

1 **Evaluating telehealth lifestyle therapy versus telehealth psychotherapy for**
2 **reducing depression in adults with COVID-19 related distress: The Curbing**
3 **Anxiety and depression using Lifestyle Medicine (CALM) randomised non-**
4 **inferiority trial protocol**

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60 **Abstract**

61
62 **Background**

63 There is increasing recognition of the substantial burden of mental health disorders at an individual
64 and population level, including consequent demand on mental health services. Lifestyle-based mental
65 healthcare offers an additional approach to existing services with potential to help alleviate system
66 burden. Despite the latest Royal Australian New Zealand College of Psychiatrists guidelines
67 recommending that lifestyle is a 'first-line', 'non-negotiable' treatment for mood disorders, few such
68 programs exist within clinical practice. Additionally, there are limited data to determine whether
69 lifestyle approaches are equivalent to established treatments. Using an individually randomised group
70 treatment design, we aim to address this gap by evaluating an integrated lifestyle program (CALM)
71 compared to an established therapy (psychotherapy), both delivered via telehealth. It is hypothesised
72 that the CALM program will not be inferior to psychotherapy with respect to depressive symptoms at 8
73 weeks.

74
75 **Methods**

76 The study is being conducted in partnership with Barwon Health's Mental Health, Drugs & Alcohol
77 Service (Geelong, Victoria), from which 184 participants from its service and surrounding regions are
78 being recruited. Eligible participants with elevated psychological distress are being randomised to
79 CALM or psychotherapy. Each takes a trans-diagnostic approach, and comprises four weekly (weeks
80 1-4) and two fortnightly (weeks 6 and 8) 90-minute, group-based sessions delivered via Zoom (digital
81 video conferencing platform). CALM focuses on enhancing knowledge, behavioural skills and support
82 for improving dietary and physical activity behaviours, delivered by an Accredited Exercise
83 Physiologist and Accredited Practising Dietitian. Psychotherapy uses cognitive behavioural therapy
84 (CBT) delivered by a Psychologist or Clinical Psychologist, and Provisional Psychologist. Data
85 collection occurs at baseline and 8 weeks. The primary outcome is depressive symptoms (assessed
86 via the Patient Health Questionnaire-9) at 8 weeks. Societal and healthcare costs will be estimated to
87 determine the cost-effectiveness of the CALM program. A process evaluation will determine its reach,
88 adoption, implementation and maintenance.

89
90 **Discussion**

91 If the CALM program is non-inferior to psychotherapy, this study will provide the first evidence to
92 support lifestyle-based mental healthcare as an additional care model to support individuals
93 experiencing psychological distress.

94
95 **Trial registration**

96 Australia and New Zealand Clinical Trials Register (ANZCTR): ACTRN12621000387820, Registered
97 8 April 2021, <https://www.anzctr.org.au/Trial/Registration/TrialReview.aspx?id=380897>

98
99 **Keywords**

100 Diet, Nutrition, Exercise, Physical activity, Depression, Mental health, Psychotherapy, Psychiatry,
101 Mental Disorders

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Background

The burden of common mental disorders continues to grow with substantial impacts on health and major social and economic consequences globally (1). While psychological interventions have been an effective treatment for mild-to-moderate depression and have shown comparable outcomes to pharmacotherapy (2), the increased demand for mental healthcare has caused lengthy waitlists for individuals seeking care for psychological distress (3). For individuals in rural or remote communities, access to care is even more limited. Moreover, a proportion of individuals do not achieve full remission of symptoms from psychotherapy (4) or have a desire to seek other treatment options (5). There is an urgent need for alternative approaches to established therapy which may alleviate the burden on mental health services, including responsive and flexible delivery models that reach those experiencing barriers to accessing conventional care.

Efficacy data supporting the use of lifestyle therapies as an adjunctive treatment for mental disorders are consistent and increasingly compelling (6). A 12-week whole-of-diet intervention in 67 participants with moderate-to-severe depression was efficacious for reducing clinical depression (7). It also found a cost saving of approximately \$2,600 per participant in the dietary condition due to reduced healthcare costs and reduced absenteeism (8). A group-based study taking a similar approach to depression treatment yielded comparable findings and was also shown to be highly cost-effective (9). These studies—backed up by level 1 evidence for dietary support to reduce depressive symptoms for a range of health conditions (10)—point to the potential of lifestyle therapies for producing large health benefits and cost savings in people with mental illness. Meta-analyses show physical activity interventions are also efficacious in treating depression (11, 12). Literature reviews and preliminary experimental work indicate that physical activity can provide positive effects on neural structures (13), mental health (12, 14) and cognitive function (15). These benefits also appear in the absence of clinical disorders, with a recent study reporting that an 8-week resistance exercise program significantly reduced anxiety symptoms in young adults without generalised anxiety disorder (16).

Telephone or web-based delivery is accepted increasingly as a feasible and effective delivery method for lifestyle programs (17). ‘Telehealth’ refers to healthcare delivered via communications technologies rather than ‘in person’. Additionally, in the context of restricted physical mobility and pre-existing mental health concerns (such as agoraphobia and social anxiety), telehealth programs are highly applicable. A multimodal, integrated lifestyle telehealth intervention showed considerable promise for reducing depressive symptoms (assessed using the Patient Health Questionnaire 9 (PHQ-9)) compared to standard care, especially for those with a history of depression after a heart attack (18). In addition, home exercise prescribed using a web-based exercise program resulted in higher confidence and engagement in physical activity compared to standard care (19). As of 2021, the Australian Government identified telehealth delivery of mental health interventions as a key model of care (20).

The COVID-19 pandemic has led to further deteriorations in mental health and applied strain to mental health services. Compared to before the pandemic, the COVID-19 Mental Disorders Collaborators estimate that globally there has been a 27.6% increase in major depressive disorder cases and a 25.6% increase in anxiety disorders (21). In addition to the direct impact of the disease itself (i.e. infection, fear of infection, loss of loved ones), the indirect impact of public health policy to promote infection control (such as lockdowns and restricted freedoms) may have exacerbated mental health symptoms in those with pre-existing mental health concerns (22). Physical isolation may induce boredom, loneliness, frustration, anger, post-traumatic stress, financial loss, and stigma (23)—even in those with no history of mental illness. The deleterious effects of loneliness on health is equivalent to that of smoking (24). It has been shown that, people living in the Australian state of Victoria, who have undergone the longest period of ‘lockdown’ anywhere in the world, have increased odds of experiencing clinically significant symptoms of depression and anxiety compared to those living in other areas of the country with less extensive lockdowns and restrictions (25). This cohort also had significantly decreased odds of having high optimism about the future (25).

Despite the Royal Australian New Zealand College of Psychiatrists guidelines explicitly recommending that lifestyle approaches be a ‘first-line’, ‘non-negotiable’ treatment for mood disorders (26), there are surprisingly few studies from real world settings to guide implementation, nor to demonstrate their effects relative to an established psychotherapy. This paper presents the study protocol for the CALM (Curbing Anxiety and depression using Lifestyle Medicine) randomised

180 controlled trial based in Victoria, Australia. The aim is to examine the relative efficacy and cost-
181 effectiveness of an integrated lifestyle program (CALM) compared to an established psychotherapy
182 program—both delivered via telehealth. We hypothesise that the CALM program will not be inferior to
183 the psychotherapy program for depressive symptoms (PHQ-9 scores) at 8 weeks.

184

185 **Methods**

186

187 **Study Design**

188 This is a two-arm, parallel-group, individually randomised group treatment (IRGT), non-inferiority trial.
189 This single-site study is currently recruiting N=184 participants from the Barwon and surrounding
190 regions (Victoria, Australia). Participants are individually randomised (1:1 allocation) to either of the
191 two group-based programs, both delivered via telehealth. All participants complete assessments prior
192 to randomisation (baseline) and at program completion (8 weeks). Recruitment and program delivery
193 are anticipated to occur over 50 weeks, from May 2021 to April 2022.

194

195 **Study Aims**

196 The primary aim is to examine the efficacy of the CALM program for reducing depressive symptoms
197 compared to a standard psychotherapy program. The primary outcome will be change in depressive
198 symptoms at 8 weeks as measured by the Patient Health Questionnaire-9 (PHQ-9) (27). Secondary
199 aims include: (i) examination of the efficacy of CALM versus psychotherapy on other health outcomes
200 (anxiety symptoms, psychological distress, health behaviours, health functioning and cardiovascular
201 disease biomarkers), (ii) investigation of the cost-effectiveness of the CALM program relative to
202 psychotherapy from healthcare and societal perspectives, and (iii) a process evaluation by which to
203 determine the reach, adoption, implementation and maintenance (RE-AIM)(28) of the CALM program
204 beyond the research period.

205

206 **Participant Eligibility**

207 Participants are included if they are adults (aged 18 years or older), have capacity to provide informed
208 consent, can converse in English, are willing to commit to six 90-minute sessions over an 8-week
209 period, have basic computer and internet literacy (able to access Zoom calls), and have a Distress
210 Questionnaire-5 (DQ5)(29) score ≥ 8 at enrolment. Participants are excluded if they have a known or
211 suspected clinically unstable systemic medical disorder; severe food allergy, intolerance, aversions or
212 malabsorption issue; a current or lapse/relapse of an eating disorder; or any other socio-cultural,
213 religious, medical reasons which precludes their participation in a lifestyle intervention. During this trial
214 period, participants cannot be involved in another intervention study. Participants cannot be pregnant,
215 breastfeeding, or planning pregnancy within the next year. Participants are asked to provide blood
216 and stool samples on two occasions (pre- and post-intervention). As this study aims to determine the
217 effectiveness of the CALM intervention as an adjunctive therapy, ongoing pharmacological or other
218 treatments during the intervention period is not an exclusion criterion. However, participants are
219 excluded if they commence a new, duplicating treatment (e.g., psychotherapy) for a mental illness
220 within a one-month period prior to baseline or are experiencing an exacerbation of symptoms not
221 adequately controlled by medication. Finally, participants must be deemed suitable for participation in
222 a structured lifestyle or psychotherapy program for 8 weeks (i.e. not in crisis or suicidal at time of
223 enrolment). Where evidence of acute suicidality or mental health crisis is identified during enrolment,
224 a risk screening is conducted by a mental health clinician (TJ) to determine eligibility for participation.
225 Individuals identified as in crisis or suicidal are directed to specialty services. Participants without
226 access to a device and/or stable internet are loaned a device with mobile data.

227

228 **Recruitment and Informed Consent**

229 The primary recruitment strategy is through Continuing Care Services (CCS) within the Barwon Health
230 Mental Health, Drugs & Alcohol Service (MHDAS) in Geelong, Victoria, Australia. CCS provide
231 medium-to-long term mental health services in community settings for consumers of all ages across
232 the regional setting of Geelong and the surrounding regions (South West Victoria). Based on
233 Australian remoteness classifications, this region covers Metropolitan Areas, Regional Centers, Large
234 Rural Towns, Medium Rural Towns, and Small Rural Towns. A Referral Coordinator employed and
235 based at MHDAS identifies potentially eligible participants from patient databases and case files and
236 sends them an invitation to participate. This letter contains information about the study requirements
237 and invites them to contact a member of the Deakin research team if they are interested in
238 participating. To avoid potential coercion, the research team does not contact potential participants
239 from MHDAS unless they have expressed interest.

240
241 Recruitment also occurs through community-based advertising such as flyers displayed around
242 Deakin University campuses, Barwon Health sites, community hubs and General Practice clinics,
243 media releases, newspaper articles, radio interviews, community talks and social media. Mental
244 health services (e.g. Headspace) supports recruitment by providing flyers to clients at entry point
245 and/or at assessment, with the objective of informing them of the trial and encouraging them to
246 consider participating whilst on waiting list for headspace services and/or as an adjunct to headspace
247 services.

248
249 Interested individuals undergo a brief eligibility check (including the DQ5, (29)) conducted over the
250 phone with a study coordinator. If at any time they are identified as not meeting the inclusion criteria,
251 they are informed that they are not eligible for inclusion in the trial but are provided with information on
252 where to seek support. Eligible participants are invited to enrol in the study. Once e-consent is
253 obtained, baseline assessments are booked at a time convenient to the participant.

254
255 Due to the individually randomised group treatment design, baseline assessment of eligible
256 participants are 'batched' to ensure 50/50 randomisation to each arm whilst reducing the amount of
257 time between baseline assessments and commencement of the program to which they have been
258 assigned. In other words, baseline assessments are booked only once an adequate number of
259 participants have enrolled to form groups (minimum 5, maximum 10, per group). At this point,
260 participants are asked whether they have had any changes to medications or medical events since
261 enrolment. If the time from enrolment to time of baseline assessments exceeds 2 weeks, they are re-
262 assessed on the DQ5 to re-confirm eligibility.

263 264 **Data Collection**

265 To minimise interruptions caused by COVID-19 lockdowns, data collection is designed to involve
266 minimal face-to-face contact. Demographic data (including age, sex, ethnicity, postcode, medications,
267 nominated General Practitioner or case manager) are obtained via phone interview upon enrolment.
268 At both baseline and 8-week follow-up, the blinded research assistant administers a battery of
269 questionnaires via a Computer-Assisted Telephone Interview (CATI). This CATI takes approximately
270 30-60 minutes to complete and is conducted by a research assistant with specialised training. In
271 addition to the CATI, the Mini-International Neuropsychiatric Interview (MINI) is administered in a
272 separate Zoom call by a trained Clinical Psychologist or Provisional Psychologist. The MINI is a
273 structured diagnostic interview designed to assess 17 of the most common mental health disorders
274 (30). It is used here to examine the prevalence of current and past major psychiatric disorders in the
275 trial population.

276
277 In the absence of any COVID-19 related lockdown, consenting participants are also invited to
278 complete an in-person visit at Australian Clinical Labs Collection Centre and Health Education and
279 Research Building (both in Geelong). This visit cannot be administered via telephone. It includes a
280 fasting blood test; weight, height, waist and hip measurements; blood pressure assessments; and
281 muscular strength tests. As research assistants conducting data collection are blinded to participants'
282 program allocation, prior to the 8-week follow-up assessments participants are reminded not to reveal
283 the group they have been assigned to in order for research assistants to retain blinded status.

284
285 If a participant chooses to withdraw from the study or is withdrawn by the research team (due to an
286 adverse event, violating inclusion criteria, or if continuing would be detrimental to their wellbeing),
287 data collected up until that time point will be used in the analysis of results, unless otherwise
288 requested by the participant. A research assistant will also make a reasonable attempt to collect the
289 primary outcome from withdrawing participants by organizing a follow-up CATI.

290 291 **Outcome measures**

292 A summary of outcome measures and variables are displayed in Table 1. Schedule of enrolment,
293 interventions and assessments are displayed in Table 2. The 9-item Patient Health Questionnaire-9
294 (PHQ-9) is the primary outcome of the study and is used to measure depressive symptoms. The
295 PHQ-9 is based on the nine DSM-IV criteria for major depressive episode and is a frequently used
296 clinical and research as a measure of depressive symptoms (27). It assesses the proportion of days
297 in the past two weeks that the respondent experienced various depressive symptoms on a 4-point
298 scale from "Not at all" (0) to "Nearly every day" (3). Scores range from 0–27. A cut-point of ≥ 10 has
299 been identified as providing an important threshold for identifying Diagnostic and Statistical Manual of

300 Mental Disorders (DSM-IV) congruent major depression and shows good sensitivity and specificity
301 (27) (Note: the current version DSM-V has consistent diagnostic criteria to DSM-IV). Of note, a
302 different outcome measure was used to select the sample (i.e., the DQ5) to reduce regression to the
303 mean, which can inflate effect sizes (31).

304
305 The secondary outcome measures were chosen as they assess various relevant aspects of mood
306 including stress, anxiety, depression and health-related quality of life. These questionnaires assessed
307 mood across various time periods (past week to past month). Administration via CATI was chosen to
308 reduce burden on participants. They are also given a paper copy of the questionnaires to follow along.
309 If too burdensome, participants have the option of completing the CATI over two separate calls, or
310 alternatively complete as much as they can. The CATI is structured in order of importance of outcome
311 measures (primary outcome first) to reduce missing data for the primary outcome and ensure
312 retention of participants.

313 Table 1. Summary of assessments.

Primary Outcome	Assessment
Depressive symptoms	9-item Patient Health Questionnaire-9 (PHQ-9) (27)
Secondary Outcomes	
Anxiety symptoms	7-item Generalised Anxiety Disorder scale (GAD-7) (32)
Anxiety concerning the COVID-19 pandemic	5-item Coronavirus Anxiety Scale (CAS) (33)
Non-specific psychological distress*	10-item Kessler-10 (K-10) (34)
Perceived social support	4-item (abbreviated) Medical Outcome Study Social Support Survey (MOS-SSS) (35)
Substance use	8-item Alcohol, Smoking and Substance Involvement Screening Test (ASSIST) (36)
Sleep hygiene	7-item Insomnia Severity Index (ISI) (37)
Health-related quality of life	12-item Assessment of Quality of Life (AQoL 4D) (38)
Health service use	Self reported use of prescription and over the counter medications, health professional visits, hospitalisations, absenteeism and presenteeism over the past 8 weeks
Stool consistency	4-item (modified) Bristol Stool Form Scale (BSFS) (39)
Psychosis symptoms	7-item Early Psychosis Questionnaire (40)
Physical activity levels	5-item Simple Physical Activity Questionnaire (SIMPAQ) (41, 42) and a modified Borg scale (43)
IBS diagnosis	1-item self-developed question asks participants if they have been told they have irritable bowel syndrome (IBS) by a general practitioner or gastroenterologist
High-density lipoprotein, Lower-density lipoprotein, total cholesterol	Fasting blood samples
Triglycerides, blood glucose	Fasting blood samples
Cardiovascular health	Systolic and diastolic blood pressure measured using an automatic sphygmomanometer
Waist circumference, hip circumference, height, weight	Height (to the nearest 0.1 cm), body weight (to the nearest 0.1kg), waist circumference (to the nearest 0.1 cm) and hip circumference (to the nearest 0.1 cm)
Lower body muscular strength and upper body muscular strength	30-second sit-to-stand and 30-second bicep curl test
Diet intake	Dietary Questionnaire for Epidemiological Studies version 3.2 (DQES v3.2)—a modified version of the food frequency questionnaire developed by Cancer Council Victoria (44)
Gut microbiome composition	Stool collection (OMNIgene kits) (45)
Effect modifiers	
Health-related social needs	15-item American Academy of Family Physicians' Social Needs Screening Tool (46)
Medication adherence	8-item Morisky Medication Adherence Scale (MMAS) (47)
Perceived self-efficacy	6-item (abbreviated) General Self-Efficacy Scale (GSE) (48)
Readiness to make changes in behaviour prior to the intervention (49)	3-item Readiness to Change Questionnaire (RCQ)
Treatment expectancy and rationale credibility	6-item Credibility/Expectancy Questionnaire (CEQ) (50)
Prevalence of current and past major psychiatric disorder	Mini-International Neuropsychiatric Interview (MINI) (30)

314 *K-10 is also used as a safety measure

Table 2. Schedule of enrolment, interventions and assessments.

TIMEPOINT:	Pre-enrolment	Enrolment	Baseline	Allocation	Week 1	Week 2	Week 3	Week 4	Week 6	Week 8	Follow up
Prescreening consent	X										
Eligibility screening (including DQ5)	X										
Informed consent		X									
Allocation to group				X							
INTERVENTIONS:											
CALM program					↔—————↔						
Psychotherapy control					↔—————↔						
Hamper delivery					X						
ASSESSMENTS:											
Outcome measures											
Depression symptoms (PHQ-9)			X								X
Anxiety symptoms (GAD-7)			X								X
COVID-related distress (CAS)			X								X
Psychological distress (K-10)			X		X	X	X	X	X	X	X
Social support (MOS-SSS)			X								X
Smoking, alcohol and drug use (ASSIST)			X								X
Sleep difficulties (ISI)			X								X
Quality of life (AQoL 4D)			X								X
Resource use questionnaire			X								X
Stool consistency (BSFS)			X								X
Early Psychosis Questionnaire			X								X
Physical activity (SIMPAQ and Borg scale)			X								X
Irritable bowel syndrome (IBS) diagnosis			X								X
Fasting blood test			X								X
Systolic/diastolic blood pressure			X								X
Body mass index (BMI)			X								X
Waist-hip ratio (WHR)			X								X
Nutrition (DQES v3.2)			X								X
30-Second Sit-to-Stand Test			X								X
30-Second Bicep Curl Test			X								X
Gut microbiota (stool sample)			X								X
Participant Feedback					X	X	X	X	X	X	
Effect modifiers											
Socio-economic constraints (AAFPSNST)			X								
Medication adherence (MMAS)			X								
Self-efficacy (GSE)			X								X
Readiness to change (RCQ)					X						
Treatment expectancy (CEQ)					X						
Neuropsychiatric Interview (MINI)			X								
Intervention fidelity measures (CALM only)											
ModiMed Diet weekly Checklist					X					X	
FitBit records					X	X	X	X	X	X	

318 **Safety**

319 Procedural safeguards are in place for participants exhibiting deterioration in mental health, such as
320 signs of suicidality, sub-optimal medication taking, an adverse event or elevation in psychological
321 distress. Participants complete a fortnightly adverse events questionnaire, which is overseen by the
322 study coordinator and medical personnel. In addition to being administered pre- and post-intervention,
323 the K-10 is also administered each week during the intervention period to assess participant's levels
324 of generalised psychological distress. The K-10 consists of 10 items to measure non-specific
325 psychological distress (34) and is commonly used in clinical and epidemiological contexts. Scores
326 range from 10-50, with higher scores indicating more severe psychological distress. The K-10 is used
327 to examine whether the interventions are having a positive effect on psychological distress throughout
328 the course of treatment. An increase by more than 0.5 standard deviations, or a K10 score increase
329 above 30, between sessions initiates safety protocol procedures.

330
331 An independent Data Safety Monitoring Board (DSMB) is overseeing the trial to maximise participant
332 safety and identify patterns in adverse events that may be related to either program. The DSMB
333 consists of members who collectively have experience in the lifestyle based interventions, digital
334 interventions, biostatistics, and randomised clinical trials.

335
336 **Intervention adherence and integrity**

337 Participants' attendance at each of the intervention sessions are recorded by the facilitators.
338 Completion of the intervention is categorised as 50% or greater exposure/attendance (i.e. 3 or more
339 sessions). At the conclusion of each telehealth session, the facilitators present five questions with
340 Likert-style response options to participants to obtain session feedback. The results of these polls are
341 used to guide the structure of future sessions to enhance participant engagement. All sessions are
342 recorded on Zoom unless a participant does not consent to the recording. Approximately 10% of
343 session recordings are assessed independently by two investigators to determine fidelity to both the
344 CALM and psychotherapy conditions.

345
346 For participants in the CALM intervention arm only, the Mod/Med Diet Weekly Checklist (51) is used to
347 assess how fully participants are engaging in a modified Mediterranean diet, as promoted by the
348 CALM intervention. Engagement in the CALM physical activity objectives is monitored continually
349 throughout the intervention period via the active minutes output obtained from FitBits that are
350 provided to participants allocated to the CALM 'lifestyle' program at trial commencement. They are
351 also asked to complete two items from the SIMPAQ and Borg scale to capture physical activity
352 intensity on a weekly basis.

353
354 **Study Conditions**

355 All group-based telehealth sessions are delivered using the Zoom for Education platform; a secure,
356 reliable, encrypted video conferencing facility. This platform allows multiple individuals to access a
357 meeting via a computer, tablet or smartphone. Sessions are scheduled to be 90 minutes, with the
358 option of a 15-minute 'drop-in' before and after each session.

359
360 **'CALM' lifestyle program**

361 The CALM lifestyle program has been developed by Accredited Practising Dietitians (APD) and
362 Accredited Exercise Physiologists (EP), with the overarching goal to support positive lifestyle changes
363 for mental health. CALM content is derived from the Mod/Med Diet used in the SMILES trial (7, 51),
364 the Finnish Diabetes Prevention Study (DPS) (52), the GOAL Program (53), and the Australian
365 Greater Green Triangle Diabetes Prevention Project (GGT DPP) (54). These studies have all
366 demonstrated successes in achieving improvements in physical and/or mental health outcomes, and
367 hence were considered ideal models in which to develop CALM. The study goals were modified and
368 refined from the original diabetes prevention programs based on the most-up-to date evidence in
369 Lifestyle Psychiatry (6, 10, 55). Thus, the goals of the 'CALM' program are for participants to achieve:
370 (1) No more than 10% of energy from saturated fats,
371 (2) At least 15g/1000kcal fibre (approx. 30 – 45 g dietary fibre daily),
372 (3) 150mins/week of moderate physical activity (or 75mins/week of vigorous physical activity – or
373 equivalent combination of both).

374
375 Importantly, the CALM lifestyle program does not have a weight loss goal, which is based on
376 evidence demonstrating that associations between diet quality and depression are independent of
377 body weight (e.g., (7, 56)). Moreover, shifting the emphasis (i.e. from a weight-focussed to a health-

378 focused paradigm) is consistent with the Health at Every Size® movement (57), and believed to help
379 reduce the pressure to lose weight, which can add another mental health burden and challenge (58).

380

381 While the CALM program is evidence-based and manualised to allow for replication, it also harnesses
382 and is guided by peer-to-peer interaction and participant discussion, and is tailored to the specific
383 needs of the group (e.g., motivation levels, confidence, skills, preferred learning styles, knowledge,
384 health literacy). At each session, participants in collaboration with facilitators, are encouraged to set
385 relevant goals for achieving positive lifestyle change. While the program focus primarily relates to
386 nutrition and physical activity, participants may nominate other lifestyle targets that are critical to
387 mental health (e.g., alcohol, smoking, substance use, sleep hygiene). Facilitators aim to develop
388 rapport and a comfortable, respectful, sensitive and non-judgmental environment. All facilitators are
389 highly skilled, with advanced training in motivational interviewing, health coaching, goal setting and
390 mindfulness.

391

392 Example content and activities include: key foods and nutrients for mental health, nutrition
393 recommendations for mental health, physical activity recommendations for general health and mental
394 health and safety considerations (adapted from (6, 59)), creating healthy convenient meals and
395 snacks, shopping lists, practical physical activity examples, physical activity 'snacks' (60), label
396 reading, barriers and enablers to lifestyle changes, mindful eating, mindful physical activity, recipe
397 modification and support groups. Additionally, at the start of each session, participants are provided
398 with an opportunity to ask questions arising from or since the previous session, discuss their goals
399 and homework. At the final session, goals achieved during the intervention period are discussed and
400 summarised. Further, discussions include ways to stay motivated, maintaining changes and dealing
401 with set-backs, with longer-term strategies developed to support sustainable changes, including
402 sources for additional information and peer support.

403

404 To encourage engagement with healthful dietary habits, participants are sent a food hamper at
405 completion of their first session, which contains the key components of the Mod/Mod diet to inspire
406 and promote dietary change. This strategy has previously been used to successfully promote
407 engagement in RCTs of other Mediterranean-type dietary approaches (7, 61). For the physical activity
408 sessions, participants are provided with a resistance band as a convenient, portable, and effective
409 alternative to free weights and weight machines. They are also sent a FitBit Charge 2 to self-monitor
410 their physical activity (pedometer). Participants are also encouraged to complete a Mod/Mod
411 checklist, a physical activity tracker and utilise health apps, to increase their engagement and build on
412 skills learnt throughout the sessions.

413

414 ***Psychotherapy program***

415 Cognitive Behavioural Therapy is widely recommended as a gold-standard psychological intervention
416 for multiple mental health presentations (4, 62, 63), and has demonstrated effectiveness when
417 delivered in groups (e.g., (64, 65)) and via telehealth (e.g., (66, 67)).

418

419 The psychotherapy program is a transdiagnostic CBT group adapted from the manualized Mood
420 Management Course that was developed by the Centre for Clinical Interventions (CCI) (68). The
421 psychotherapy program also incorporates mindfulness practices, with a growing body of research
422 suggesting that the integration of mindfulness and CBT can improve clinical outcomes (69, 70).

423

424 CBT proposes that the cognitive and behavioural factors which maintain mental health distress are
425 amenable to change through recognising and challenging these unhelpful patterns (71). As such, the
426 psychotherapy group aims to develop skills in self-awareness, identifying and managing unhelpful
427 thoughts and behaviours, and learning and practicing self-management strategies. Each session
428 includes guided mindfulness practice. Each group is facilitated by a clinical or registered psychologist
429 and a provisional psychologist.

430

431 To ensure parity with the CALM 'lifestyle' program, participants are sent a self-soothe hamper at
432 commencement of the program, which includes items such as a colouring book, head massager, and
433 stress ball. Participants are also encouraged to utilize mental health apps, to increase their
434 engagement with skills such as mindfulness, breathing, thought logs, and cognitive disputation.

435

436

437

438 **Randomization and blinding**

439 Upon enrolment, participants are assigned a unique study identification (ID) number for randomisation
440 purposes. After baseline assessment is complete, participants are assigned randomly to either the
441 CALM group or the psychotherapy group. Allocation to the intervention arm is conducted using
442 computer-generated block randomisation in a 1:1 ratio (CALM to psychotherapy). The allocation
443 sequence is generated by an independent statistician. As randomisation occurs only when there are
444 sufficient participants to form groups (minimum n=5 per group), block randomisation is not feasible.
445 Rather, a simple random allocation method is used within groups of participants which are ready to be
446 randomised.

447
448 The Study Coordinator (unblinded) provides each participant with the schedule (for the group to which
449 they have been allocated) and the technical support information (e.g., Zoom download, set up).

450
451 **Sample Size**

452 To assure non-inferiority hypothesis on the primary outcome, the maximum allowed upper limit of the
453 95% CIs (one-sided alpha of 5% was used for non-inferiority margin) of between-group mean
454 difference on the PHQ-9 will be no larger than 2. This was based both on statistical reasoning
455 (sample size and recruitment feasibility over the funded 18-month study period), clinical judgement
456 and other psychotherapy trials using non-inferiority designs (72). Assuming a standard deviation of 4,
457 one-sided type I error=0.025 and 80% power, a total sample size of N=160 (n=80 participants
458 assigned to each group) will be required and inflated by 15% (N=184) to allow for comparable attrition
459 to that observed in our other telehealth trials (e.g., (18)).

460
461 **Data Analyses**

462 To compare continuous outcomes on the PHQ-9 in the primary analysis, between-group mean
463 difference and confidence intervals (CIs) will be estimated using generalised estimating equation
464 techniques, with Huber Sandwich Estimator of variance to account for clustering (31, 73). One-sided
465 type I error of 0.025 will be used for all non-inferiority analyses. Effect sizes will be calculated using
466 Cohen's d. Exploratory analyses non-equivalence comparisons will examine remission (post-
467 treatment score < optimal cut-score for a probable diagnosis of depression on PHQ-9 in participants
468 who initially scored above Threshold) and recovery rate (reduction of at least 50% of pre-treatment
469 PHQ-9 scores) rates. Two-sided alpha of 5% will be used. Reliable improvement is defined as a
470 reduction of ≥ 5 points on PHQ-9 scores based on severity classifications pre-to-post treatment.

471
472 **Economic Evaluation**

473 A within-trial economic analysis will be conducted from health sector and partial societal perspectives
474 by including intervention costs, the cost of other healthcare resources used by participants during the
475 trial period, and lost productivity. Standard Australian unit costs (i.e. Pharmaceutical Benefits
476 Schedule) will be applied and the average Australian wage rate plus 25% overhead costs used to
477 value lost work time (the Human Capital Approach). Differences in total costs from health sector and
478 partial societal perspectives will be compared with differences from multiple outcomes (quality-
479 adjusted life years calculated from AQL-4D utility values, PHQ-9, etc.) between groups.

480
481 **Data Management**

482 Participant details including medical history, medications and program session notes are entered into
483 a Clinical Trial database, RealTime Software Solutions, LLC. Access to the RealTime database is
484 password-protected, and study personnel are given individual user IDs and passwords. Baseline,
485 weekly and 8-week, questionnaire data are collected through REDCap, stored securely at Deakin
486 University's Research Data Store on password protected servers. Zoom recordings are encrypted and
487 stored locally on Deakin University's password-protected servers. Stool samples are stored in a -80°C
488 freezer housed in a secure, swipe-card protected facility at the Geelong Centre for Emerging & Infectious
489 Diseases laboratory. Blood samples are analysed by Australian Clinical Labs and results uploaded to their
490 online portal. This portal is password protected and only accessible to the research team.

491
492 **Ethics and Dissemination**

493 Approval to conduct the study was received from Human Research Ethics Committees of Barwon
494 Health (20/199) and Deakin University (2021-166). The study is being conducted in accordance with
495 the National Health and Medical Research Council (NHMRC) National Statement on Ethical Conduct
496 in Human Research (2007) and the Note for Guidance on Good Clinical Practice (CPMP/ICH-135/95).
497 Individual, electronic consent occurs prior to any testing procedures taking place. As both programs

498 are designed to be adjunctive therapy, all participants are advised to continue usual care from their
499 treating clinician (if relevant) while participating in the study. In accordance with the NHMRC Open
500 Access Policy, research findings will be disseminated as widely as possible including: an open access
501 repository, conferences proceedings and presentations and peer reviewed journals. Findings will be
502 reported using the Consolidated Standards of Reporting Trials (CONSORT) statement (74).
503

504 **Discussion**

505
506 Despite recent guideline recommendations that lifestyle approaches (i.e. healthful dietary and
507 physical activity behaviours) be a 'first-line', 'non-negotiable' treatment for mood disorders, this
508 approach is seldom delivered by a registered dietitian and/or exercise physiologist as part of
509 mainstream clinical practice. The CALM program is a discrete service model that provides an
510 opportunity for adults with (or at risk of developing) a mental disorder to access lifestyle-based mental
511 health care. Lifestyle approaches have also been proven to address shared risk factors for comorbid
512 non-communicable medical disorders (75). In the context of a global pandemic when psychological
513 distress is high, additional strain has been placed upon an already overburdened mental healthcare
514 system and face-to-face care has been disrupted, the telehealth model employed as part of the CALM
515 trial has the potential to provide an additional pathway to ease such barriers. If CALM is non-inferior to
516 established psychotherapy, it has the potential not only to provide additional and supplementary care
517 to individuals with mental health concerns but to enable the workforce of dietitians and exercise
518 professionals to support mental healthcare during COVID-19 and beyond. For the first time, our study
519 will provide real-world data on the efficacy and cost-effectiveness of an integrated lifestyle program
520 compared to established psychotherapy and is anticipated to produce dual mental and physical health
521 benefits that may have long-term health and cost-savings.
522

523 **Abbreviations**

524 ANZCTR, Australia and New Zealand Clinical Trials Register; PHQ-9, Patient Health Questionnaire 9;
525 CALM, Curbing Anxiety and depression using Lifestyle Medicine; IRGT, individually randomised
526 group treatment; RE-AIM, reach, adoption, implementation and maintenance; DQ5, Distress
527 Questionnaire-5; MHDAS, Mental Health, Drugs & Alcohol Service; CCS, Continuing Care Services;
528 CATI, Computer-Assisted Telephone Interview; MINI, Mini-International Neuropsychiatric Interview;
529 DSM, Diagnostic and Statistical Manual of Mental Disorders; GAD-7, Generalised Anxiety Disorder
530 scale; CAS, Coronavirus Anxiety Scale; K-10, Kessler-10, MOS-SSS, Medical Outcome Study Social
531 Support Survey; ASSIST, Alcohol, Smoking and Substance Involvement Screening Test; ISI,
532 Insomnia Severity Index; AQoL 4D, Assessment of Quality of Life; BSFS, Bristol Stool Form Scale;
533 SIMPAQ, Simple Physical Activity Questionnaire; IBS, irritable bowel syndrome; DQES v3.2, Dietary
534 Questionnaire for Epidemiological Studies version 3.2; MMAS, Morisky Medication Adherence Scale;
535 GSE, General Self-Efficacy Scale; RCQ, Readiness to Change Questionnaire; CEQ,
536 Credibility/Expectancy Questionnaire; BMI, Body mass index; WHR, Waist-hip ratio; DSMB, Data
537 Safety Monitoring Board; APD, Accredited Practising Dietitians; EP, Accredited Exercise
538 Physiologists; DPS, Diabetes Prevention Study; GGT DPP, Greater Green Triangle Diabetes
539 Prevention Project; CBT, cognitive behavioural therapy; CCI, Centre for Clinical Interventions,
540 confidence intervals, confidence intervals; NHMRC, National Health and Medical Research Council;
541 CONSORT, Consolidated Standards of Reporting Trials.
542

543 **Declarations**

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554 **Author contributions**

555 Conceptualization: SMO, MTey, SR, FJ, MY, JS, PA, VV, MLC, MB, SMa, MMoh, MMor, AC, CBe,
556 MO, TR, SL, AO. Study development and delivery: AO, LMY, TJ, MTu, RO, MH, DS, CBr, KB, SMA,

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572

573 **Availability of data and materials**

574 Not applicable.
575

576 **Competing interests**

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599

600 **Ethics approval and consent to participate**

601 Approval to conduct the study was received from Human Research Ethics Committees of Barwon
602 Health (20/199) and Deakin University (2021-166). Individual, electronic consent occurs prior to any
603 testing procedures taking place.
604

605 **Consent for publication**

606 Not applicable.
607

608 **Protocol version**

609 The trial protocol is version 8 date 17/09/2021. The research ethics boards have approved changes to
610 the protocol since inception of the trial. All changes have been updated in ANZCTR. Any further
611 protocol amendments will be communicated with the ethics committee and any parties affected.
612
613
614

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