

Remote Management of Intelligent Devices: Using TR-069 Protocol in IoT

Martin Stusek*, Pavel Masek*, Dominik Kovac*, Aleksandr Ometov[†], Jiri Hosek*,
Franz Kröpfel[‡], and Sergey Andreev[†]

* Department of Telecommunications, Brno University of Technology, Technicka 12, 616 00 Brno, Czech Republic

[†] Department of Electronics and Communications Engineering, Tampere University of Technology,
Korkeakoulunkatu 10, FIN-33720, Tampere, Finland

[‡] Telekom Austria Group, Lassallestraße 9, A-1020, Wien, Austria
Email: xstuse01@stud.feec.vutbr.cz

Abstract—The aggressive expansion of emerging smart devices connected to the Internet infrastructure is nowadays considered as one of the most challenging components of the Internet of Things (IoT) vision. As a particular segment of IoT, the smart home gateways, also named Machine-Type Communication Gateway (MTCG), become an important direction for industry including telecommunication operators. In most cases, the MTCG acts as a bridge between connected smart objects and the public network (Internet). As a consequence of the IoT domain expansion, the separate configuration of each individual Machine-to-Machine (M2M) device is not feasible anymore due to steadily growing numbers of M2M nodes. To perform this task, several novel technologies have recently been introduced. However, legacy protocols and mechanisms for remote network management still retain a certain application potential for IoT. Accordingly, we have investigated the well-known protocol TR-069 with a particular focus on its usability for MTCG. To this end, the software module (bundle) based on the TR-069 for remote configuration and management of MTCG, as well as for controlling the end smart devices, has been developed. We believe that our implementation (available as open source on GitHub) can serve as an important building block for efficient management of future IoT devices. Therefore, TR-069 protocol constitutes a proven and standardized technology and could be easily deployed by most of the network and service providers today.

Keywords—IoT, M2M, MTCG, OSGi, Remote management, TR-069

I. INTRODUCTION

Today, Internet of Things (IoT) offers efficient means for interconnection of highly heterogeneous entities and networks, thus bringing a variety of communication patterns, including Human-to-Human (H2H), Human-to-Machine (H2M), and Machine-to-Machine (M2M) communications. IoT in general empowers the industry to develop new technology in unprecedentedly large numbers. New findings from the leading telecommunication players, such as Juniper [1] and Cisco [2], reveal that global retail revenue from smart wearable devices (as one of the IoT segments) will triple by 2016, therefore reaching \$53.2 billion by 2019, as compared to the \$4.5 billion

at the end of 2015. The market over the following five years is expected to be substantially driven by the sales of smart devices, named MTCG (Machine-type Communication Devices) – an important component of this group is represented by smart home gateways, also known as MTCG (Machine-type Communication Gateway) [3], [4].

Presently, the MTCGs become more intelligent and provide new functions for smart data collection and visualization on end-user interfaces. In the light of the recent development in the IoT domain, the MTCG is capable of offering much more than conventional local networking features inside residential buildings [5]. Many devices acting as MTCG, that is, based on different communication technologies (IEEE 802.15.1, 6LoWPAN, ZigBee, Wireless M-BUS, etc.), are currently employing MTCG as an aggregation node providing access to the public network (Internet) [6], [7]. Inspired by these developments, we have recently introduced the concept of multi-purpose Smart Home Gateway (SH-GW) within our outgoing project under the title SyMPHOnY [8].

In this work, we aim at enabling remote configuration for devices in the role of SH-GWs by continuing our line of research. Despite the fact that IoT is changing the conventional communication paradigm in many ways [9], some principles are remaining unchanged; therefore, many legacy technologies can be applied to IoT as well. Following this thinking, we have been investigating the protocol TR-069, well-known by network operators to maintain the Customer Premises Equipment (CPE), as a promising candidate for remote configuration of the IoT nodes. To this end, we have developed a SH-GW demonstrator, where the TR-069 is implemented as an extension of OSGi frameworks which are commonly used as the primary middleware layer for smart home gateways shipped by telecommunication operators. In other words, by using the TR-069 on MTCGs, service providers and telecom operators are able to manage and control not only the gateway but also the devices behind (e.g., energy meters, motion sensors, etc.) [10]. This important use case raises many research questions related to the configuration of various devices sets, the remote access capabilities, as well as the choice of cryptographic mechanisms used for data transmission. In this work, we have attempted

The described research was supported by the National Sustainability Program under grant LO1401. For the research, infrastructure of the SIX Center was used. Authors would like to thank to Telekom Austria Group for access to SIP infrastructure and insight into M2M and its real-life usage.

to address most of these issues.

The rest of this paper is organized as follows. Section II is devoted to describing the operation principles of TR-069 protocol. Further, in Section III, a detailed description of our developed software implementation for OSGi frameworks together with a practical scenario accounting for all mentioned issues are offered. Finally, the lessons learned during our system development are summarized in the concluding Section IV.

II. REMOTE NETWORK CONFIGURATION USING TR-069

As mentioned in the introduction, the need for remote configuration and management of network nodes brings new challenges to the IoT domain. Fueled by large numbers of M2M devices, the service providers require to control all of the devices in efficient and centralized way. For this purpose, several application layer protocols for remote management of end-user devices have already been introduced by different working groups and standardization bodies [11]. As a well-known and widely used representative, the TR-069 protocol is often utilized by telecom operators [3]. In this section, the functional architecture blocks of TR-069 are described with the emphasis on future implementation as a bundle in OSGi framework.

A. Protocol Architecture

TR-069 represents a protocol for encrypted self-configuration of CPE from the side of ACS (Auto-Configuration Server). The overall architecture of TR-069 ecosystem is depicted in Fig. 1.

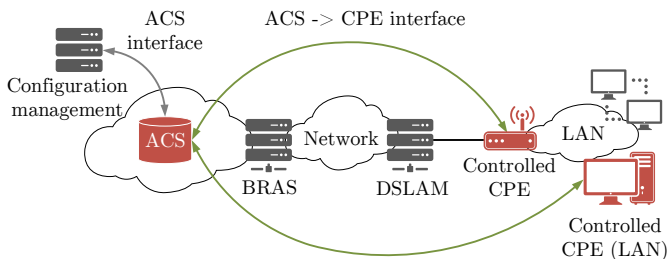


Fig. 1. Architecture of TR-069 ecosystem

The protocol allows the ACS server to provide information on one or more CPEs according to a number of criteria. This mechanism allows for offering a default set of parameters and, furthermore, introduces a possibility of adding new features according to the manufacturer's requirements. Parameters of the connected CPEs are available during the initial connection setup as well as the regular transmission as requests (e.g., providing information about CPE from ACS based on asynchronous, server-initialized¹ connection).

¹In TR-069 terminology, the connection is called server-initialized, even though the communication is started at the CPE side. This is due to the fact that there is a need for appropriate connection setup of the CPE devices residing in local network where Network Address Translation (NAT) is used.

TABLE I. PROTOCOL LAYER SUMMARY [11]

Protocol	Description
CPE/ACS Application	The application uses the CPE WAN management protocol for the CPE and ACS, respectively. It is defined locally but is not a part of the CPE WAN.
RPC Methods	The specific RPC methods are defined by the CPE WAN Management Protocol. This includes the definition of the CPE parameters accessible by the ACS using the parameter-related RPC methods.
SSL/TLS	Standard Internet transport layer security protocols – SSL 3.0 or TLS 1.0 are used.
SOAP	A standard XML-based syntax is used to encode remote procedure calls via the SOAP 1.1 protocol.
HTTP	Standard HTTP 1.1.
TCP/IP	Standard TCP/IP.

One of the most important tasks for remote configuration is to allow secure communication for sensitive data like e.g. encryption keys. TR-069 provides tools to download new software/firmware from the ACS server using digital signatures – to verify the integrity of downloaded files at the side of CPE [12]. Further, TR-069 defines a set of parameters that can be used for connection/service diagnostics [11].

1) *Protocol Components*: The TR-069 protocol architecture includes several unique components comparing to other *dedicated* IoT management protocols (e.g., the RPC (Remote Procedures Calling), see Section II-A3). In addition, TR-069 uses standard protocols, such as SOAP (Simple Object Access Protocol), HTTP (Hypertext Transfer Protocol), SSL/TLS (Secure Sockets Layer/Transport Layer Security), and TCP/IP (Transmission Control Protocol/Internet Protocol) [11]. The overview of complementary protocols acting on different layers is given in Table I.

On top of the supported protocols, TR-069 defines several types of devices, where each device may be described by a data model containing information about the parameters and provided functions for a selected device. Supported TR-069 data models are shown in Table II (highlighted rows stand for the data models implemented in this work).

2) *Security Mechanisms*: The TR-069 protocol is designed to ensure the adequate level of security. Therefore, it includes methods for protection against manipulation during the transactions between the ACS server and the end-device (CPE). Further, the security algorithms using multiple levels of authentication are implemented by means of SSL/TLS for communication between the ACS and the CPE [11].

3) *Architectural Components*: The RPC defines a list of parameters and methods that have to be included at the end-device (CPE) in order to construct and send the TR-069 requests. In the following text, a summary of the most important components is given [11]:

- **Parameters** – RPC method specification defines a generic mechanism allowing the ACS server to read or write parameters for the CPE configuration, and to

TABLE II. DATA MODELS [13]

Data model	Compliant device
TR-064 and TR-133	LAN CPE devices
TR-068 and TR-124	Gateway modems
TR-098	Internet gateway device data model for TR-069
TR-104	Provisioning parameters for VoIP CPE
TR-106	Data model template for TR-069-enabled devices
TR-110	Reference model for VoIP configuration
TR-111	Applying TR-069 to remote management of home networking
TR-122	ATA devices
TR-126	Triple-Play QoE (Quality of Experience) requirements
TR-128 and WT-123	TR-069 testing support
TR-131	ACS Northbound interface requirements
TR-135	Data model for a TR-069 enabled STB
TR-140	TR-069 data model for storage service-enabled devices
TR-142	Framework for TR-069-enabled Passive Optical Network (PON) devices
TR-143	Enabling network throughput performance tests and statistical monitoring
TR-157	Component objects for CWMP (UPnP/DLNA device support)
TR-181	Device data model for TR-069
TR-196	Femto access point service data model

monitor CPE status and statistics. Each parameter has a name-value structure. The name identifies a particular parameter and has a hierarchical structure similar to the conventional directory listing ones (each level is separated by "." (dot)). The value of a parameter may be one of several defined data types.

- **File Transfers** – In TR-069, the mechanism enabling file download or (optionally) upload is implemented in order to perform tasks, e.g. CPE firmware upgrade or download of vendor-specific configuration files. When the session between ACS and CPE is initiated, the data transmission is performed utilizing HTTP or (preferably) HTTPS. Other protocols, including FTP and TFTP, are supported as well, but used less frequently.
- **CPE Connection Notifications** – TR-069 defines a mechanism allowing CPE to notify the corresponding ACS about various conditions – to ensure that the frequency of CPE-ACS communication remains optimal.
- **Asynchronous ACS-Initiated Notifications** – An important aspect of auto-configuration service is the ability of the ACS server to notify the remote CPE about configuration changes asynchronously. It allows the auto-configuration mechanism to be utilized for services requiring real-time management of the CPE.

III. OUR IMPLEMENTED SOLUTION

To increase the impact of our recent research [8], [14] and as well as to extend it, we have developed the *TR-069 bundle* as

a universal software package for any OSGi framework [14]. In case of this particular work, we have tested this bundle together with the OSGi Knopflerfish framework [15]. The motivation to focus on the OSGi platforms follows from the fact that today's MTCGs are mostly built with pre-configured operating systems, wherever OSGi framework is used [8]. Further in this section, the key parts of the created TR-069 bundle are described.

A. Application Logic

Remote configuration of the network node consists of two building blocks: (i) ACS server and (ii) TR-069 client; the application logic is depicted in Fig. 2. Our solution is based on an open source implementation of ACS called GenieACS [16], which combines modern technologies including Mongo DB, Node.js, and Redis server. Client side follows the OSGi standards [17], [18] and uses the Knopflerfish framework as a runtime environment. Set of rules for TR-069 client is taken from modus TR-069, developed in Orange Labs [19]. Obtained data is processed and visualized by the following packages: (i) Item, (ii) Core, (iii) TR069 Parser, and (iv) WebConsole.

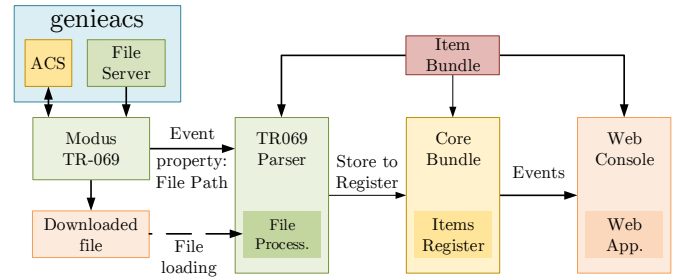


Fig. 2. Location of entities in case of using TR-069 protocol.

B. Communication Logic

The application data structure is defined in *Item bundle*, see Fig. 2. This package is utilized only as a library without its own activator defining standard format of messages exchanged between the bundles. For this reason, it is necessary to import this package in each bundle communicating with Core one. As a provider of Items service (register all available items, e.g., smart meters), *Core bundle* is used. Each item is addressed by the serial number as the unique device identifier. The selected data structure, the ConcurrentHashMap, guarantees thread-safe access. On the top of it, Core bundle must be started as first since it acts as an activator and control process for all others.

The main advantage of using the described model is the possibility to add new bundles (packages) to OSGi framework without the need to modify the source code in Core bundle. The only condition to be fulfilled for a new bundle is an import of Items service. This logic provides a possibility for the one way communication between all bundles and Core bundle. To resolve this issue, we have used OSGi Event Admin service allowing the backward communication between Core bundle and other packages. In this case, Core bundle is used as a

source of OSGi events that other packages are listening to, see Fig. 3. Individual events are distinguished with a dedicated array called *event topic*. Payload of an event is prepended by word *property* which contains one or more Item objects.

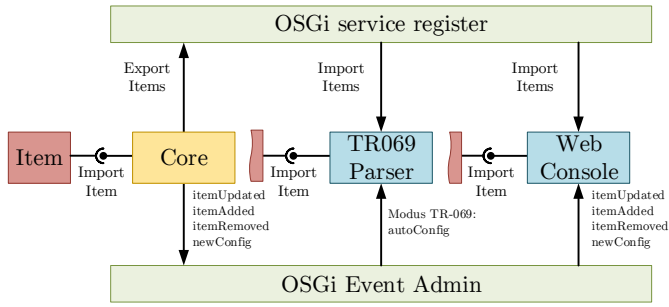


Fig. 3. Communication between Core bundle and other bundles within the OSGi Knopflerfish framework.

C. TR-069 Parser

Device configuration is carried out by the received configuration file processing – file structures may differ based on the agreed terms between ACS and CPE(s). Therefore, it is not necessary to know the file structure during its download phase, but on the other hand, it is crucial to be aware of such structure when processing on MTCG. This method is a default option for remote configuration of the network devices for telecommunication operators – we have performed the test of our solution in cooperation with Telekom Austria Group (TAG) company.

The implemented TR-069 communication procedure is shown in Fig. 4. TR-069 protocol is used for the new configuration file notification – represented in TR-069 terminology by TR-069 Configuration files and defined by number '3' at FileType array. Developed TR-069 client allows to use HTTP or FTP as transport protocol. Further, downloaded file is processed by *TR-069 Parser bundle*. Note that in this phase of the development, it supports neither secure connection nor authentication to the ACS required by some telecom providers.

D. Console Output

In some cases, it is not possible to display the list of running events in the system console (e.g., when OSGi framework runs as a daemon in the background). Therefore, we have created a specialized *WebConsole bundle* working as a web service and displaying system events in a web console. Communication between the bundle and the web service is realized by WebSocket protocol which is an elementary part of HTML 5. WebConsole bundle operates as OSGi EventHandler listening to all OSGi events utilized within the SyMPHOnY project, see Fig. 2. Each event is processed and the payload part is sent to the web services, and, finally, displayed, see Fig. 5.

IV. CONCLUDING THOUGHTS

Within the proposed logic for the remote configuration of IoT devices acting as MTCG (and MTCD devices connected to MTCG), our implementation of TR-069 protocol has

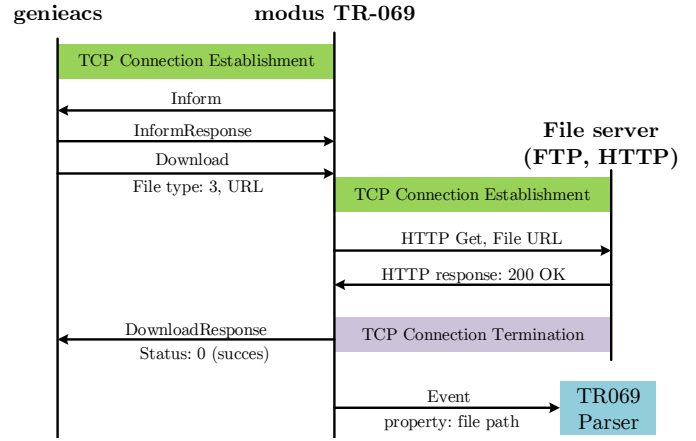


Fig. 4. Obtaining configuration file using implemented TR-069 protocol.

```

TR-069
WebConsole
192.168.1.108:8080/console
Open
Clear

Connected to: 192.168.1.108:8080/console
17:02:20:New Configuration
serial:26
vendor:PIKKETRON
type:electricityMeter
T1:0kWh
T2:0kWh

serial:987
vendor:WEBTECH
type:temperatureHumidity
hum:0%
temp:0°C

serial:1234
vendor:BONEGA
type:waterMeter
warm:01
cold:01

17:02:25:Item Updated
serial:987
vendor:WEBTECH
type:temperatureHumidity
hum:43%
temp:25.5°C

17:02:25:Item Updated
serial:1234
vendor:BONEGA
type:waterMeter
warm:135241
cold:458771

17:02:25:Item Updated
serial:26
vendor:PIKKETRON
type:electricityMeter
T1:53kWh
T2:41kWh

Disconnected from: 192.168.1.108:8080/console

```

Fig. 5. Console output of captured communication between ACS and CPE.

demonstrated the functionality of communication between the ACS server and the end-device (CPE) in a real network. We have successfully tested the developed solution in cooperation with Telekom Austria Group. As we aimed our solution to be universal for various types of MTCG devices, we have constructed TR-069 bundle to be compliant with the well-known OSGi frameworks.

As mentioned in Section III-C, the developed TR-069 implementation in its current version does not support secure connection and authentication to the ACS. Therefore, as a next step, we are planning to implement this functionality in our

TR-069 bundle.

Our main and most essential learning while working with the TR-069 protocol is such that the structure of the configuration file is not static. Following the specifics of the concrete mobile network (ACS server configuration), the configuration files may differ. In our trial, we have utilized the JSON (JavaScript Object Notation) structure [20] implemented in live A1 cellular network.

[20] A. Greenspan, L. Cameron, *Monetize the Internet of Things: JSON turns a flood of data into business actions and results*. 2015 [online]. Available from: <http://bit.ly/1He09Vu>

REFERENCES

- [1] M. S. Whitcup and K. LaMattina, *Juniper What is Inhibiting Growth in the Medical Device Wearable Market?* Available from: <http://bit.ly/1Dffbf>, September 2014.
- [2] Cisco, *Cisco Visual Networking Index: Global Mobile Data Traffic Forecast Update, 2014-2019*, February 2015.
- [3] P. Masek, J. Hosek, D. Kovac, F. Kropfl, *M2M Gateway: The Centrepiece of Future Home*. in Proc. of 6th International Congress on Ultra Modern Telecommunications and Control Systems and Workshops (ICUMT). St. Petersburg, Russia. pp. 286–293. 2014.
- [4] M. Gerasimenko, V. Petrov, O. Galinina, S. Andreev, Y. Koucheryavy, *Energy and delay analysis of LTE-advanced RACH performance under MTC overload*. Globecom Workshops (GC Wkshps), IEEE. pp. 1632–1637. 2012.
- [5] P. Masek, K. Zeman, Z. Kuder, J. Hosek, S. Andreev, R. Fujdiak, F. Kropfl, *Wireless M-BUS: An Attractive M2M Technology for 5G-Grade Home Automation*. in Proc. of EAI International Conference on CYber physiCaL systems, IoT and sensors Networks (CYCLONE). pp. 1–12. ISBN: 978-1-4673-9282-2. 2015.
- [6] N. Himayat, S.-P. Yeh, A. Y Panah, S. Talwar, M. Gerasimenko, S. Andreev, Y. Koucheryavy, *Multi-radio heterogeneous networks: Architectures and performance*. in Proc. of International Conference on Computing, Networking and Communications (ICNC). pp. 252–258. 2014.
- [7] O. Galinina, S. Andreev, M. Gerasimenko, Y. Koucheryavy, N. Himayat, S.-P. Yeh, S. Talwar, *Capturing spatial randomness of heterogeneous cellular/WLAN deployments with dynamic traffic*. Journal on Selected Areas in Communications. vol. 32. issue 6. pp. 1083–1099. 2014.
- [8] GitHub: SyMPHOnY (Smart Multi-Purpose Home Gateway). Available from: <https://github.com/SyMPHOnY-/Smart-Home-Gateway/wiki/>
- [9] A. Ometov, S. Andreev, A. Turlikov, Y. Koucheryavy, *Characterizing the effect of packet losses in current WLAN Deployments*. in Proc. of 13th International Conference on ITS Telecommunications (ITST). pp. 331–336. IEEE. 2013.
- [10] S. Andreev, P. Gonchukov, N. Himayat, Y. Koucheryavy, A. Turlikov, *Energy efficient communications for future broadband cellular networks*. Computer Communications, Volume 35, Issue 14, pp. 1662–1671. 2012.
- [11] J. Bernstein, T. Spets, *CPE WAN Management Protocol*. DSL Forum, Tech. Rep. TR-069. 2004.
- [12] D. Dasgupta, S. Saha, A. Negatu, *Techniques for validation and controlled execution of processes, codes and data: A survey*, in Proc. of International Conference on Security and Cryptography (SECRYPT). pp. 1–9. 2010.
- [13] Incognito, *Broadband Forum TR-069 standards support*. [online]. Available from: <http://bit.ly/1OdCsJM>
- [14] M. Stusek, J. Hosek, D. Kovac, P. Masek, P. Cika, J. Masek, F. Kropfl, *Performance Analysis of the OSGi-based IoT Frameworks on Restricted Devices as Enablers for Connected-Home*. in Proc. of 7th International Congress on Ultra Modern Telecommunications and Control Systems and Workshops (ICUMT). Brno, Czech Republic. pp. 211–216. ISBN: 978-1-4673-9282-2. 2015.
- [15] Knopflerfish, *Open Source OSGi SDK*. [Online]. Available from: <http://www.knopflerfish.org/>
- [16] GenieACS, *Smart, fast TR-069 ACS*. [online]. Available from: <https://genieacs.com/>
- [17] OSGi, *OSGi Alliance*. [Online]. Available from: <http://www.osgi.org/>
- [18] R. Hall, K. Pauls, S. McCulloch, D. Savage, *OSGi in action: creating modular applications in Java*. Greenwich [Conn.]: Manning. 548 p. ISBN: 19-339-8891-6. 2012.
- [19] France Telecom, *Modus TR069*. [online]. Available from: <http://modus-tr-069.sourceforge.net/>