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Modulating sustained drug release from nanocellulose hydrogel with the geometry of implantable capsules

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21 **Abstract**

22 Nanocellulose hydrogel has been shown to be an excellent platform for drug delivery and it has
23 been lately studied as an injectable drug carrier. 3D printing is an effective method for fast
24 prototyping of pharmaceutical devices with unique shape and cavities enabling new types of
25 controlled release. In this study, we combined the versatility of 3D printing capsules with
26 controlled geometry and the drug release properties of nanocellulose hydrogel to accurately
27 modulate its drug release properties. We first manufactured non-active capsules via 3D printing
28 from biocompatible poly(lactic acid) (PLA) that limit the direction of drug diffusion. As a novel
29 method, the capsules were filled with a drug dispersion composed of model compounds and
30 anionic cellulose nanofiber (CNF) hydrogel. The main benefit of this device is that the release of
31 any CNF-compatible drug can be modulated simply by modulating the inner geometry of the PLA
32 capsule. In the study we optimized the size and shape of the capsules inner cavity and performed
33 drug release tests with common beta blockers metoprolol and nadolol as the model compounds.
34 The final results demonstrate that the sustained release profiles provided by the CNF matrix can
35 be accurately modulated via adjusting the geometry of the 3D printed PLA capsule, resulting in
36 adjustable sustained release for the model compounds.

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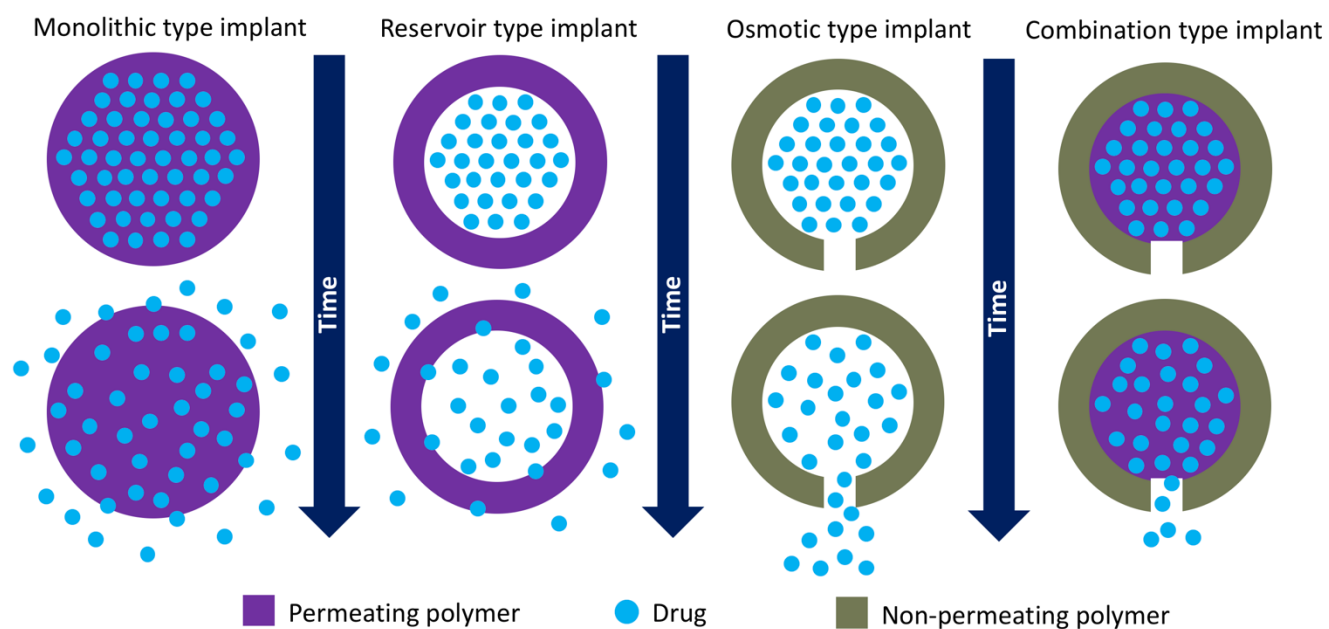
38 **Keywords:** 3D printing, nanocellulose, hydrogel, sustained drug release

39 **1 Introduction**

40
41 The increased access to 3D printers has accelerated the development of new products and
42 applications for drug release device development on the pharmaceutical field. These breakthroughs
43 include 3D printing bilayers of different medicinal compounds into an oral single dosage form,
44 oral tablets with inner channels and porous structures and adjustments on the geometry of printed
45 oral capsules allowing customization of the drug release [1-5]. However, the usage of such
46 geometrical innovations has not yet boomed on the rapidly growing market of implantable
47 polymeric drug release devices [6]. These devices can be classified into four groups: passive
48 polymeric implants, non-biodegradable polymeric implantable systems, biodegradable implants
49 and dynamic or active implants [6]. In addition, the drug release mechanisms from such devices
50 can be classified into four categories: controlled swelling, matrix degeneration, passive diffusion
51 and osmotic pumping [7]. Controlled swelling, passive diffusion and matrix degeneration have a
52 key role in monolithic and reservoir type implants, which have been illustrated in Figure 1 [6]. In
53 osmotic type implants, a non-permeating polymer is used and the osmotic gradient creates a stable
54 inflow of body fluid within the device [8]. This increases the pressure inside the implant and forces
55 drug release through the opening as shown in figure 1 [8]. Such design produces a constant drug
56 release with zero order kinetics [8]. Some monolithic implants feature no solid structures, but
57 instead rely on injectable drug releasing hydrogel formulations. Two recent interesting
58 applications are a nanogel-based *in situ* forming implant for HIV drug release [9] and an
59 application where CNF hydrogel formulations were subcutaneously injected in mice [10]. The
60 injected CNF hydrogels operated as a monolithic type implant, as the hydrogel had a high loading
61 and it did not migrate or dilute despite the free movement of the mice [10]. In our work, we studied
62 the use of a CNF hydrogel formulation as monolithic drug dispersions but inside a combination

63 type implant illustrated in Figure 1. Successful clinical testing has recently been performed with a
64 similar device, comprising of a simple cylindrical capsule filled with a 2-hydroxyethyl
65 methacrylate hydrogel and a therapeutic agent [11].

66



67

68 **Fig 1.** An illustration of monolithic, reservoir, osmotic and combination type implants.

69

70 Cellulose-based nanostructured materials, generally known as a family of nanocelluloses, are
71 interesting biocompatible materials, which have shown benefits in numerous medical applications
72 [12]. Nanocellulose can be produced in three types: as bacterial cellulose (BC), nanocrystals
73 (CNC) and as cellulose nanofibers (CNF) [13]. The cellulose nanofibers can be chemically
74 modified by TEMPO [(2,2,6,6-tetramethylpiperidin-1-yl)oxyl] oxidation to manufacture anionic
75 cellulose nanofibers [14, 15]. Lately, anionic CNF hydrogels have been shown to operate as a
76 semi-universal drug matrix for the release of different types of molecules (small, large, cationic

77 and anionic) [16, 17]. In addition, CNF has been used to manufacture drug-loaded film-like matrix
78 systems with long-lasting sustained release for up to three months [18].

79

80 3D printing typically involves heat or other manufacturing methods that limit its suitability for
81 biomolecules, such as proteins and lipids during the manufacturing process [19]. The 3D printed
82 drug delivery systems might further have an uneven or porous surface affecting the rate of the drug
83 release, especially in extrusion and powder printing [20]. Extrusion, powder and inkjet-based
84 printing require post-operative drying, which is an additional process variable affecting the
85 appearance and the properties of the product [21]. However, it is possible to overcome these
86 limitations by first printing customized capsules from an inert biocompatible material, and then
87 fill the capsules with the sensitive drugs or biomolecules together with a rate-controlling matrix
88 material [22]. It is also possible to subsequently print a drug dosing cap to furtherly enhance and
89 modulate the sustained release profile [19, 22]. For implementation of drug release devices there
90 are typically three main sites: subcutaneous, intra-vaginal and intra-vesical. [6] The usage of
91 subcutaneous drug releasing devices is an invasive process and typically leaves scarring to the
92 patient. However, in some cases this is still a preferred treatment option compared to continuous
93 injections or daily pills or the drug implant has other benefits compared to oral dosing such as in
94 the complex case of opioid addicted patients [23, 24].

95

96 In this study, we combine the new possibilities of printing specifically designed drug capsules and
97 the recent advances in the implantation of CNF hydrogels into three rapid prototyped designs and
98 evaluate their properties *in vitro* as sustained release devices. Traditionally in pharmaceutical
99 hydrogel applications, the release rate of the drug is controlled by the concentration of the loaded

100 drug and other active ingredients [25]. Here, a similar effect is expected by controlling the
101 geometry of the outer capsule which limits drug diffusion from the hydrogel. The idea differs from
102 the previously mentioned drug release devices and hydrogels as the release is fundamentally
103 controlled by the inner hydrogel, which facilitates a sustained release profile while the release can
104 be further modulated via the geometry of the inner cavity of the capsule.

105

106 **2 Materials and Methods**

107 108 **2.1 Materials**

109 2.7% (lot 11724) anionic CNF hydrogel Fibdex™ was purchased from UPM-Kymmene Oyj,
110 Finland. Primavalue PLA filaments were purchased from 3D Prima, Malmö, Sweden. Nadolol,
111 metoprolol tartrate and methylene blue, were purchased from Sigma-Aldrich, USA. Dulbecco's
112 Phosphate Buffered Saline (10×) concentrate without calcium and magnesium was purchased from
113 Gibco, UK. The buffer solution used was made in double distilled ultrapure water.

114 **2.2 Printing of PLA capsules**

115 The capsules were modeled with Onshape (Onshape inc, Cambridge, USA) Computer Aided
116 Design (CAD) software and the CAD model was later processed with Slic3r -software package to
117 produce the actual printing data. Capsules were printed from commercially available PLA
118 filaments using fused deposition modeling (FDM) printing process. The FDM process is
119 essentially an extrusion method where a heated material, in this case PLA, is directed through a
120 nozzle and deposited in x, y and z space to form structures. In this particular printing case, the
121 capsules were printed with 100% infill to ensure the sufficient barrier properties of the printed
122 walls. The used printing layer height was 0.2 mm and the 3D printing was carried out using
123 PRUSA I3 MK2 (Prusa research, s.r.a., Praha, Czech republic) 3D printer at 210 °C and at the
124 printing rate of 40 mm/s. The printer nozzle diameter during the printing was 0.4 mm. No post
125 processing, such as smoothing after the printing, was performed, however each capsule used in the
126 experiments was hand-picked so that no visible unevenness around the release channel could be
127 observed. The printing method was FDM type of extrusion of material through a hot nozzle. The
128 length of each produced capsule was 20 mm, and the width 10 mm. The diameter of the bottleneck

129 was 2.0 mm for small, 3.6 mm for large and 5.0 mm for tube design leading into shared constant
130 flat surface areas of 3.1 mm² for small, 10 mm² for large and 19 mm² for the tube design. The inner
131 total volumes were 360 mm³ for the small, 430 mm³ for the large and 330 mm³ for the tube design.

132

133 **2.3 Leakage tests and injection of hydrogel formulations**

134 After the manufacturing of the capsules, leakage tests were performed using methylene blue as a
135 dye for visual observation of possible leaks. First, a dyed hydrogel was made by mixing anionic
136 CNF hydrogel with methylene blue and injecting it inside the capsules with standard 19G needles.
137 The capsules were weighted before and after injection to ensure that a complete filling had been
138 accomplished and to rule out the presence of air bubbles. Next, the capsules were wet and any
139 leakage of the blue color through the core was observed with a slow-motion camera.

140

141 **2.4. Preparation of the hydrogel formulations**

142 The hydrogel formulations were prepared by mixing anionic CNF hydrogel with the model
143 compounds. The mixing was performed by connecting two 10 ml syringes from their nozzles with
144 a tiny rubber hose and then pushing the contents of each syringe to the other via the hose. The
145 anionic CNF hydrogel (fiber content 2.7%) was weighted directly in the syringes and nadolol or
146 metoprolol was added as a dry powder. Nadolol and metoprolol were loaded in excess amount to
147 form monolithic dispersions. The formulations were then homogenized by pushing the contents
148 back and forth through the hose for 5 min. The final amount of cellulose nanofibers in both
149 formulations was ~1.8 %. The total amount of metoprolol inside the capsules was 152 mg for the
150 large design, 130 mg for the small design and 105 mg for the tube design. For nadolol, the amounts
151 were 131 mg for the large capsule, 110 mg for the small design and 91 mg for the tube design. The

152 used quantity for nadolol represents concentration of 0.89 M. The solubility of nadolol in water is
153 0.03 M, meaning that the water-based hydrogel formulation can be characterized as a monolithic
154 dispersion [26]. The solubility for metoprolol tartrate in water is much higher and hence it's
155 solubility in the 2.7% ANFC hydrogel was tested separately with nephelometry. The results show
156 that the hydrogel does not possess enough free water to dilute all of the added metoprolol, resulting
157 in a monolithic dispersion. The measured solubility data for metoprolol is shown in the
158 supplementary data.

159

160 **2.5 In vitro drug release studies**

161 The 3D printed capsules were filled with formulated hydrogels via injection with standard 19G
162 needles and the visible hydrogel surface was evened with plastic strips. The capsules were
163 weighted before and after injection to ensure that a complete filling had been accomplished and to
164 rule out the presence of air bubbles. The filled capsules were placed in glass bottles with 70 ml of
165 phosphate buffered saline (1 x DPBS) and kept at 37 °C incubator shaker (Innova 4400, by
166 ALLERGAN. Inc.) under constant shaking at 150 RPM for 3 weeks, except the small and large
167 designs for nadolol were measured for 5 weeks. At chosen time points, 1 ml of sample was
168 collected from each sample and replaced with 1 ml of fresh buffer. The amount of removed model
169 compound from each time point was mathematically added to the next time point in order to plot
170 cumulative release. All experiments were performed in triplicate.

171

172 **2.6 Quantification of released model compounds**

173 The concentrations of nadolol and metoprolol from the in vitro release tests were analyzed with
174 Ultra performance liquid chromatography (UPLC) instrument Acquity UPLC (Waters, USA). For

175 nadolol and metoprolol, the used column was HSS-T3 1.8 μm ($2.1 \times 50 \text{ mm}$) (Waters, USA) at 30
176 $^{\circ}\text{C}$. The injection volume for nadolol was 5 μl and for 2 μl for metoprolol and the flow rate was
177 0.5 ml/min for both compounds. The detection of nadolol and metoprolol was performed at the
178 wavelengths of 215 nm and 221 nm, respectively. During the gradient run the mobile phase
179 consisted of a mixture of acetonitrile and 15 mM phosphate buffer at pH 2 in 10:90 ratio for nadolol
180 and 20:80 for metoprolol. The retention times were 0.92 min for nadolol and 0.89 min for
181 metoprolol.

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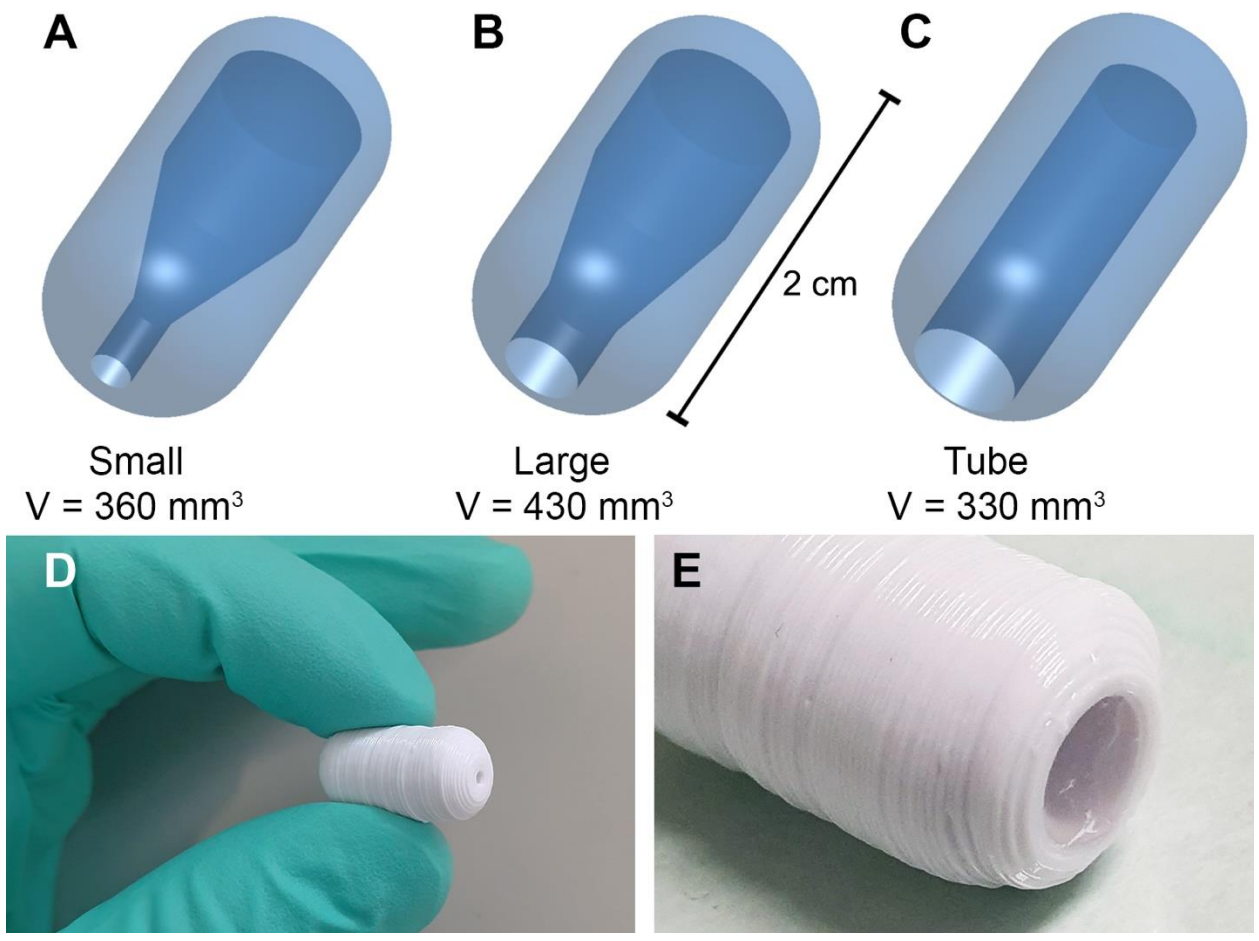
183 **3 Results and Discussion**

184 We designed capsules that had an inner cavity, or a reservoir, for the CNF hydrogel, and a single
185 release channel (to which we will be later referring as bottleneck). Outer measurements for each
186 design were 10 mm x 20 mm and the capsules were 3D printed from poly(lactic acid) (PLA) using
187 fused deposition modelling (FDM) and filled with a suspension made of anionic CNF hydrogel
188 and the model compounds, nadolol and metoprolol, which are both commonly used beta blockers.
189 Nadolol's release profile from anionic CNF hydrogels have been characterized previously, where
190 90% of the loaded nadolol diffused out of the hydrogel during one week [16]. PLA and anionic
191 CNF hydrogel were chosen as materials for the capsules, as both are biocompatible materials (in
192 humans) and biodegradable (in nature) [27-30].

193

194 The designs of the manufactured capsules are visualized in Figure 2 (A-C) and with exact
195 measurements in the supplementary material. The bottlenecks lead into inner cavities, which were
196 filled with the anionic CNF hydrogel containing the studied model compound. We will refer to the
197 different structures as small (Fig 2A), large (Fig 2B), and tube (Fig 2C) designs. The designs

198 presented here allow for a wide range of customization. As the PLA capsule carries and regulates
199 the open surface area of the anionic CNF hydrogel, which operates as a matrix for the model
200 compounds, the drug release can be controlled by modifying the characteristics of either
201 component. However, as the release properties of anionic CNF hydrogels has been established
202 previously [16], we focus on the geometry of the PLA capsule in this study and demonstrate that
203 flexible control over the release rate can be achieved with minimal changes to the inner matrix.
204



205
206 **Fig 2.** Computer aided designs (A-C) and physical printed versions (D-E) of the studied PLA
207 capsules. (A) Small capsule. (B) Large capsule. (C) Tube design. (D) A 3D printed PLA capsule

208 (small). (E) The tube design filled with a hydrogel (picture taken after 3 weeks in DPBS buffer)
209 showing an even surface of the hydrogel.

210

211 **3.1 Leakage tests and optimization of the PLA capsules**

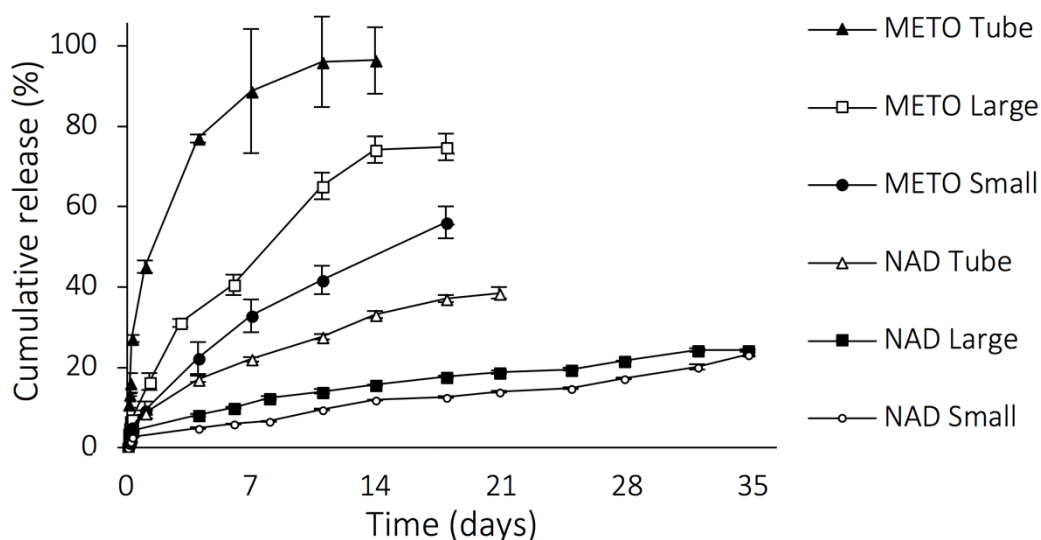
212 The designs were first optimized not to leak via prototyping. Figure 2 shows the final optimized
213 designs. We particularly had to optimize the bottom thickness as our first designs with a thin
214 bottom leaked from the edges of the inner cavities. The combination of 3D printing and separate
215 injection of the hydrogel allowed bypassing any requirements set by the FDM printing such as the
216 required flow properties of the drugs [31]. The model compounds metoprolol and nadolol did not
217 undergo any temperatures above 37 °C during the study, suggesting that the method would be
218 compatible with biomolecules such as lipids and proteins. The final optimized designs are
219 presented in Figure 2 A-C and with exact measurements in the supplementary data.

220

221 **3.2 Sustained in vitro release of the model compounds**

222 During the three-week release study, a sustained release profile was obtained for both model
223 compounds with all three PLA capsule designs. As expected, the small design with the smallest
224 surface area in contact with the external buffer sustained the release the most for both model
225 compounds. The large design had less effect on sustaining the release, and the tube design
226 sustained the release the least, as expected. During the first hours all capsules released model
227 compounds rapidly and the release rate became then more linear. For the tube design with
228 metoprolol, the highest total release of 96.4% was reached on the 14th day. The results are shown
229 in Figure 3, where the top three lines represent the release of the metoprolol filled PLA capsules,
230 and the bottom three the nadolol filled PLA capsules. No swelling nor collapsing of the hydrogels

231 were visually observed during the three-week measurements, as shown in Figure 2 E still showing
232 an even surface of hydrogel, matching the results of Paukkonen et al. [16]. After 14 days, the
233 amount of metoprolol in the buffer appeared to decrease (data not shown). This is due to the
234 hydrolysis of nadolol and metoprolol in aqueous conditions [32]. However, in the case of nadolol,
235 this was not observable due to extremely sustained release, which allows part of the drug to remain
236 in crystallized form inside the hydrogel and hence delay the hydrolysis. As the hydrogels contained
237 a significant amount of undissolved drug maintaining a constant activity source, the hydrogels can
238 be characterized as monolithic dispersions. We performed solubility measurement for metoprolol
239 in anionic CNF hydrogel with nephelometry and the results are shown in the supplementary data.
240



241
242 **Fig 3.** Scaled cumulative release of the model compounds metoprolol (METO) and nadolol (NAD)
243 from the three capsule designs (Tube, Large, and Small) carrying anionic cellulose nanofiber
244 hydrogel drug formulations (mean \pm S.D., n = 3). The experiments were conducted at 37 °C in
245 DPBS buffer.

246

247 **3.2 Mathematical model for the release**

248 For monolithic dispersions with flat release areas, the release rate is expected to follow the Higuchi
249 equation (1) [33].

250

251
$$f = \frac{A}{M_{\text{loaded}}} \sqrt{D c_s (2c_{\text{ini}} - c_s) \times t} = \frac{A}{V} \sqrt{D c_s / c_{\text{ini}}^2 (2c_{\text{ini}} - c_s) \times t} \quad (1)$$

252

253 where f is the fraction of the drug released, M_{loaded} is amount of the drug initially loaded into the
254 capsule, A is the surface area exposed to the release buffer, D is the diffusion coefficient of the
255 drug, c_s is the solubility of the drug, c_{ini} is the concentration of the drug initially inside the inner
256 cavity (0.991 mol/L for nadolol and 1.15 mol/L for metoprolol), V is the total volume of the
257 hydrogel formulation, and t is time. The solubilities in water (at 25 °C), for nadolol and metoprolol
258 are 8330 and 402 mg/L (at 25 °C), respectively.

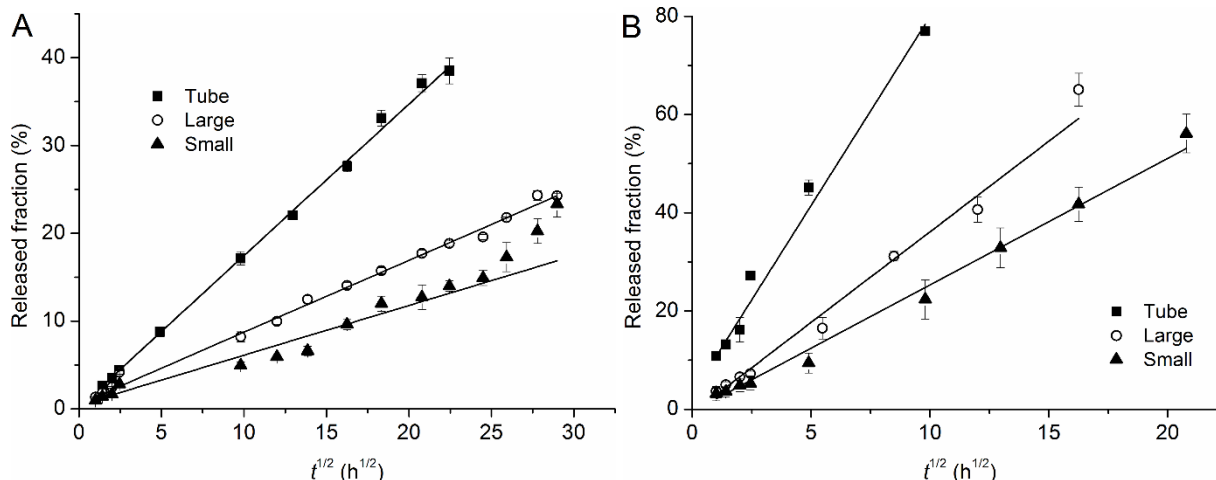
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260 When the released fractions are then plotted against \sqrt{t} , the curves should be linear and the slopes
261 (k) should be dependent on the area exposed to the release buffer divided by the total volume of
262 the hydrogel. These plots are shown in Figure 4. The Eq. (1) can be further simplified to Eq. (2)
263 by combining drug-dependent parameters variables to a constant K ($K = (D c_s / c_{\text{ini}}^2 (2c_{\text{ini}} -$
264 $c_s))^{1/2}$) and drug-independent design parameters to a constant a ($a = A/V$), which is related to
265 the geometry of the capsules.

266

267
$$f = aK\sqrt{t} = k\sqrt{t} \quad (2)$$

268



269
 270 **Figure 4.** Fitted Higuchi equations (lines) to the nadolol (A) and metoprolol (B) release data for
 271 all three capsule designs (Tube, Large, and Small). The data points are the same as in Figure 3 but
 272 only the part of the data with no evident drug degradation was used for the fitting.

273
 274 The slopes from Figure 4, and the corresponding values of the formulation parameters a are shown
 275 in Table 1. For metoprolol, only the parts of the release curves where no clear degradation of the
 276 drug was seen were used to do the theoretical fits. It is worth noting that in an ideal case, the release
 277 rate would be completely controlled by the design parameter a , as K was constant for each drug
 278 release series, however, a number of things such as swelling or more complex geometries can lead
 279 to deviations from the standard Higuchi equation. Swelling could be ruled out based on our visual
 280 observations of the capsules in buffer solutions. However, an analysis of the ratios of the slopes to
 281 the ratios of a will indicate how well the release curves fit the Higuchi equation and helps in
 282 verifying the release mechanism, since in our case we should have $a_1/a_2 = k_1/k_2$ for any two
 283 different designs.

284

285 **Table 1.** Slopes from the release rate fitting in Figure 4 and a comparison of the design variables
 286 (a) indicating ideal release behavior to the slopes (k) of the real release rates. The parameters are
 287 normalized to those obtained for the tube-design.

	Design parameter ratios	k		k/k_{tube}		R^2	
		Metoprolol	Nadolol	Metoprolol	Nadolol	Metoprolol	Nadolol
Tube	a/a_{tube} 1.00	7.69	1.73	1.00	1.00	0.99	1.00
Large	a/a_{tube} 0.37	3.69	0.82	0.48	0.47	0.99	1.00
Small	a/a_{tube} 0.13	2.58	0.57	0.34	0.33	0.99	0.91

288
 289
 290 All the designs could be modeled with the Higuchi equation. Especially the release data from the
 291 tube-design shows excellent near-perfect fits to Eq. (1). The equation is theoretically derived for a
 292 case very much like our current design [34]. And although the release rate from the large bottleneck
 293 is slight faster than expected, the ratio of the design parameters and the ratios of the slopes of the
 294 large and tube designs are close to each other (0.374 vs. 0.480 and 0.474), indicating similar release
 295 mechanism as in the case of the tube design. In the case of the small bottleneck, a larger deviation
 296 from theory is seen and the release rate is much faster than would have been expected (0.132 vs.
 297 0.335). The reason is that the Higuchi equation really only describes release from the neck of the
 298 capsule, i.e. from a system with constant cross-section to volume ratio. As the bottleneck is quite
 299 short, it is not able to control the release rate alone. At some point during the release experiment,
 300 the diffusion from the larger inner cavity to the neck part will start to dominate the release kinetics.
 301 In this area, the cross section to volume ratio of the large and small designs are similar. And that
 302 is why we see that the slopes of these two designs start approaching each other later on in the
 303 release measurements. The jump to higher release fractions for the large design in the early stage

304 of the release is due to the difference in the cross-section to volume ratios inside the neck of the
305 capsule.

306

307 As the release rates for several types of molecules has been measured for the same CNF hydrogel
308 quality [16], we can estimate the release rates of various therapeutical molecules for our implant.

309 In addition, the same CNF quality has been proven to be freeze-dryable without the loss of
310 rheological properties nor any changes in its release profile [16]. For subcutaneous implantation

311 the thickness of the capsules walls could be decreased for increased comfort. Despite PLA being

312 an excellent material for the current *in vitro* tests, the material could be further enhanced to prevent

313 foreign body reaction and bacterial growth. Recent breakthroughs include foreign body resistant

314 materials. [35]. To prevent biofilm formation in *in vivo* environment, antimicrobial material such

315 as nitrofurantoin can be mixed with the PLA [36-37]. In addition, the outer surface of the PLA

316 capsule can be post-operated smoother to reduce surface area for biofilm formation [36].

317

318 **4 Conclusions**

319

320 In summary, the obtained leakage tests and *in vitro* results from model compounds demonstrate

321 the suitability of the CNF hydrogel filled PLA capsules as sustained release platforms without the

322 use of excipients. The diameter of the capsules release channel (“bottleneck”) can be modified

323 effortlessly resulting in several adjustable parameters together with the drug and hydrogel

324 concentrations. From the theoretical analysis of the results it can be concluded that the tube and

325 the large designs can be modeled by the Higuchi equation. As the neck is made thinner, internal

326 diffusion kinetics become more complicated and deviations from theory are seen. Nevertheless, a

327 control over the release rates was maintained and the behavior of all systems can be explained by
328 the varying cross-section to volume ratios. As the capsules are injected with the hydrogel
329 formulations post-printing, the drug substances do not undergo heating, resulting in wide
330 compatibility for therapeutic compounds such as proteins and liposomes. In the future, the actual
331 injection of the hydrogel formulations could be performed automatically by 3D printers and an
332 antimicrobial PLA feedstock could be implemented in the FDM printing.

333

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335

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338

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