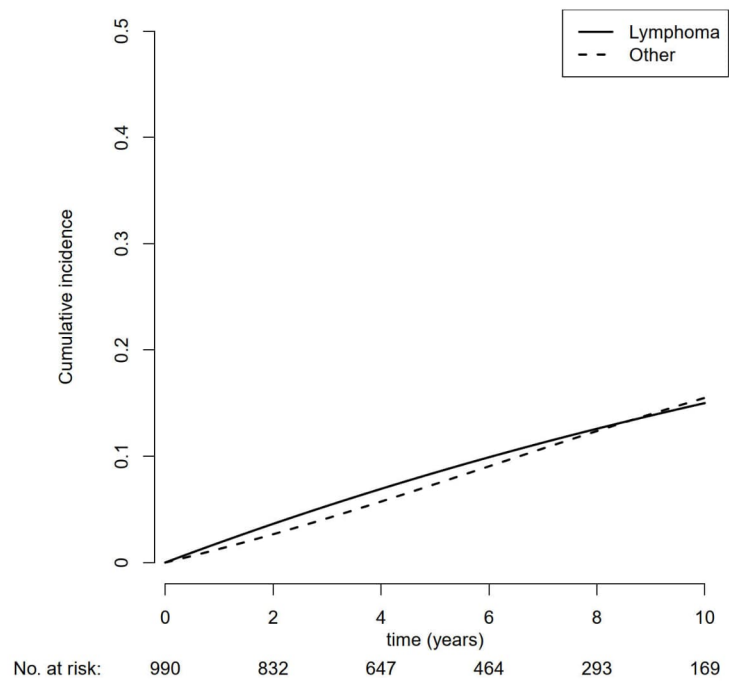
b: FLIPI = Follicular Lymphoma International Prognostic Index

c: LDH = lactate dehydrogenase

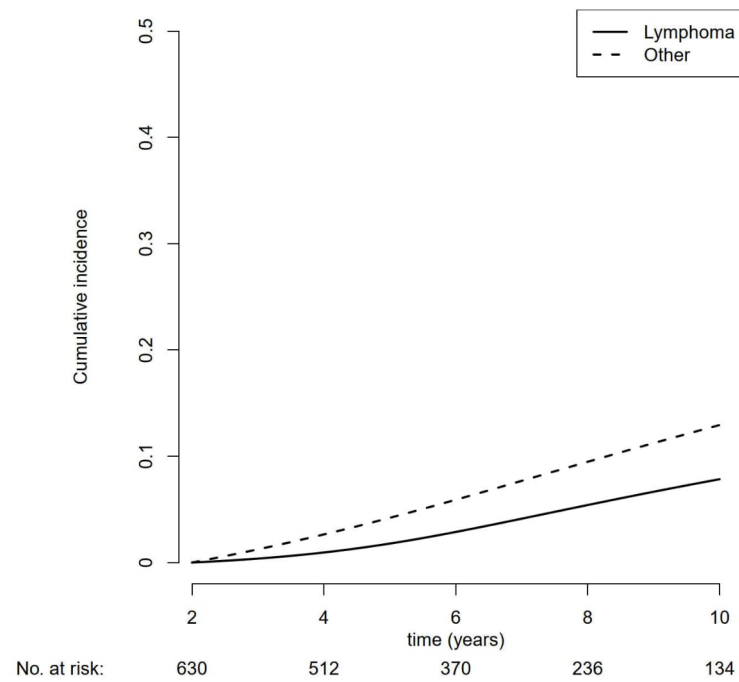
d: B-symptoms = systemic symptoms (unexplained weight loss, fever, night sweats)

\*Pearson's chi-squared test

A)



B)



C)

