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## PD-L1<sup>+</sup> tumor-associated macrophages and PD-1<sup>+</sup> tumor-infiltrating lymphocytes predict survival in primary testicular lymphoma

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### ABSTRACT

Primary testicular lymphoma is a rare and aggressive lymphoid malignancy, most often representing diffuse large B-cell lymphoma histologically. Tumor-associated macrophages and tumor-infiltrating lymphocytes have been associated with survival in diffuse large B-cell lymphoma, but their prognostic impact in primary testicular lymphoma is unknown. Here, we aimed to identify macrophages, their immunophenotypes and association with lymphocytes, and translate the findings into survival of patients with primary testicular lymphoma. We collected clinical data and tumor tissue from 74 primary testicular lymphoma patients, and used multiplex immunohistochemistry and digital image analysis to examine macrophage markers (CD68, CD163, and c-Maf), T-cell markers (CD3, CD4, and CD8), B-cell marker (CD20), and three checkpoint molecules (PD-L1, PD-L2, and PD-1). We demonstrate that a large proportion of macrophages (median 41%, range 0.08-99%) and lymphoma cells (median 34%, range 0.1-100%) express PD-L1. The quantity of PD-L1<sup>+</sup>CD68<sup>+</sup> macrophages correlates positively with the amount of PD-1<sup>+</sup> lymphocytes, and a high proportion of either PD-L1<sup>+</sup>CD68<sup>+</sup> macrophages or PD-1<sup>+</sup>CD4<sup>+</sup> and PD-1<sup>+</sup>CD8<sup>+</sup> T cells translates into favorable survival. In contrast, the number of PD-L1<sup>+</sup> lymphoma cells or PD-L1<sup>-</sup> macrophages do not associate with outcome. In multivariate analyses with IPI, PD-L1<sup>+</sup>CD68<sup>+</sup> macrophage and PD-1<sup>+</sup> lymphocyte contents remain as independent prognostic factors for survival. In conclusion, high PD-L1<sup>+</sup>CD68<sup>+</sup> macrophage and PD-1<sup>+</sup> lymphocyte contents predict favorable survival in patients with primary testicular lymphoma. The findings implicate that the tumor microenvironment and PD-1 – PD-L1 pathway have a significant role in regulating treatment outcome. They also bring new insights to the targeted therapy of primary testicular lymphoma.

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### Introduction

Primary testicular lymphoma (PTL) is a rare and aggressive lymphoid malignancy affecting mainly elderly men. The biology of PTL is beginning to emerge,<sup>1-7</sup> and the outcome has improved with the addition of anthracycline-based chemotherapy, central nervous system (CNS) targeted therapy and irradiation of the contralateral testis.<sup>8-10</sup> The majority of PTLs represent diffuse large B-cell lymphoma (DLBCL) displaying more often non-germinal center B-cell (GCB) than GCB-like signatures.<sup>11</sup> Somatic mutations in NF-κ-B pathway genes, such as *MYD88* and *CD79B*, as well as rearrangements of programmed cell death ligand (PD-L) -1 and -2 genes, have been shown to be enriched in PTL.<sup>2,4</sup> In addition, two stromal signatures associated

with outcome have been described in primary, mainly nodal DLBCL patients treated with immunochemotherapy, forming the backbone for our study.<sup>12</sup> We have recently demonstrated that tumor-associated macrophages (TAMs) have a favorable prognostic impact on survival in DLBCL patients after immunochemotherapy,<sup>13</sup> whereas other groups have investigated the role of programmed cell death-1 (PD-1) pathway in DLBCL.<sup>14–18</sup> While PD-1 protein is expressed predominantly by activated tumor-infiltrating lymphocytes (TILs), its ligands (PD-L1 and PD-L2) have been shown to be expressed both by the tumor cells and the tumor microenvironment.<sup>15,19–21</sup> An unexpected feature has been that PD-L1 expression by the tumor-infiltrating myeloid and other immune cells can be more prevalent than PD-L1 expression by the tumor cells.<sup>15,19,20</sup> Recently, it was also shown that the expression of PD-L1, not only by the tumor cells but also by the host cells, plays a critical role in mediating the immunosuppressive function of the PD-1 pathway.<sup>21</sup>

In DLBCL, expression of *PD-L1* by lymphoma cells has been associated with poor outcome.<sup>14</sup> Interestingly, 9p24.1/*PD-L1*/*PD-L2* copy number alterations and additional translocations of these loci are frequent in PTLs (>50%), leading to increased expression of the PD-Ls,<sup>4</sup> and possibly also to immune escape. Whether the expression of PD-1 and PD-Ls predict survival in PTL, and in which compartments, is unknown.

With the aim of resolving the relative expression of checkpoint molecules by the tumor and host immune cells in patients with PTL, we examined B cells, TAMs, TILs, and checkpoint molecules by using multiplex immunohistochemistry (mIHC),<sup>22</sup> allowing simultaneous detection of CD68<sup>+</sup> TAMs, CD163<sup>+</sup> or c-Maf<sup>+</sup> M2-polarized TAMs, CD4<sup>+</sup> and CD8<sup>+</sup> T cells, CD20<sup>+</sup> B cells, and the checkpoint molecules PD-L1, PD-L2 and PD-1. The findings were correlated with clinical parameters and survival.

## Methods

### Patients

We identified 74 PTL patients with DLBCL histology diagnosed between the years 1987 and 2013 from the pathology databases of the University Hospitals in Southern Finland. Histological diagnosis was established from surgical pretreatment tumor tissue according to current criteria of the World Health Organization (WHO) classification.<sup>23</sup> The majority of the patients were treated with anthracycline-based chemotherapy. About half of the patients received rituximab as a part of their treatment. Contralateral testis was treated with surgical excision or irradiation for a minority of the patients. Patients were divided into three equal tertiles, based on the content of different immune cell subtypes (high, intermediate, low). The patient characteristics are described in more detail in Table 1. The protocol and sampling were approved by the Institutional Review Boards, Ethics Committees and the Finnish National Supervisory Authority for Welfare and Health.

### Multiplex immunohistochemistry (mIHC)

Formalin-fixed, paraffin-embedded (FFPE) primary tumor tissues were collected from the local biobanks and reviewed to match the latest WHO classification.<sup>23</sup> Selection of the cores on the tissue microarray (TMA) was based on the evaluation of a hematopathologist. TMA was constructed and the sections (3.5 µm) stained with 4-plex primary antibody panels (PD-L1, PD-L2,

CD68, c-MAF; CD3, CD4, CD8, PD-1; CD20, CD163, PD1, PD-L1; *Online Supplementary Table S1*), followed by fluorescently labelled secondary antibodies and DAPI counterstain (nuclear stain). A more detailed description of the stainings is provided in the *Online Supplementary Methods*. Fluorescent images were acquired with AxioImager.Z2 (Zeiss, Germany). Machine-learning platform CellProfiler<sup>24</sup> 2.1.2 was used for cell segmentation, intensity measurements (upper quartile intensity) and immune cell classification. Different cell types were quantified as proportion to all cells (e.g., PD-L1<sup>+</sup>CD68<sup>+</sup> implying the number of PD-L1<sup>+</sup>CD68<sup>+</sup> TAMs from all cells in a TMA spot) or as a proportion to a specific cell subtype (e.g., PD-L1<sup>+</sup>CD68<sup>+</sup>/CD68<sup>+</sup> implying the number of PD-L1<sup>+</sup>CD68<sup>+</sup> cells from all CD68<sup>+</sup> TAMs). Spots with less than 5000 cells were excluded from the analysis, and data from duplicate spots from the same patient were merged.

### Gene expression analysis

*CD68*, *CD163*, *MAF*, *MS4A1* (CD20), *CD274* (PD-L1), *PDCD1LG2* (PD-L2), and *PDCD1* (PD-1) mRNA levels were measured from 60 PTL samples using digital gene expression analysis with NanoString nCounter (Nanostring Technologies, Seattle, WA, USA).<sup>25</sup>

### Survival definitions and statistical analyses

Overall survival (OS) was defined as time between diagnosis and death from any cause, disease specific survival (DSS) as time between diagnosis and lymphoma related death, and progression free survival (PFS) as time between diagnosis and lymphoma progression or death from any cause.

Statistical analyses were performed with IBM SPSS v.24.0 (IBM, Armonk, NY, USA). Differences in the frequency of prognostic factors between three patient groups were analyzed by Kruskal-Wallis test. Correlations between gene expression values and cell counts as well as between different immune cell subpopulations were tested with Spearman's rank correlation.

Survival rates were estimated using the Kaplan–Meier method. Univariate and multivariate analyses were performed according to the Cox proportional hazards regression model. The potential bias due to duration of follow up was assessed by Schoenfeld residual. Probability values below 0.05 were considered statistically significant. All comparisons and all comparative tests were two-tailed.

## Results

### Patient characteristics

Patient and treatment characteristics of the study cohort are shown in Table 1. The majority of the patients represented non-GCB phenotype, low stage, and had low/intermediate International Prognostic Index (IPI). Altogether, 34 deaths, 24 relapses and 24 lymphoma-associated deaths occurred during the median follow up of 67 months (range from 6.7 to 120 months). Five-year OS, DSS and PFS rates were 56%, 68%, and 53%, respectively.

### Association of CD68, PD-L1 and PD-L2 encoding gene expression with survival

First, we determined the gene expression of the macrophage markers (CD68, CD163 and MAF), checkpoint molecules *CD274* (PD-L1), *PDCD1LG2* (PD-L2) and *PDCD1* (PD-1), and the B-cell marker *MS4A1* (CD20). *CD68* expression correlated positively with *CD274* ( $r_s=0.654$ ,  $P<0.001$ ), *PDCD1LG2* ( $r_s=0.636$ ,  $P<0.001$ ), *CD163* ( $r_s=0.602$ ,  $P<0.001$ ), and *MAF* ( $r_s=0.425$ ,  $P=0.001$ ) levels, and to a lesser extent with *PDCD1* ( $r_s=0.300$ ,  $P=0.020$ ), whereas no correlation between *CD68* and

**Table 1.** Patient and treatment characteristics.

	All n (%)	PD-L1 <sup>+</sup> CD68 <sup>+</sup> low	PD-L1 <sup>+</sup> CD68 <sup>+</sup> intermed.	PD-L1 <sup>+</sup> CD68 <sup>+</sup> high	P
Number of patients	74	25 (34)	24 (32)	25 (34)	
Median age (range)	70 (36-92)	68 (38-86)	73 (37-92)	66 (46-90)	
Age					
<60, years	17 (23)	6 (24)	4 (17)	7 (28)	0.638
≥ 60, years	57 (77)	19 (76)	20 (83)	18 (72)	
Molecular subgroup					
GCB <sup>a</sup>	17 (23)	8 (32)	4 (17)	5 (20)	0.426
Non-GCB	56 (76)	17 (68)	20 (83)	19 (76)	
NA	1 (1)			1 (4)	
Stage					
I-II	47 (64)	10 (40)	16 (67)	21 (84)	0.002
III-IV	24 (32)	15 (60)	6 (25)	3 (12)	
NA	3 (4)		2 (8)	1 (4)	
IPI score					
0-2	50 (68)	13 (52)	17 (71)	20 (80)	0.065
3-5	20 (27)	11 (44)	5 (21)	4 (16)	
NA	4 (5)	1 (4)	2 (8)	1 (4)	
CNS prophylaxis	36 (49)	9 (36)	11 (46)	16 (64)	0.137
IV prophylaxis	34 (46)	8 (32)	10 (42)	16 (64)	0.057
IT prophylaxis	7 (9)	2 (8)	3 (13)	2 (8)	0.856
Contralateral testis treated	23 (31)	6 (24)	7 (29)	10 (40)	0.464
Irradiation	12 (16)	2 (8)	3 (13)	7 (28)	0.136
Surgical excision	11 (15)	4 (16)	4 (17)	3 (12)	0.884
Anthracycline-based chemotherapy	60 (81)	18 (72)	21 (88)	21 (84)	0.305
Treated with rituximab	35 (47)	9 (36)	11 (46)	15 (60)	0.237
Relapse of contralateral testis	1 (1)	0 (0)	1 (4)	0 (0)	0.377
CNS progression	9 (12)	4 (16)	4 (17)	1 (4)	0.312

<sup>a</sup>GCB: germinal center B-cell like; NA: not applicable; IPI: International prognostic Index; CNS: central nervous system; IV: intravenous; IT: intrathecal; P: P-value determined by Kruskal-Wallis test.

*MS4A1* expression was found. Furthermore, the expression of *CD68*, *CD274* and *PDCD1LG2* genes analyzed as continuous variables, but not *PDCD1*, *CD163* or *MAF*, translated into favorable survival (Table 2).

### High PD-L1<sup>+</sup> TAM content predicts favorable survival

To explore the expression of the checkpoint molecules in the tumor cells and in the microenvironment in more detail, we analyzed the cell immunophenotypes with mIHC from a PTL TMA using four primary antibodies and DAPI (nuclear stain) simultaneously (Figure 1A-C; see also Table 1 for the TMA cohort used and *Online Supplementary Table S1* for the antibody panels). The marker CD68 was used to identify all TAMs. Subpopulations of TAMs were defined by the presence and absence of CD163, c-MAF, PD-L1 and PD-L2 (Figure 1A-B, D). In addition, CD20 marker was used to identify lymphoma cells (Figure 1B). For detecting TILs, a panel with CD3, CD4, CD8, and PD1 antibodies was used (Figure 1C).

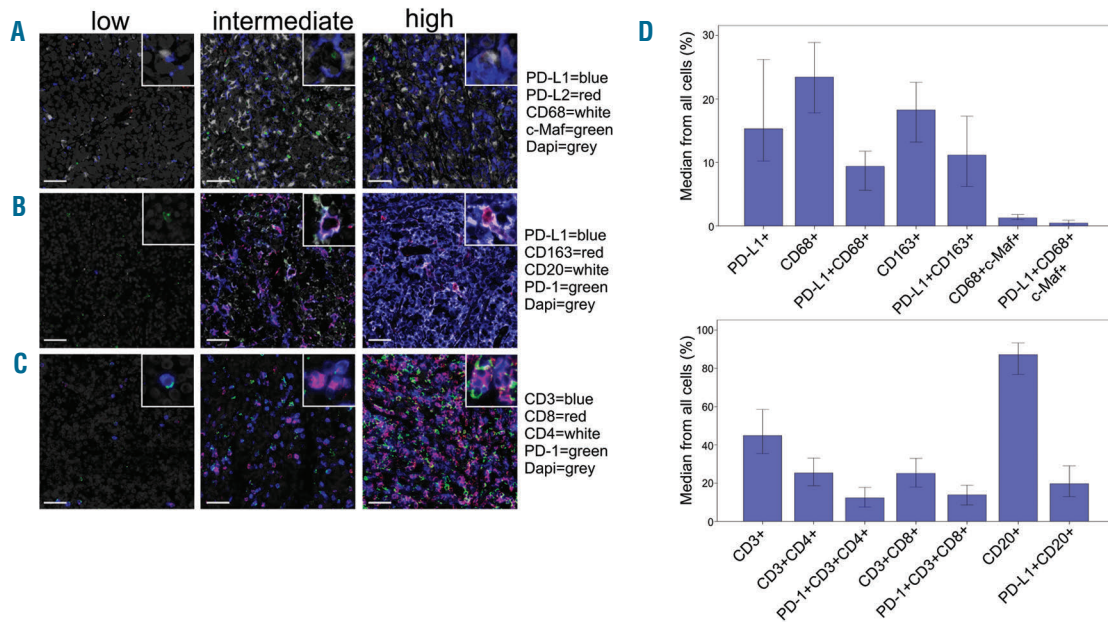
As proof of concept, we found high agreement with the gene expression and the mIHC data when analyzing the quantities of CD68<sup>+</sup> macrophages ( $r_s=0.637$ ,  $P<0.001$ ),

**Table 2.** Cox regression analysis at the univariate level showing association of gene expression levels with overall survival.

Gene symbol	HR <sup>a</sup>	95% CI	P
<i>CD68</i>	0.505	0.290-0.881	<b>0.016</b>
<i>CD274</i>	0.737	0.592-0.919	<b>0.007</b>
<i>PDCD1LG2</i>	0.688	0.505-0.936	<b>0.017</b>
<i>PDCD1</i>	0.846	0.659-1.088	0.192
<i>CD163</i>	0.914	0.636-1.313	0.627
<i>MAF</i>	0.899	0.551-1.466	0.668

<sup>a</sup>HR: hazard ratio; CI: confidence interval. Boldface font indicates statistical significance ( $P<0.05$ ).

lymphoma cells ( $r_s=0.704$ ,  $P<0.001$ ) and PD-L1<sup>+</sup> cells ( $r_s=0.710$ ,  $P<0.001$ ) (*Online Supplementary Figure S1*). The proportions of the different cell types in the tumor tissue are shown in Figure 1D. The most prominent non-malignant cell type was CD3<sup>+</sup> T-lymphocyte (median 45%, range 5-97%). TAM and PD-L1<sup>+</sup> cell contents showed a great variation between the samples (CD68<sup>+</sup> TAMs, median 23%, range 3-81%; PD-L1<sup>+</sup> cells, median 15%, range 0.01-100%), and a large proportion of lymphoma cells (median 34%, range 0.1-100%) and TAMs (median 41%,



**Figure 1. Characterization of cell immunophenotypes with mIHC.** (A-C) Representative images from 4-plex mIHC stainings. Panels (low, intermediate, and high) show representative images from the corresponding tertiles, based on the content of different immune cell subtypes. The insets highlight cells with higher magnification. PD-L1=blue, PD-L2=red, CD68=white, c-Maf=green (A); PD-L1=blue, CD163=red, CD20=white, PD1=green (B); CD3=blue, CD8=red, CD4=white, PD1=green (C). Scale bar 40  $\mu$ m. (D) Proportions of distinct immune cell subpopulations from all cells. PD-L1<sup>+</sup>CD68<sup>+</sup> indicating the content of PD-L1<sup>+</sup> TAMs, PD-L1<sup>+</sup>CD163<sup>+</sup> and PD-L1<sup>+</sup>CD68<sup>+</sup>c-Maf<sup>+</sup> the content of PD-L1<sup>+</sup> M2-polarized TAMs, PD-1<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> and PD-1<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> the content of PD-1<sup>+</sup> TILs, and PD-L1<sup>+</sup>CD20<sup>+</sup> the content of PD-L1<sup>+</sup> lymphoma cells.

range 0.1-99%) expressed PD-L1. Due to a low proportion of PD-L2<sup>+</sup> cells (0.06%) (*data not shown*), PD-L2 was excluded from further analyses.

We further observed that a high number of PD-L1<sup>+</sup> cells, high proportion of PD-L1<sup>+</sup>CD68<sup>+</sup> macrophages from all cells, as well as a high proportion of PD-L1<sup>+</sup>CD68<sup>+</sup> macrophages from all CD68<sup>+</sup> macrophages (PD-L1<sup>+</sup>CD68<sup>+</sup>/CD68<sup>+</sup>), associated with favorable OS when analyzed as continuous variables (Table 3). In order to use an objective cutoff, we stratified the patients into three equal subgroups based on tertiles of the PD-L1<sup>+</sup>CD68<sup>+</sup> macrophage counts (high, intermediate, low). The 5-year OS and DSS rates were clearly worse for the patients with a low number of PD-L1<sup>+</sup>CD68<sup>+</sup> macrophages ( $\leq 4.75\%$  corresponding to the lowest tertile of the patients) in comparison to the patients with intermediate or high numbers ( $>4.75\%$ , 5-y OS, 39% vs. 66%,  $P=0.014$ ; 5-y DSS, 53% vs. 76%,  $P=0.056$ ; Figure 2A). When PD-L1<sup>+</sup>CD68<sup>+</sup> macrophage count was included in a multivariate analysis with IPI, both factors had independent prognostic value for OS (Table 4). In contrast, neither PD-L1<sup>+</sup> lymphoma cells, PD-L1<sup>+</sup>CD68<sup>-</sup> cells nor any other TAM phenotypes were significantly associated with survival (Table 3). When comparing the three PD-L1<sup>+</sup>CD68<sup>+</sup> TAM subgroups (high, intermediate and low), no significant differences in age, molecular subtype, IPI score or treatments were observed (Table 1). However, high PD-L1<sup>+</sup>CD68<sup>+</sup> macrophage count was associated with limited disease stage. When the patients treated in the pre-rituximab era were removed from the analyses, a trend towards worse survival was maintained for the patients with low number of PD-L1<sup>+</sup>CD68<sup>+</sup> macrophages ( $\leq 5.97\%$ , the lowest tertile; OS,  $P=0.093$ , *Online Supplementary Figure S2A*). These

**Table 3. Cox regression analysis at the univariate level showing association of cell immunophenotypes with overall survival.**

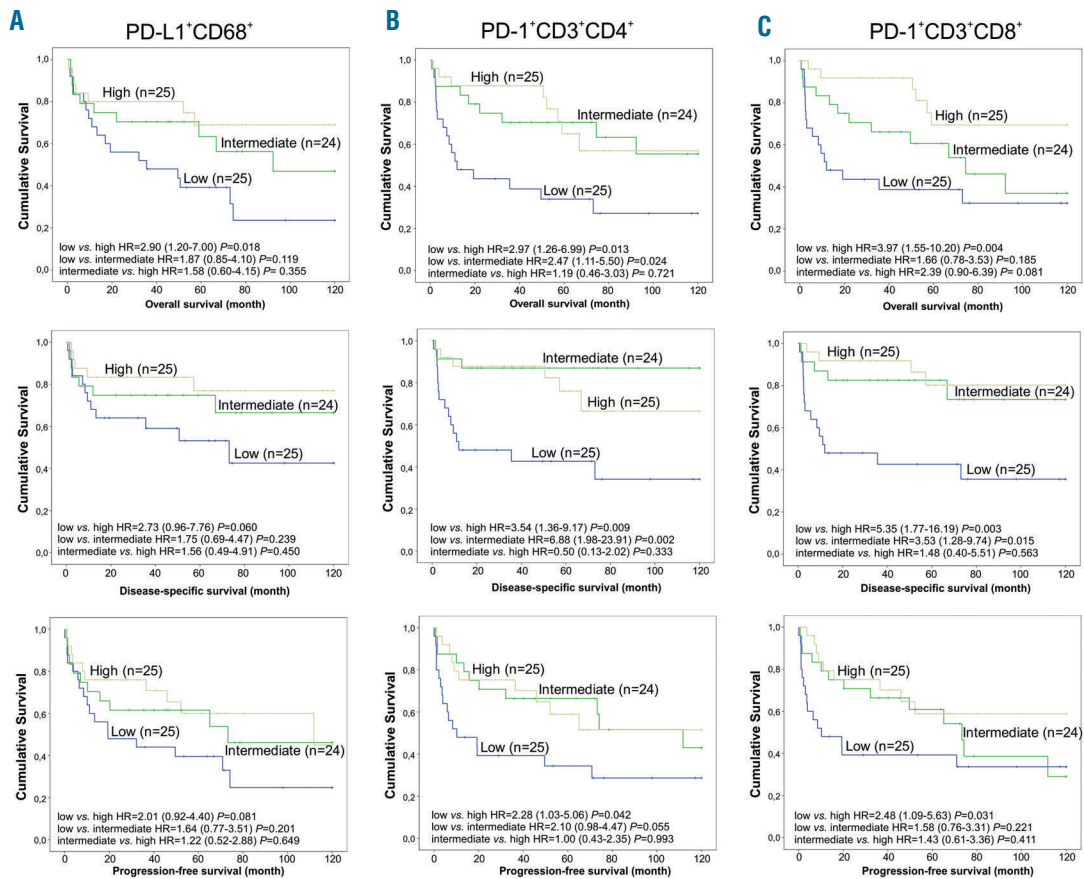
Cell immunophenotype	HR <sup>a</sup>	95% CI	P
PD-L1 <sup>+</sup>	0.983	0.967-0.999	<b>0.038</b>
CD20 <sup>+</sup>	1.009	0.995-1.023	0.209
PD-L1 <sup>+</sup> CD20 <sup>+</sup>	0.993	0.978-1.008	0.376
PD-L1 <sup>+</sup> CD68 <sup>-</sup>	0.981	0.955-1.007	0.146
CD68 <sup>+</sup>	0.986	0.964-1.008	0.196
PD-L1 <sup>+</sup> CD68 <sup>+</sup>	0.965	0.933-0.999	<b>0.042</b>
PD-L1 <sup>+</sup> CD68 <sup>+</sup> /CD68 <sup>+</sup>	0.987	0.975-0.998	<b>0.027</b>
PD-L1 <sup>+</sup> CD68 <sup>-</sup>	1.012	0.982-1.043	0.437
CD68 <sup>+</sup> c-Maf <sup>+</sup>	0.835	0.668-1.044	0.113
PD-L1 <sup>+</sup> CD68 <sup>+</sup> c-Maf <sup>+</sup>	0.734	0.518-1.041	0.083
CD163 <sup>+</sup>	0.996	0.978-1.014	0.666
PD-L1 <sup>+</sup> CD163 <sup>+</sup>	0.989	0.969-1.010	0.298
CD3 <sup>+</sup>	0.194	0.053-0.712	<b>0.013</b>
PD-1 <sup>+</sup> CD3 <sup>+</sup> CD4 <sup>+</sup>	0.089	0.008-0.999	<b>0.050</b>
PD-1 <sup>+</sup> CD3 <sup>+</sup> CD8 <sup>+</sup>	0.042	0.003-0.537	<b>0.015</b>

<sup>a</sup>HR: hazard ratio; CI: confidence interval; PD-L1<sup>+</sup>CD68<sup>+</sup> implies the number of PD-L1<sup>+</sup>CD68<sup>+</sup> TAMs from all cells; PD-L1<sup>+</sup>CD68<sup>+</sup>/CD68<sup>+</sup> implies the number of PD-L1<sup>+</sup>CD68<sup>+</sup> TAMs from all CD68<sup>+</sup> TAMs. Boldface font indicates statistical significance ( $P<0.05$ ).

results highlight the clinical relevance and possible functional connection of PD-L1<sup>+</sup> TAMs for PTL progression.

#### Association of PD1<sup>+</sup> TILs with survival

Given the prognostic value of PD-L1<sup>+</sup> TAMs, we then determined their association with T cells by mIHC. The marker CD3 was used to identify all T cells. Subpopulations of T cells were then defined by the pres-



**Figure 2. Association of the immune cell subtypes with survival.** (A-C) Cell immunophenotypes were determined by mIHC from 74 PTL patients. Patients were stratified into three equal subgroups (high, intermediate and low) based on tertiles of PD-L1<sup>+</sup>CD68<sup>+</sup> TAM, PD-1<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> T cell, and PD-1<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> T-cell counts. Kaplan-Meier plots depict survival differences between the PD-L1<sup>+</sup>CD68<sup>+</sup> (A), PD-1<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> (B), and PD-1<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> (C) groups. P-values were determined by univariate Cox regression analysis (HR, hazard ratio with 95% confidence interval).

**Table 4. Cox regression analysis at multivariate level showing independent association of low cell immunophenotypes and IPI high (IPI 3-5) with overall survival.**

Cell immunophenotype	HR <sup>a</sup>	95% CI	P
PD-L1 <sup>+</sup> CD68 <sup>+</sup>	2.214	1.054-4.650	0.036
IPI	4.325	2.008-9.312	<0.001
PD-L1 <sup>+</sup> CD68 <sup>+</sup> /CD68 <sup>+</sup>	2.275	1.054-4.909	0.036
IPI	3.608	1.643-7.923	0.001
PD-1 <sup>+</sup> CD3 <sup>+</sup> CD4 <sup>+</sup>	2.654	1.261-5.586	0.010
IPI	4.907	2.275-10.585	<0.001
PD-1 <sup>+</sup> CD3 <sup>+</sup> CD8 <sup>+</sup>	2.259	1.075-4.748	0.031
IPI	4.971	2.314-10.678	<0.001

<sup>a</sup>HR, hazard ratio; CI: confidence interval; IPI: International Prognostic Index.

ence and absence of CD4, CD8 and PD-1 (Figure 1C-D). As with CD4<sup>+</sup> T-helper and CD8<sup>+</sup> cytotoxic cells in general, PD-1<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> and PD-1<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> T-cell counts correlated with the PD-L1<sup>+</sup> TAM counts (*Online Supplementary Table S2*). Furthermore, as overall with T-cells,<sup>25</sup> a high and intermediate number of PD-1<sup>+</sup> CD4<sup>+</sup> and CD8<sup>+</sup> T-cells associated with superior survival (PD-1<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> cells ≤5.7% corresponding to the lowest tertile vs. other patients; 5-y OS, 34% vs. 68%, P=0.002; 5-y DSS, 43% vs. 81%, P<0.001; PD-1<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> cells, ≤7.2%

corresponding to the lowest tertile vs. other patients; 5-y OS, 39% vs. 65%, P=0.008; 5-y DSS, 43% vs. 81%, P<0.001; Figures 2B-C). In multivariate analyses with IPI, both PD-1<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> and PD-1<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> T-cell counts maintained an independent association with OS (Table 4). When the patients treated in the pre-rituximab era were removed from the analyses, a low number of PD-1<sup>+</sup> T cells maintained their adverse impact on survival (PD-1<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> cells, ≤8.50%, the lowest tertile; OS, P=0.001 and PD-1<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> cells, ≤11.02%, the lowest tertile; OS, P=0.034; *Online Supplementary Figure S2B-C*).

## Discussion

In this study, we applied mIHC and digital image analysis to a TMA comprised of PTL tissue from 74 patients. We show that PTL microenvironment contains a heterogeneous TAM population. Among these, PD-L1<sup>+</sup> TAMs were the predominant subpopulation, and high infiltration of PD-L1<sup>+</sup>CD68<sup>+</sup> TAMs was associated with favorable survival. Additionally, PD-1<sup>+</sup> CD4<sup>+</sup> and CD8<sup>+</sup> TIL contents correlated with PD-L1<sup>+</sup> TAM infiltration and survival, and both PD-L1<sup>+</sup> TAMs and PD-1<sup>+</sup> TILs emerged as independent indicators of survival for the patients with PTL. In contrast, neither PD-L1<sup>+</sup> lymphoma cells, other PD-L1<sup>+</sup> cells

than TAMs, nor other TAM phenotypes correlated with survival. The findings highlight the specific roles of TAMs, TILs and PD-1-PD-L1 axis in regulating survival and therapy resistance in PTL.

mIHC is a novel technology enabling multi-parametric readout from a single tissue section. In our study, the simultaneous use of multiple markers is important in many ways. Firstly, while PD-L1 was found to be expressed both in TAMs and B cells including lymphoma cells, the prognostic impact of PD-L1 positivity was restricted to TAMs. Thus, the use of just one marker would not be able to detect the survival association. Secondly, the spatial relationships between TILs, TAMs and lymphoma cells are retained in our experimental strategy, allowing for a more precise appreciation of their biological interactions. Thirdly, since mIHC was performed on all evaluable PTL tissue areas on the TMA, thereby providing an overall snapshot of the PTL microenvironment, we can avoid a bias of earlier observations focusing only on hot spot areas of immune cell counts using single marker immunohistochemistry. However, it should be noted that while the overall infiltration of PD-L1<sup>+</sup> TAMs and PD-1<sup>+</sup> TILs had a significant impact on survival, their functional statuses remain to be explored. Combining our panel with other multiplex panels for immunoregulatory molecules, such as FoxP3, LAG-3 or IDO-1 and IDO-2, may be useful in the evaluation of response to immunotherapy.

As described in a recent review article by Xu-Monette *et al.*, the PD-L1 expression in the tumor microenvironment has not been previously well defined in B-cell lymphomas, and association with survival has not been demonstrated.<sup>18</sup> PD-1 is a protein, which is classically upregulated upon activation of T lymphocytes. Interaction between PD-1 and PD-L1 was previously thought to induce immune tolerance by leading T lymphocytes to apoptosis.<sup>26</sup> Further studies have, however, revealed that the expression of PD-L1 on tumor cells can lead to immune escape, to T-cell exhaustion and a state of non-responsiveness, further enabling immune escape of the tumor cells.<sup>27-29</sup> Moreover, in addition to binding to PD-1,

PD-L1 and PD-L2 can also bind to CD80/B7-1 (PD-L1)<sup>30,31</sup> and RGMb (PD-L2),<sup>32</sup> indicating that the PD-1 – PD-L1 pathway is much more complex than previously anticipated.<sup>18</sup>

In addition to PD-L1, macrophages express PD-1.<sup>33,34</sup> Recently, Gordon and coworkers showed that PD-1 expression by TAMs inhibits phagocytosis and tumor immunity.<sup>35</sup> In addition, they demonstrated that blockade of PD-1 – PD-L1 interaction increases macrophage phagocytosis, reduces tumor growth and lengthens survival in mouse models of colon cancer, suggesting the PD-1 – PD-L1 pathway has a significant role in TAM function and tumor survival.

Based on our findings, we suggest that the PD-1 - PD-L1 signaling between TAMs and TILs has clinical relevance in PTL. As PD-1 engagement on T cells to its ligands has been linked to decreased anti-tumor immunity, and early experience on PD-1 blockade in PTL has shown promising results,<sup>36</sup> the association of high PD-L1<sup>+</sup> TAM and PD-1<sup>+</sup> T-cell count with favorable outcome in response to immunochemotherapy seems paradoxical. Yet, the interaction of PD-L1<sup>+</sup> TAMs and PD-1<sup>+</sup> T cells might modify the tumor microenvironment in PTL, or otherwise promote an anti-tumor immune response following immunochemotherapy.

In conclusion, we argue that high PD-L1<sup>+</sup> TAM and PD-1<sup>+</sup> T-cell counts correlate with each other and with favorable outcome in patients with PTL. Higher PD-L1<sup>+</sup>CD68<sup>+</sup> TAM scores seem to protect the patients from progression and death, and identify a group of patients with favorable prognosis. Interestingly, apart from PD-L1<sup>+</sup>CD68<sup>+</sup> TAMs, no association was found between other PD-L1<sup>+</sup> cells or PD-L1<sup>-</sup> TAMs and survival. Together, the data demonstrate that the PD-1 - PD-L1 axis in PTL affects the survival of patients with PTL.

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