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## Composite Hydrogels using Bioinspired Approach with *In Situ* Fast Gelation and Self-Healing Ability as Future Injectable Biomaterial

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3 **ABSTRACT:** Biopolymers are attractive candidates to fabricate biocompatible hydrogels,  
4 but the low water solubility of most of them at physiological pH, has hindered their  
5 applications. To prepare a water-soluble derivative of chitosan (WSC) biopolymer, it was  
6 grafted with a small anionic amino acid, L-glutamic acid, using a single step 1-Ethyl-3-[3-  
7 dimethylaminopropyl] carbodiimide coupling reaction. This resulted in a zwitterion-tethered  
8 structure onto the polymer backbone. The degree of substitution ranged between  $13-16 \pm 1.25$   
9 %, which was controlled by varying the feeding reagent ratios. The differential scanning  
10 calorimetry and X-ray diffraction based analysis confirmed a transition from crystalline into a  
11 moderately amorphous structure after amino acid grafting, which made the derivative water-  
12 soluble at physiological pH. Composite hydrogels gelled within less than 60 s when using  
13 this WSC together with benzaldehyde terminated 4-arm polyethylene glycol as crosslinker.  
14 The compressive modulus of these hydrogels could be easily tuned between  $4.0 \pm 1.0$  kPa to  
15  $31 \pm 2.5$  kPa, either by changing the crosslinker concentration or total solid content in the  
16 final gel. The gels were injectable at the lowest crosslinker as well as total solid content, due  
17 to the enhanced elastic behavior. These hydrogel showed biodegradability during a one  
18 month incubation period in phosphate buffer saline with weight remaining of  $60 \pm 1.5$  % and  
19  $44 \pm 1.45$  % at pH 7.4 and 6.5, respectively. The cytocompatibility of the gels was tested by  
20 fibroblast cell line (i.e. WI-38), which showed good cell viability on the gel surface.  
21 Therefore, these hydrogels could be important injectable biomaterial for the delivery purpose  
22 in the future.  
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37 **KEYWORDS:** biopolymers, chitosan derivative, semisynthetic hydrogels, biodegradable,  
38 mechanical strength, self-healing gels  
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## 1. INTRODUCTION

Dynamically crosslinked hydrogels are an important class of biomaterials for tissue engineering applications, because of the transient linkages that are reversible and self-healable.<sup>1</sup> These hydrogels have the ability to repair their broken network without any external stimuli and maintain the mechanical properties after being damaged.<sup>2</sup> They possess many similar properties to the native extracellular matrix (ECM), which are critical for 3D cell culture in order to maintain mechanical support, degradation and to enable the appropriate cellular functions.<sup>3</sup>

The physically crosslinked hydrogels are also interesting, owing to the stress relaxation occurs by breaking and subsequent forming of the crosslinked bonds.<sup>4</sup> Nevertheless, the uncontrolled gelation and degradation time, and the poor tissue dwell time due to the dilution factor followed by dissolution has limited their applications.<sup>5</sup> On the other hand, the covalently crosslinked hydrogels have high mechanical stability and durability, but the gel formulation might involve toxic catalyst or initiators and also often degrade very slowly.<sup>6,7</sup> Hence, there is a need for *in situ* crosslinked dynamic hydrogels without any need for external catalyst, which mimic the living tissues to repair themselves after injury to restore the body function.<sup>3,8</sup>

Chitosan is an amino polysaccharide derived from chitin, which is the main component of crustacean exoskeleton e.g. crabs, shrimp shell etc. and most abundantly available biopolymer after cellulose. It is attracted for biomedical applications, because of several beneficial properties such as low toxicity, biodegradability, antitumor activity and antibacterial properties. However, the low water solubility of the pure chitosan at physiological pH has limited its application for the use of biomaterial fabrication.<sup>9-11</sup>

In recent years several of its water-soluble derivatives have been prepared, such as quaternized and pegylated,<sup>12</sup> arginine grafted,<sup>11</sup> catechol group grafted,<sup>10</sup> gallic acid grafted<sup>13</sup> and carboxymethyl chitosan<sup>14,15</sup> derivatives are among some of the listed one. In all of these derivatives the incorporated moieties could have a plasticizing effect on the main polymer backbone, thus shielding the inter and intra-molecular interactions that could be evidenced from the reduced decomposition and lowered glass transition temperature ( $T_g$ ). This transition from crystalline form to amorphous structure had made them water-soluble even at physiological pH,<sup>16-18</sup> and they could be easily processed for hydrogel fabrication as well as several other biomedical applications.

The fabrication of chitosan based crosslinked hydrogels, often involved are crosslinkers such as glutaraldehyde (GTA), hexamethylene diisocyanate or epoxy-terminate polyethylene glycol

(PEG), out of which in addition to the *in-vivo* cytotoxic effect shown by GTA, the latter two exhibit the undesirably prolonged biodegradation rate.<sup>19-21</sup> Therefore, multi-arm PEG or 4-arm-PEG terminated in aldehyde groups could be a useful alternative crosslinker to obtain transparent chitosan hydrogels, owing to the flexible nature, biodegradable esters groups, as well as multiple crosslinking points that results into dynamic Schiff-base linkages with amine groups under ambient conditions.<sup>1,22</sup> Some injectable gels show quite slow gelation time (>20 min), as shown previously by chitosan-hyaluronan hydrogel.<sup>23</sup> Therefore, fast gelation is an important requisite for injectable *in situ* forming hydrogels that involves minimal invasive application procedure, behave smart in order to enables tissue ingrowth and remodeling.<sup>24,25</sup> On the other hand, tuning of the mechanical factors such as shear or compressive forces of the hydrogels, that arises when cells interact with the ECM, had critical impact on cell growth and proliferation, because they could finally regulate the cell fate.<sup>26</sup>

Here we applied a bioinspired approach to prepare water-soluble chitosan derivative and then its composite hydrogels. So far, no one has carried out the grafting of L-glutamic acid onto chitosan, according to our knowledge, to maintain the zwitterion balance charge in the skeleton plus making the derivative water-soluble at physiological pH. Therefore, as the first step, the L-glutamic acid was grafted onto chitosan polymer chain by one step coupling reaction to obtain its water-soluble derivative. In the subsequent step, the composite hydrogels were fabricated from the chitosan derivative and benzaldehyde terminated 4-arm PEG using Schiff-base linkages between their amine and aldehyde groups, respectively. The obtained chitosan derivative and its hydrogels were characterized by different physicochemical techniques, in order to understand the structure-property relationship, as well as the biodegradability, self-healing ability and the biocompatibility of the newly designed biomaterial.

## 2. MATERIALS AND METHODS

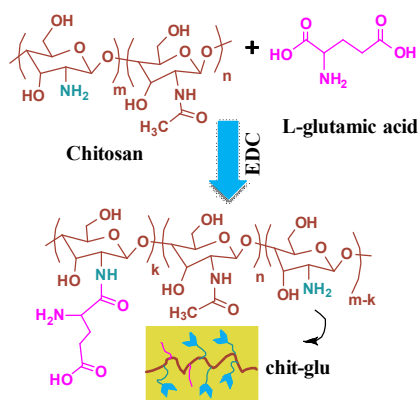
### Materials

All materials were purchased from Sigma-Aldrich unless otherwise stated, Chitosan ( $M_w = 50-190$  kDa, degree of deacetylation ( $DD$ ) = ~80 %), L-glutamic acid ( $\geq 99\%$ ), N-(3-dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride (EDC) ( $\geq 99\%$ ), 4-formyl benzoic acid (97%). The 4-arm polyethylene glycol (4-arm PEG) (95%,  $M_w = 10$  kDa) was purchased from JenKem Technology USA.

### Methods

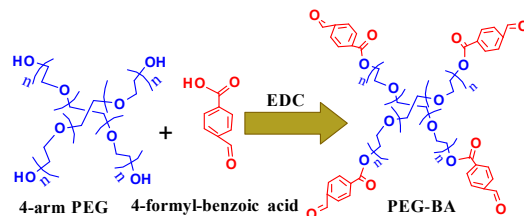
**Preparation of Water Soluble Chitosan.** Chitosan (1mM, 163 mg) was dissolved in phosphate buffer saline (PBS) at pH = 7.4 (0.5% solution) and soaked overnight. Next day

the L-glutamic acid (2 mM, 294 mg) was added to the chitosan solutions, ultrasonicated and stirred for an additional 1 h. This made the pH of the solution more acidic (i.e. pH dropped to almost 3.8), which also ensured the complete solubility of chitosan. The pH of the mixture was slowly raised and adjusted between 5.0-5.5 with 0.5 M NaOH solution. Equimolar EDC to L-glutamic acid (i.e. 2 mM, 384 mg) was added to the above solution and the mixture was stirred for 24 h. After the reaction, the solution was dialyzed for 2 days against deionized (DI) water, 5 h against PBS and 6 h against DI water. The sample was lyophilized to get the purified polymer denoted as chitosan-g-L-glutamic acid (chit-glu). (Scheme 1).



**Scheme 1:** Preparation of water soluble chitosan (i.e. chit-glu) by EDC coupling reaction.

- i. Preparation of aldehyde terminated 4-arm PEG: 4-arm-PEG (0.333 mM, 333 mg), 4-formylbenzoic acid (3.33 mM, 449.5 mg), EDC (4.99 mM, 767 mg) were separately dissolved in anhydrous chloroform and then the mixture was reacted under inert gas (nitrogen) atmosphere for 48 h. The sample was precipitated in excess diethyl ether and further purified by dialysis for 48 h and lyophilized, henceforth denoted as PEG-BA (Scheme 2).

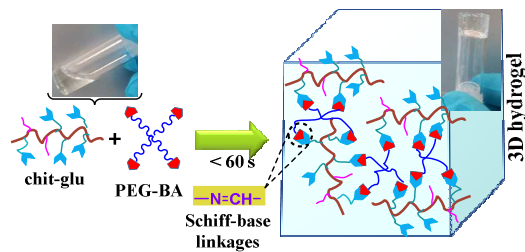


**Scheme 2:** Preparation of the benzaldehyde terminated star PEG (i.e. PEG-BA).

- ii. Fabrication of Hydrogels: The hydrogel preparation was carried out in two series:
  - a) Keeping chit-glu amount constant and varying PEG-BA: 1 % chit-glu and 20 % PEG-BA stock solutions were prepared in distilled water and the crosslinker (PEG-BA) amount was varied i.e. chit-glu/PEG-BA ratio (R) (w/w) = 1:2, 1:1, 1:0.5, 1:0.333.

b) Total solid content (T) was varied (2.5 %, 2.0 %, 1.5 %, 1.0 %), while keeping the chit-glu/PEG-BA ratios constant (i.e. R = 1:0.5).

Both the chit-glu polymer and crosslinker (PEG-BA) were separately dissolved in DI water in a glass vial to get the homogeneous solution. For each desired composition, the specific amount of PEG-BA crosslinker solution was added using micro-pipette into the chit-glu polymer solution and then vortexed for 15 s, which quickly gelled within 60 s, as observed from tilting the vial (Scheme 3).



**Scheme 3:** Preparation of 3D crosslinked hydrogels from modified chitosan (chit-glu) and PEG-BA crosslinker through Schiff-base linkages.

### Characterization

**A) Degree of Substitution.** The degree of substitution (DS) of the chitosan was determined by potentiometric titration method.<sup>27,28</sup> Briefly, specific amount of chitosan and chitosan derivatives were dissolved in standard HCl solution (0.1 N) and then titrated against standard NaOH solution (0.1 N). The amount of NaOH consumed as a function of pH was noted continuously and the DS was calculated using the following equation;

$$A = \frac{(V_2 - V_1)c}{m} ; DS = \frac{0.203A}{1 - 0.153A} \quad (1)$$

Where  $V_1$  is the first end point of excess HCl used,  $V_2$  the second end point for  $\text{NH}_2\text{HCl}$  deprotonation,  $c$  is the concentration of NaOH used (0.1 N),  $m$  is the mass of the sample in grams.

**B) Proton Nuclear Magnetic Resonance Spectroscopy.** For proton nuclear magnetic resonance spectroscopy ( $^1\text{H}$  NMR) analysis chit-glu was dissolved in  $\text{D}_2\text{O}$  (10 mg/mL), pure chitosan (10 mg/mL) in 1.5%  $\text{D}_2\text{O}$  solution of  $\text{CD}_3\text{COOD}$ . PEG and PEG-BA was dissolved in  $\text{CDCl}_3$  (15 mg/mL). The NMR spectra were recorded on Varian Mercury 300 MHz Spectrometer using TMS as the internal standard.

**C) Fourier Transform Infrared Spectroscopy.** Fourier Transform Infrared Spectroscopy (FTIR) measurements was made with Bruker optics tensor 27 using

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3 attenuated total reflectance (ATR) mode, between 650-3500  $\text{cm}^{-1}$ , using 16 scans and a  
4 resolution of 4  $\text{cm}^{-1}$ .  
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6 **D) Differential Scanning Calorimetry.** The differential scanning calorimetry (DSC)  
7 analysis was carried out on NETZSCH DSC 204F1 Phoenix instrument with an  
8 automatic sampler changer. The sample was taken and pre-weighed in 100  $\mu\text{L}$  Al pan  
9 with pierced lid and heated twice under an inert gas ( $\text{N}_2$ ) atmosphere from 25-220  $^\circ\text{C}$   
10 with the heating rate of 10  $^\circ\text{C}/\text{min}$  and cooled down at the rate of 40  $^\circ\text{C}/\text{min}$  in between  
11 the heatings. In the final cycle the sample was heated from 25-350  $^\circ\text{C}$ .  
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16 **E) X-ray Diffraction Analysis.** The X-ray diffraction (XRD) patterns were obtained on a  
17 PANalytical (Empyrean) diffractometer with Ni-filtered  $\text{K}\alpha$  radiation ( $\lambda = 0.56 \text{ \AA}$ ). A  
18 chitosan powder sample was used (50-100 mg), while the WSC and hydrogel samples  
19 were casted as thin film ( $\sim 1 \text{ mm}$ ).  
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## 22 **Characterization of Hydrogels**

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24 **A) Mechanical Testing.** Hydrogel discs of different compositions (as mentioned in step  
25 (a) and (b)) were fabricated, with sample volumes = 500  $\mu\text{L}$  (cross-section diameter  
26 (D) =  $8.0 \pm 1 \text{ mm}$  and height (H) =  $7.0 \pm 1 \text{ mm}$ ) in a plastic syringe using micropipette.  
27 The gel samples were covered with paraffin film and used as prepared without storing.  
28 The D and H of the samples were measured with a digital Vernier caliper.  
29 Compression testing was done with a Bose BioDynamic ElectroForce Instrument 5100  
30 using WinTest 4.1 software (TA Instruments, USA). The number of parallel  
31 compression samples (n) was more than three ( $n > 3$ ) and the results were averaged  $\pm$   
32 SD. The testing was carried out as uniaxial, unconfined compression in air at ambient  
33 pressure and temperature. The sample was placed between two compression pistons  
34 covered with paraffin film and prevented from sliding with wet cellulose paper  
35 (Scheme 4). The compression was carried out with a speed of 10  $\text{mm}/\text{min}$  and up to  
36 65% of the original sample height. From the results the stress (kPa) was calculated as a  
37 force per unit area and the strain (mm/mm) as a displacement per unit height. The  
38 slope of the linear region of stress versus strain curve (i.e. 15-35% strain), yields the  
39 compression modulus.  
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**Scheme 4:** The hydrogel sample (red) placed between the tips of Bose Compression Testing Machine.

**B) *In Vitro* Degradation.** For degradation testing, the hydrogel sample (chit-glu/PEG-BA= 2:1, T = 2.5 %) with size i.e. diameter =  $12 \pm 0.5$  mm and height =  $10 \pm 0.4$  mm was prepared, washed three times with DI water and freeze-dried. The samples were then incubated in PBS at two different pH i.e. 7.4 and 6.5, for thirty days, with fresh buffer being exchanged after every 3<sup>rd</sup> day. The degradation rate was determined as weight remaining (ratio %),<sup>29</sup> as given by the following equation;

$$\text{Weight remaining (\%)} = W_a/W_b \times 100$$

where  $W_a$  is the dry gel weight after degradation at different time intervals and  $W_b$  is the dry gel weight before the start of degradation experiment.

**C) Stability Testing.** For stability testing the hydrogel components was first sterilized under UV lamp for 30 min in a clean cell culture lab. The hydrogel samples (vol. 500  $\mu$ L) was prepared according to method (b) i.e. by varying the total solid content (T %), while keeping R value constant (i.e. chit-glu/PEG-BA = 1:0.5). The samples were prepared in triplicate and immersed in culture media (400  $\mu$ L). The samples were incubated for 7 days in a cell culture incubator under physiological conditions, with fresh media being exchanged after 3<sup>rd</sup> and 7<sup>th</sup> days. The pH of the media was also monitored at aforementioned periods.

**D) Self-Healing Experiment.** For the self-healing testing two gel samples ( $T = 1$  %,  $R = 1:0.5$ ), one original and another stained red with eusine-y were prepared in 5 mL plastic syringe mold. After preparation, both gels were taken out and transferred into another same size separate syringes. They were then injected through a 22-gauge needle into another syringe mold successively. The injected gels were put intact for 1 h in air and then incubated in phosphate buffer solution (PBS) for 2 h at pH 7.4 to obtain the final self-healed gel.

**E) *In Vitro* Cell Viability Assay.** Human lung fibroblast cell line WI-38 (from ECACC, Public Health England, UK) was cultured with Dulbecco's Modified Eagle Medium/Ham's Nutrient Mixture F-12 (DMEM/F-12 1:1; Thermo Fisher Scientific)



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3 supplemented with 10% fetal bovine serum (South American origin, Biosera, Finland)  
4 and 0.5% Penicillin/Streptomycin 100 U/mL (P/S; Thermo Fisher Scientific). During  
5 biocompatibility testing the cells were trypsinized, counted and plated on top of the  
6 hydrogel at density 20 000-30 000 cells/cm<sup>2</sup> for three days. For viability analysis, the  
7 cultures were stained using a LIVE/DEAD® cell viability/cytotoxicity assay kit  
8 (Molecular probes, Thermo Fisher Scientific). In the staining assay Calcein-AM (0.2  
9 μM, λ<sup>emission</sup> = 488 nm) stains live, intact cells green and Ethyidium homodimer-1 (0.8  
10 μM, λ<sup>excitation</sup> = 568 nm) stains dead cells red. After 30 min incubation, the cells were  
11 imaged with an Olympus IX51 inverted fluorescent microscope and an Olympus  
12 DP30BW digital camera (Olympus, Finland).  
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19 **F) Statistical Analysis.** All the experiments were done in triplicate (i.e. with n > 3), and  
20 the presented results are average ± standard deviation (SD).  
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### 24 3. RESULTS AND DISCUSSION

25 **Preparation and Degree of Substitution.** The grafting of L-glutamic acid onto chitosan  
26 backbone was carried out conveniently under ambient condition and can be controlled by  
27 varying the molar ratios (R) of the feeding reagents (chit/glu/EDC; R= 1:1:1 and 1:2:2), while  
28 for all future characterization including hydrogel fabrication the later composition (i.e. R =  
29 1:2:2, denoted as chit-glu) was used. The potentiometric titration method was used to  
30 determine the degree of substitution (DS) of these derivatives, which is the simplest way  
31 recently used for this purpose.<sup>30-32</sup> The titration curves of chitosan and its two derivatives  
32 with two different L-glutamic acid grafted content is shown in (Supporting Information (SI)  
33 Figure S1A). In the first derivative of titration curves Figure S1B), two inflection points were  
34 observed for all the samples. The first inflection point corresponds to the neutralization of  
35 excess amount of HCl (0.1 N) used, while the second point indicate the deprotonation of the  
36 protonated primary amine groups of chitosan (NH<sub>2</sub>.HCl). The obtained A values (constant in  
37 equation 1) were 4.60, 4.10 and 3.99 ± 0.01 for 0 mM (pure chitosan), 1 mM and 2 mM L-  
38 glutamic acid treated derivatives, respectively. This indicates a slower, but clear transition  
39 from higher towards lower titrant (0.1 N NaOH) volume consumed and the zwitterion nature  
40 of grafted amino acid. The estimated mean DS values obtained were 13 % and 16 % (with SD  
41 = ±1.25%) for 1 mM and 2 mM L-glutamic acid, respectively using unmodified chitosan as  
42 reference control.  
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54 The <sup>1</sup>H NMR spectra (Figure S2) of pure PEG showing the characteristic peaks (a, 5, 6) of  
55 PEG backbone at 3.6 ppm,<sup>33</sup> while after end group modification with 4-formyl benzoic acid  
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3 indicate additional peaks at 7.9 ppm, at 8.2 ppm and at 10.10 ppm, assigned to the aromatic  
4 carbon (2, 3) and aldehyde groups (1), respectively of the benzaldehyde end functionality.<sup>34</sup>  
5 Similarly, in case of chitosan, some new peaks appeared after grafting reaction, at 2.4 ppm  
6 and at 4.1 ppm, which characterize the methylene ( $\beta$ ,  $\gamma$ ) and ( $\alpha$ ) protons, respectively, of L-  
7 glutamic acid.<sup>35,36</sup> Therefore, the  $^1\text{H}$  NMR spectra shows the successful grafting of L-  
8 glutamic acid onto the chitosan backbone. The DS was also calculated from NMR by  
9 dividing the integral values of peaks at 2.4 ppm plus 4.1 ppm by acetyl group protons  
10 between 1.90-1.93 multiplied by 5 (i.e. 20 % acetyl groups in original sample), which gives  
11 15 % (i.e. a value which corresponds to 2 mM chit-glu).<sup>10</sup> This indicates that the DS values  
12 measured by both potentiometric and NMR methods were in close agreement with each  
13 other.  
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21 **Fabrication of Composite Hydrogels.** The hydrogels preparation was carried out by mixing  
22 the homogeneous aqueous solutions of the modified chitosan (chit-glu) and crosslinker (PEG-  
23 BA) by micropipette and then vortexing the mixture for about 15 s. Several different  
24 compositions (see methods) were used in order to get an idea of the variation trend in their  
25 modulus and self-healing ability. The *in situ* gelation was fast (i.e. < 60 s) for all the gel  
26 compositions with (SD  $\pm$  10 s) upon varying either the crosslinker content or the total solid  
27 content.  
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32 The FTIR spectra of PEG (Figure S3A) after end-group functionalization with 4-formyl  
33 benzoic acid showing an additional peak at  $1707\text{ cm}^{-1}$ , which is the characteristic band of  
34 aldehyde (C=O) groups, while the intensity at  $2817\text{ cm}^{-1}$  becomes more intense, due to the  
35 incorporation of the aromatic ring (-C-H stretching).<sup>37</sup>  
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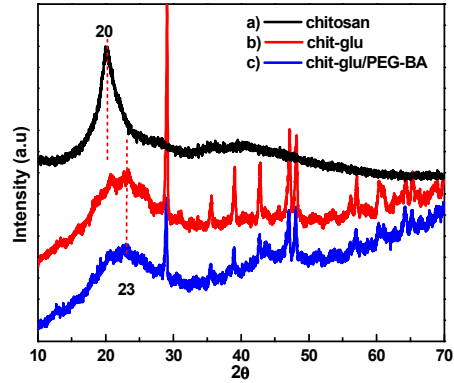
38 The FTIR spectra (Figure S3B) of modified chitosan shows the characteristics peak of  
39 carboxyl group of L-glutamic acid at  $1670\text{ cm}^{-1}$ .<sup>38</sup> and merged with the peak at  $1657\text{ cm}^{-1}$  of  
40 amide (I) -C=O stretching.<sup>39</sup> The peak at  $1553\text{ cm}^{-1}$  clearly becomes intense, which  
41 corresponds to the amide (II) bond (-CONH- stretching vibration) formation.<sup>40,41</sup> The two  
42 slightly weak bands for pure chitosan at  $1423\text{ cm}^{-1}$  and  $1378\text{ cm}^{-1}$  merged and converted into  
43 a single intense band at  $1388\text{ cm}^{-1}$  and is assigned to the amide III band.<sup>42</sup>  
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48 The first heating and cooling cycle (25-220-25  $^{\circ}\text{C}$ ) in the DSC thermogram (Figure S4A)  
49 indicated an endothermal peaks at 119  $^{\circ}\text{C}$ , 114  $^{\circ}\text{C}$  and 128  $^{\circ}\text{C}$  for chitosan, chit-glu and chit-  
50 glu/PEG-BA, respectively, which corresponds to the evaporation of water molecules from the  
51 sample. The higher temperature for the hydrogel indicates its hydrophilic nature due to the  
52 incorporated PEG, which bound the water molecules strongly in the network structure. The  
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3 same isotherm also shows the glass transition temperature ( $T_g$ ) at 203 °C, 186 °C and 183 °C  
4 for chitosan, chit-glu polymer and chit-glu/PEG-BA hydrogel, respectively. The same  
5 decrease in  $T_g$  was also observed in the previous report for the chitosan blends.<sup>43</sup> A reverse  
6 transition was manifested by the cooling exotherms (Figure SI-4A), upper) also from higher  
7 temperature (211 °C) for chitosan and to 203 °C for chit-glu plus its hydrogel. During the 2<sup>nd</sup>  
8 heating and cooling cycle (Figure S4B) in the same temperature range (25-220 °C) there was  
9 no exo/endothral peaks observed, which indicates that no apparent physical/chemical  
10 changes are taking place further in this temperature range.  
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16 The samples were finally heated to higher temperature (25-350 °C) (Figure S4C), which  
17 showed the characteristic decomposition temperature ( $T_d$ ) of chitosan at 315 °C was  
18 observed.<sup>44,45</sup> After the grafting reaction, the  $T_d$  decreased to 275 °C for chit-glu and for the  
19 hydrogel (chit-glu/PEG-BA) to 273 °C. A similar decrease in the decomposition temperature  
20 has been observed also after chitosan modification.<sup>46</sup> These results indicates that after  
21 grafting reaction, the crystalline form of chitosan was transformed into somewhat amorphous  
22 structure, which decomposed at a lower temperature than the starting raw material.  
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27 The XRD pattern of chitosan shows a characteristic strong peak<sup>47</sup> at  $2\theta = 20^\circ$  (Figure 1) (a).  
28 After grafting of L-glutamic acid onto chitosan backbone, the sharp amorphous peak (at  $20^\circ$   
29 (a)) was greatly suppressed and replaced by a broadened weaker peak at  $23^\circ$  and several  
30 characteristic peaks from the crystalline phase (b). The lower intensity of the crystalline peak  
31 and the appearance of the characteristic diffraction peaks (23, 25, 28) (b) shows that  
32 the chitosan was partially crystallized after the amino acid grating. The “peak” or the broad  
33 hump at around  $23^\circ$  is from the interaction of x-rays and amorphous material, and the sharp  
34 peaks at higher angle (i.e.  $28^\circ$  and  $37^\circ$ ) are from the crystalline phases. This clearly indicates  
35 that the original structure of chitosan was destroyed and converted into amorphous/crystalline  
36 form after the amino acid grating.<sup>39, 48</sup> Therefore we could presume that the carboxyl groups  
37 of the grafted L-glutamic acid has developed ionic interaction with the amine groups of  
38 chitosan (long range ordered structures) and hence facilitated its water solubility.<sup>49,50</sup>  
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**Figure 1:** XRD patterns of a) chitosan, b) modified chitosan (chit-glu) and c) hydrogel (chit-glu/PEG-BA).

Similarly the hydrogel (chit-glu/PEG-BA), also showing the same broadened peak (at  $23^\circ$ ) as well as other higher angle crystalline peaks and the presence of amorphous phase(s) within the sample may result in irregular base line with noise (c). While, the minor peak of PEG at  $23.4^\circ$  was merged with the broadened peak of chit-glu at  $23^\circ$ , which indicated the partial amorphous/crystalline morphology of the chit-glu/PEG-BA gel network.<sup>51,52</sup>

**Mechanical Characterization of Hydrogels.** For the complete mechanical properties evaluation, two different series of hydrogels were prepared, in order to find the different possible ways for tuning the gel mechanical strength.

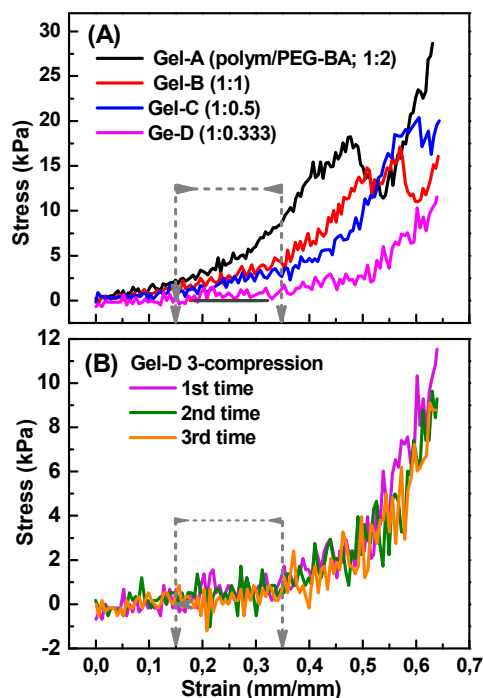
- a) By Varying the Crosslinker Amount (w/w).** In this first series of hydrogels, the polymer ratio was kept constant and the relative crosslinker ratios (w/w) varied. The four different gel compositions along with their mechanical properties are presented in the Table 1 below.

**Table 1:** Hydrogels having constant polymer (chit-glu) and various crosslinker (PEG-BA) ratios.

| Gel type | chit-glu/PEG-BA ratio: R (w/w) | Fracture stress (kPa) | Fracture strain (mm/mm) | Modulus (kPa) |
|----------|--------------------------------|-----------------------|-------------------------|---------------|
| Gel-A    | 1:2.0                          | $18 \pm 1.5$          | $0.47 \pm 0.05$         | $31 \pm 2.5$  |
| Gel-B    | 1:1.0                          | $15 \pm 1.0$          | $0.53 \pm 0.05$         | $15 \pm 2.0$  |
| Gel-C    | 1 : 0.5                        | $20 \pm 1.5$          | $0.60 \pm 0.05$         | $11 \pm 1.5$  |
| Gel-D    | 1: 0.333                       | e*                    | f*                      | $4.0 \pm 1.0$ |

Note: e\* and f\* denote that no fracture stress and strain was observed for gel-D, with n = 6 for each sample.

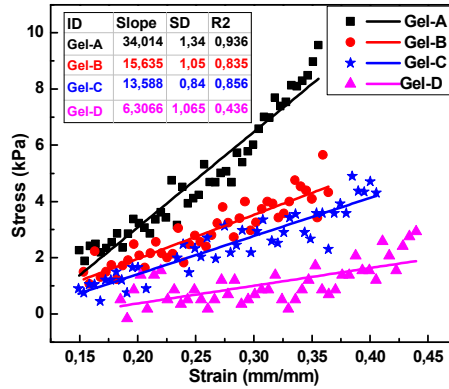
The gels with the above four different compositions were analyzed by compression testing as shown in Figure 2A. The modulus values for each sample was calculated from the slope of the stress (MPa) vs strain (mm/mm) curves between 15-35 (%) strain, where they exhibit almost linear (elastic) behavior. The gels behaved stronger when higher amount of crosslinker was used (i.e.  $R = 1:2$ ), with increased modulus value ( $31 \pm 2.5$  kPa). On the other hand, decreasing of the relative crosslinker content (i.e.  $R = 1: 0.333$ ) softens the hydrogels and shows the lower modulus ( $4 \pm 1.0$  kPa). The same decreasing trend was also observed for the fracture stress and strain values, except in the case of the gel-C ( $R = 1:0.5$ ), which showed a sudden increase in the fracture stress. In the case of gel-D there was no fracture observed until 65 (%) of strain (Figure 2A), which indicated the complete self-recovery ability. When the gel-D was further compressed three times, it recovered to its original shape ( $t < 10$  s) as shown in Figure 2B.



**Figure 2:** A) Mechanical compression testing of hydrogels with constant polymer (chit-glu) concentration and various amount (w/w) of crosslinker PEG-BA (Gel-A to D), B) Three compression of Gel-D.

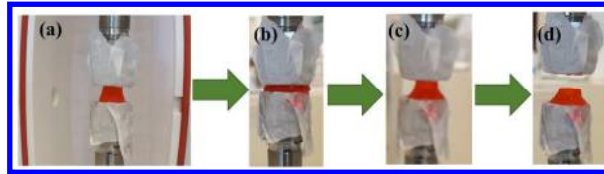
The data points from Figure 2A between 15-45% were plotted and analyzed by linear fitting in order to obtain the  $R^2$  values for the different gel compositions (Figure 3). For the best fitting results the  $R^2$  values for gel-A, gel-B, gel-C were 0.94, 0.84, 0.86, while for gel-D the

$R^2$  was 0.44 even though the fitting was further extended to a higher strain region (18-44%), which is an indication of the low instrumental sensitivity for the softer gel.



**Figure 3:** Linear fitting of the actual stress-strain data points (adapted from Figure 2A) to get the R-square values for different gel compositions.

The compression and release assay of the gel-D inside the tips of a compression-testing machine is shown in Figure 4, which indicates the self-recovery ability after each compression, at the lowest crosslinker concentration. Hence, the softer gel (gel-D) could be one extremely softer gels in this series, that can be applied as an injectable gel.



**Figure 4:** Gel-D compression and release assay a) between the tips, b) compressed, c) released, d) recovered gel after compression.

Note, that any of the gel compositions (i.e. A, B, and C, except gel-D due its too much softness) presented in Table 1, could be further utilized in order to modulate their mechanical properties upon varying the total solid content in the gel. Hence, here we assumed gel-C could be optimal composition based on higher fracture strength and was further explored for mechanical testing by varying the water content (%).

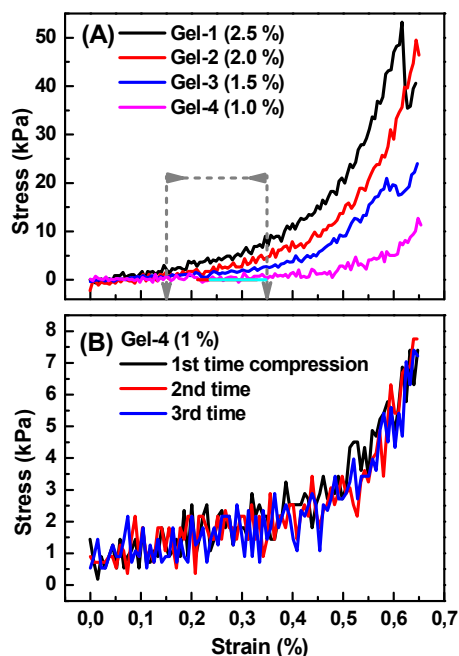
**b) Changing the Total Solid Content.** The second series of hydrogels composed of constant polymer/crosslinker (2:1) ratios and the total solid content (T %) in the gel was changed from 1.0 %-2.5 %. The mechanical properties of the resultant gel is given in Table 2.

**Table 2:** Hydrogels with constant polymer (chit-glu) and crosslinker (PEG-BA) ratios (w/w =1.0:0.5) and the total solid content in the final gel was varied.

| Gel-type | Total solid content (T %) | Fracture stress (kPa) | Fracture strain (mm/mm) | Modulus (kPa) |
|----------|---------------------------|-----------------------|-------------------------|---------------|
| Gel-1    | 2.5                       | 52± 2.0               | 0.47±0.05               | 21 ± 2.5      |
| Gel-2    | 2.0                       | 47±1.5                | 0.53±0.05               | 16 ± 2.0      |
| Gel-3    | 1.5                       | 22±1.0                | 0.59±0.03               | 10 ±1.5       |
| Gel-4    | 1.0                       | g <sup>*</sup>        | h <sup>*</sup>          | 5.0 ± 1.0     |

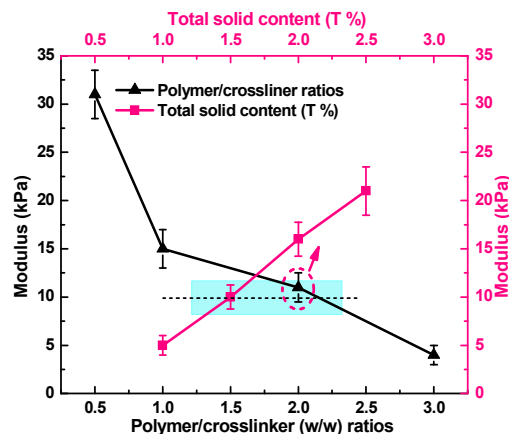
Note: g<sup>\*</sup> and h<sup>\*</sup> denote that no fracture stress and strain was observed for gel-4, with n = 6 for all the gels.

The compression testing results of these hydrogel compositions indicated that, with a higher total solid content (i.e. gel-1, T = 2.5 %) they behaved harder and showed higher compressive modulus (21 ± 2.5 kPa) (Figure 5A). Note that the modulus value was calculated from the slope in the same linear region (15-35 %) of the stress vs strain curve, as was done in the previous section. The gel became softer (i.e. slope declined), when the concentration (T %) was decreased gradually, until gel-4 (T = 1.0 %), which showed the lowest modulus value (i.e. 5.0 ± 1.0 kPa) amongst all the gels. The same lowering trend was also shown by the fracture stress, while the fracture strain was enhanced resulted from the higher elasticity (softness) of the gel. On the other hand, the gel-4 (with more water content i.e. 99 %) didn't fracture until the maximum compression (65 %) was achieved and showed the self-recovery ability after releasing the pressure. After several compression and release assay (n = 3 shown here), it can automatically recover (t < 30 s) to its original shape (Figure 5B).



**Figure 5:** Compression testing of hydrogels, A) with constant polymer/crosslinker ratios ( $w/w = 2:1$ ) and various total solid content (T %) in the final gel, B) Three consecutive compressions of gel-4. The mechanical behavior of these gels as a function of polymer/crosslinker ratios (R), as well as with the varying total solid content (T %), could be further simplified as illustrated in Figure 6. The specific optimum polymer/crosslinker (2:1) ratio of gel-C is highlighted by dotted circle, that was further extended to see the effect of varying T % on modulus value. Note, that the variation in the two compositions simultaneously also indicates a cross-over point, where the two gels (gel-C and gel-3) almost matches in mechanical behavior, with a modulus value of  $10 \pm 1.0$  kPa and fracture stress of  $21 \pm 1.0$  kPa (see Tables 1 & 2 values ). The similar mechanical properties of the two gels could be clearly attributed to almost the same T % as well as similar R values for both the gels.



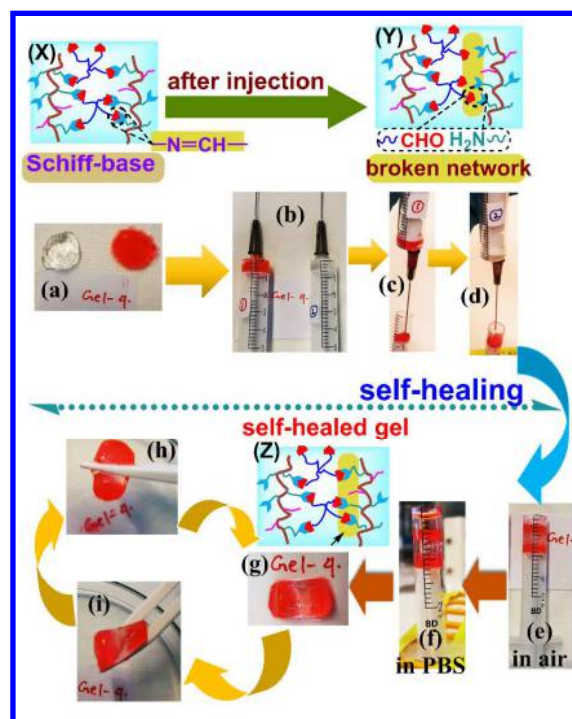


**Figure 6:** Effect of polymer/crosslinker (w/w) ratios (black-bottom/left axis) and total solid content (T %) (deep pink-top/right axis) on the compressive modulus of hydrogels.

Hence, the modulus of these gel systems can be easily tuned based on variation of the two main experimental parameters, that is the crosslinker amount (w/w) and the total solid content (T %), in order to get the specific hydrogels that could mimic the mechanical strength of the particular tissue. For example, gel-3 might be an appropriate candidate for neural tissue engineering, owing to the similarity in the compressive strength properties i.e. the modulus matches to that of the rabbit brain (i.e. 10 kPa).<sup>53</sup> The short gelation time is also important factor for designing the injectable hydrogels, such as our gel system has quick gelation time (i.e.  $t < 60$  s), which is advantageous than the previously prepared injectable hydrogels from water soluble chitosan and aldehyde group modified hyaluronic acid, which showed a compressive modulus of 10-30 kPa, but had a longer gelation time i.e. 1-4 min.<sup>54</sup> Similarly, the compressive modulus of another such kind of injectable composite photo-crosslinked hydrogels of methacrylated glycol chitosan and hyaluronic acid was tuned from 0.7 to 17 kPa as a function of irradiation time from 40-600 s.<sup>55</sup> These results strongly indicates that modulating the mechanical factors plays a key role in determining the end use of these hydrogel materials. Note, that several mechanical characterization techniques had been used in the literature to deduce the modulus of the hydrogels, but it is important to compare the modulus values of the compression testing only here. For instance, several soft living tissues, such as human fibrotic liver, breast tumor and thyroid tissues shows a compression modulus of 1.6 kPa, 4 kPa and 9 kPa respectively.<sup>56</sup>

**Injectability and Self-Healing Experiment.** In order to study the dynamic nature and the true self-healing ability of these hydrogels, they were further subjected to injectability testing. For this purpose, the two gelated hydrogel discs (Figure 7 (a)) one as original and another

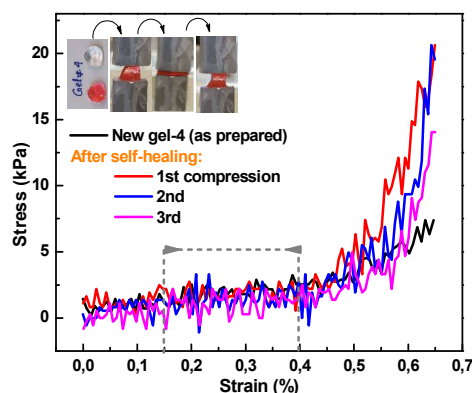
colored as red were taken into 5 mL plastic syringes (b). The **Schiff-base** linkages (i.e. the reversible chemical bonds between the amine groups of chit-glu and the aldehyde groups of PEG-BA) in the as prepared hydrogels is shown in Figure 7 (X). The two gels were then injected through a 22-gauge needle into another same size syringe mold (c, d), successively. After the injection, the gel network (i.e. **Schiff-base** linkages) were dissociated reversibly into parent amine ( $-\text{NH}_2$ ) and aldehyde ( $-\text{CHO}$ ) groups of chit-glu and PEG-BA, respectively, as shown in the form of **broken network** (Figure 7 (Y)). For the self-healing process to achieve, the injected gel samples were first kept untouched in air for 1 h (e) and then incubated in PBS (pH = 7.4) for 2 h (f). Finally, the gel was taken out from the mold (g), which showed the complete self-healed gel network structure (Figure 7 (Z)). The same gel was then lifted up with a plastic tweezer several times as well as from different positions (h, i) and behaved as free standing gel. This indicated that it was self-healed reversibly (i.e. the **Schiff-base** linkages were reformed). Therefore, these kinds of transparent self-healing gels are important class of materials for the fabrication of injectable biomaterials for the delivery purposes in the future.



**Figure 7:** Injectability and self-healing experiment of chit-glu/PEG-BA hydrogels: (a) as prepared hydrogel discs ((X) gel network showing **Schiff-base** linkages), (b) gels were taken into syringes, (c, d) gels were injected through 22-gauge needle into syringe-mold successively ((Y) broken gel

network), (e) 1 h in air, (f) 2 h in PBS (pH = 7.4) , (g) self-healed gel, (h, i) self-healed gel were lifted from different positions ((Z) self-healed gel network).

The self-healed gels in the same way were then subjected to mechanical compression testing (Figure 8), in order to investigate the difference in mechanical behavior before and after the healing process. The gels showed almost the same slope during three compressions and release assays, which provided further evidence of the dynamic/reversible nature of the Schiff-base linkages.

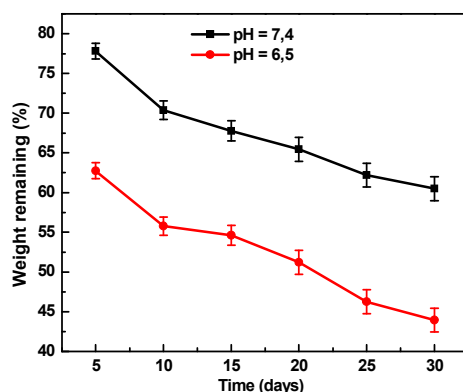


**Figure 8:** Mechanical compression of the self-healed hydrogel (gel-4) after injection into the mold.

Three successive compression and release assays of the same gel.

This indicates that the self-healing properties of such soft hydrogel materials can also be investigated by compression testing using repeated compression and release assays. Therefore, the hydrogels with self-healing ability can recover the viscoelastic properties of the network due to the reversible nature of such linkages.<sup>57</sup>

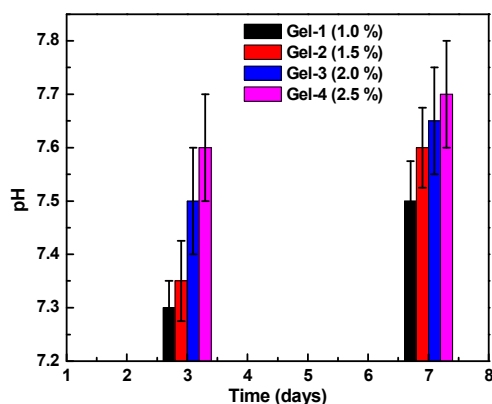
***In Vitro Degradation Testing.*** The degradation rate (% weight remaining) of the hydrogels (chit-glu/PEG-BA 2.5 %) was studied at two different pH i.e. pH 6.5 and 7.4. The weight remaining (% ratios) as a function of incubation times (days) at two pH is shown in Figure 9 (left axis). The hydrogels shows  $78 \pm 1.0$  % and  $63 \pm 1.0$  % weight remaining after five days incubation, at pH 7.4 and 6.5, respectively. After thirty days the weight remaining was  $60 \pm 1.5$  % and  $44 \pm 1.45$  % for the gel incubated at pH 7.4 and 6.5, respectively. The study at two different pH clearly indicates that these hydrogel degraded faster under acidic conditions than under the physiological pH.



**Figure 9:** Degradation plot of chit-glu/PEG-BA (2.5 %) hydrogels in physiological buffer (pH = 7.4) and acidic buffer (pH = 6.5) for 30 days.

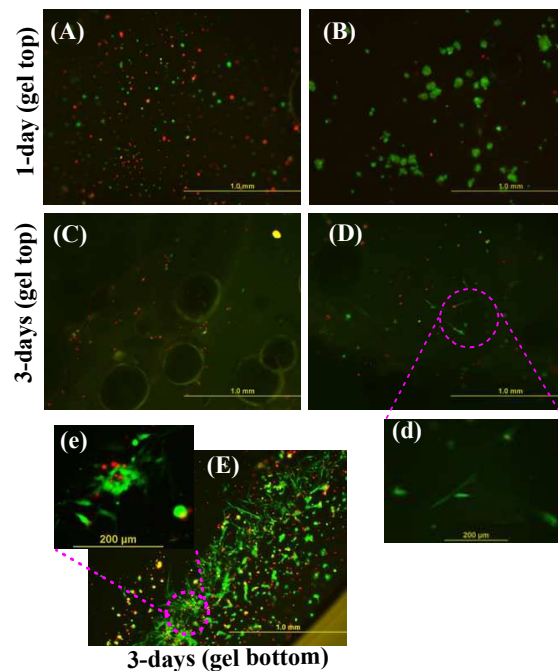
Note, that the gels incubated at pH = 7.4 became rigid, while the gels degraded in acidic buffer (i.e. pH = 6.5) were unstable to be lifted after incubation in pure water. The attribution can be made to the close pH = 6.5 to the  $pK_a$  value of chitosan (6.2-7.0), at which high level of free amine groups of chitosan were protonated and rarely available for Schiff-base linkages thus making the gels weaker and unstable at the end.<sup>58,59</sup>

**Stability Testing in Cell Culture Media.** The stability of the hydrogels with various T (%) was studied in cell culture media for 7 days (Figure 10), with media exchanged after every 3<sup>rd</sup> day. The pH of the medium was also monitored after 3<sup>rd</sup> and 7<sup>th</sup> days (Figure 10), showing that upon diluting the gel sample from 2.5 % to 1.0 %, the pH of the medium was shifted slightly towards alkaline region (pH  $\sim 7.7 \pm 0.1$ ) after 7<sup>th</sup> day.



**Figure 10:** Stability testing of chit-glu/PEG-BA hydrogels with different (T %) in cell culture media for 3 days and 7 days.

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3 ***In Vitro* Cell Viability Assay.** The *in vitro* cell viability assay is a promising strategy in  
4 recent years for testing the cytocompatibility of the newly designed biomaterials.<sup>60,61</sup>  
5 Therefore, the biocompatibility of these hydrogels was primarily tested by human fibroblast  
6 cell lines (WI-38) cultured for one day and three days on the top of hydrogels (Figure 11).  
7 The live and dead cells were stained as green and red, respectively. During the day one  
8 culture time most of the cells were dead on the pure chitosan hydrogels (Figure 11A) as  
9 compared to chit-glu/PEG-BA hydrogel (B). Similarly after 3<sup>rd</sup> day culture time, there were  
10 rarely cell adhered to the pure chitosan hydrogel (C) and the gel also showed slight  
11 degradation. On the other hand, the chit-glu/PEG-BA hydrogel (D) shows mostly live cells  
12 and they were also elongated, which can be more apparent from the enlarged image (d) (scale  
13 bar 200  $\mu\text{m}$ ). Moreover, at the bottom of the same 3<sup>rd</sup> day well of the chit-glu/PEG-BA gel  
14 (E) more elongated and also proliferated cells were observed. The same image was further  
15 magnified to clearly see the cell shape and morphology (e) (scale bar 200  $\mu\text{m}$ ). We can see  
16 that this hydrogel is safe for the cells, as they grow quite nicely in the gel microenvironment.  
17 Hence, the results obviously indicate that these  
18 self-healable hydrogels could be good materials for fabricating injectable biomaterial for the  
19 delivery application in the future.  
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54 **Figure 11:** Fibroblast cell line (i.e. WI-38) cultured (green and red stands for live and dead cells,  
55 respectively) for one day: (A) on chitosan hydrogel, (B) on chit-glu/PEG-BA hydrogel. For three  
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3 days: (C) on chitosan hydrogel, (D) on chit-glu/PEG-BA hydrogel, d) enlarged cells and (E) in the  
4 bottom of the same 3 days well (of chit-glu/PEG-BA hydrogel), e) enlarged cells. Scale bar: (A-E) =  
5 100  $\mu\text{m}$  and (d, e) = 200  $\mu\text{m}$ .  
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#### 8 9 **4. CONCLUSIONS**

10 The water soluble chitosan is promising biomaterials for hydrogel fabrication due to its low  
11 cost, easy processing and good biocompatibility. Taking the advantage of the anionic charged  
12 amino acid, L-glutamic acid, which transferred into zwitterion form after being grafted onto  
13 chitosan, retaining the overall charge unperturbed and resulted into a water-soluble chitosan  
14 derivative. The grafted amino acid has greatly suppressed the inter- and intramolecular  
15 interaction between chitosan chains, thus reducing its crystallinity and facilitating water  
16 solubility. This water soluble chitosan derivative could be processed for application, such as  
17 for hydrogel fabrication with benzaldehyde terminated 4-arm branched PEG as crosslinker,  
18 resulting into semisynthetic or composite dynamic hydrogels. The Schiff-base linkages in  
19 these hydrogels originated from the amine group of chitosan and aldehyde groups of 4-arm  
20 PEG, are reversible, making the hydrogel self-healable. The mechanical properties of these  
21 hydrogels could be easily tuned by either varying the crosslinker concentration or the total  
22 solid content in the hydrogels. The hydrogels showed injectable and self-healing properties,  
23 re-crosslinking after heavy manipulation and returning to intact gel state during incubation.  
24 Moreover, the gels show biodegradability in PBS at pH 7.4 and 6.5, as tested for one month  
25 period. Therefore, owing to the injectable, self-healing and biocompatible nature, these  
26 hydrogels could be a promising candidate for the delivery purpose in the future.  
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42  
43

#### 44 **Notes**

45 The authors declare that they have no conflict of interest.  
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#### 48 **ASSOCIATED CONTENT**

##### 49 **Supporting Information**

50 The Supporting Information is available free of charge on the ACS Publications website:  
51 Potentiometric titration curve, <sup>1</sup>NMR and FTIR spectra, DSC thermogram.  
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### A Graphic for the Table of Contents

